

THIS FILING IS

Item 1: ☒ An Initial (Original)
Submission

OR ☐ Resubmission No. _____

Form 1 Approved
OMB No.1902-0021
(Expires 11/30/2022)
Form 1-F Approved
OMB No.1902-0029
(Expires 11/30/2022)
Form 3-Q Approved
OMB No.1902-0205
(Expires 11/30/2022)



FERC FINANCIAL REPORT

FERC FORM No. 1: Annual Report of Major Electric Utilities, Licensees and Others and Supplemental Form 3-Q: Quarterly Financial Report

Those reports are mandatory under the Federal Power Act, Sections 3, 4(a), 304 and 309, and 18 CFR 141.1 and 141.400. Failure to report may result in criminal fines, civil penalties and other sanctions as provided by law. The Federal Energy Regulatory Commission does not consider these reports to be of confidential nature

Exact Legal Name of Respondent (Company)

Midcontinent Independent System Operator, Inc

Year/Period of Report

End of 2020/Q4

**FERC FORM NO. 1/3-Q:
REPORT OF MAJOR ELECTRIC UTILITIES, LICENSEES AND OTHER**

IDENTIFICATION

01 Exact Legal Name of Respondent Midcontinent Independent System Operator, Inc		02 Year/Period of Report End of <u>2020/Q4</u>
03 Previous Name and Date of Change (if name changed during year) <u> / / </u>		
04 Address of Principal Office at End of Period (Street, City, State, Zip Code) 720 City Center Dr., Carmel, IN 46032		
05 Name of Contact Person Melissa Brown		06 Title of Contact Person Sr. VP & CFO
07 Address of Contact Person (Street, City, State, Zip Code) 720 City Center Dr., Carmel, IN 46032		
08 Telephone of Contact Person, Including Area Code (317) 249-5407	09 This Report Is (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	10 Date of Report (Mo, Da, Yr) 04/16/2021

ANNUAL CORPORATE OFFICER CERTIFICATION

The undersigned officer certifies that:

I have examined this report and to the best of my knowledge, information, and belief all statements of fact contained in this report are correct statements of the business affairs of the respondent and the financial statements, and other financial information contained in this report, conform in all material respects to the Uniform System of Accounts.

01 Name Melissa Brown	03 Signature Melissa Brown	04 Date Signed (Mo, Da, Yr) 04/16/2021
02 Title Sr. VP & CFO		

Title 18, U.S.C. 1001 makes it a crime for any person to knowingly and willingly to make to any Agency or Department of the United States any false, fictitious or fraudulent statements as to any matter within its jurisdiction.

Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
LIST OF SCHEDULES (Electric Utility)				
Enter in column (c) the terms "none," "not applicable," or "NA," as appropriate, where no information or amounts have been reported for certain pages. Omit pages where the respondents are "none," "not applicable," or "NA".				
Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)	
1	General Information	101		
2	Control Over Respondent	102	NA	
3	Corporations Controlled by Respondent	103	NA	
4	Officers	104		
5	Directors	105		
6	Information on Formula Rates	106(a)(b)		
7	Important Changes During the Year	108-109		
8	Comparative Balance Sheet	110-113		
9	Statement of Income for the Year	114-117		
10	Statement of Retained Earnings for the Year	118-119	NA	
11	Statement of Cash Flows	120-121		
12	Notes to Financial Statements	122-123		
13	Statement of Accum Comp Income, Comp Income, and Hedging Activities	122(a)(b)	NA	
14	Summary of Utility Plant & Accumulated Provisions for Dep, Amort & Dep	200-201		
15	Nuclear Fuel Materials	202-203	NA	
16	Electric Plant in Service	204-207		
17	Electric Plant Leased to Others	213	NA	
18	Electric Plant Held for Future Use	214	NA	
19	Construction Work in Progress-Electric	216		
20	Accumulated Provision for Depreciation of Electric Utility Plant	219		
21	Investment of Subsidiary Companies	224-225	NA	
22	Materials and Supplies	227	NA	
23	Allowances	228(ab)-229(ab)	NA	
24	Extraordinary Property Losses	230	NA	
25	Unrecovered Plant and Regulatory Study Costs	230	NA	
26	Transmission Service and Generation Interconnection Study Costs	231		
27	Other Regulatory Assets	232		
28	Miscellaneous Deferred Debits	233	NA	
29	Accumulated Deferred Income Taxes	234	NA	
30	Capital Stock	250-251	NA	
31	Other Paid-In Capital	253	NA	
32	Capital Stock Expense	254	NA	
33	Long-Term Debt	256-257		
34	Reconciliation of Reported Net Income with Taxable Inc for Fed Inc Tax	261	NA	
35	Taxes Accrued, Prepaid and Charged During the Year	262-263		
36	Accumulated Deferred Investment Tax Credits	266-267	NA	

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LIST OF SCHEDULES (Electric Utility) (continued)

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Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)
37	Other Deferred Credits	269	
38	Accumulated Deferred Income Taxes-Accelerated Amortization Property	272-273	NA
39	Accumulated Deferred Income Taxes-Other Property	274-275	NA
40	Accumulated Deferred Income Taxes-Other	276-277	NA
41	Other Regulatory Liabilities	278	
42	Electric Operating Revenues	300-301	
43	Regional Transmission Service Revenues (Account 457.1)	302	
44	Sales of Electricity by Rate Schedules	304	NA
45	Sales for Resale	310-311	NA
46	Electric Operation and Maintenance Expenses	320-323	
47	Purchased Power	326-327	NA
48	Transmission of Electricity for Others	328-330	NA
49	Transmission of Electricity by ISO/RTOs	331	
50	Transmission of Electricity by Others	332	NA
51	Miscellaneous General Expenses-Electric	335	
52	Depreciation and Amortization of Electric Plant	336-337	
53	Regulatory Commission Expenses	350-351	
54	Research, Development and Demonstration Activities	352-353	NA
55	Distribution of Salaries and Wages	354-355	
56	Common Utility Plant and Expenses	356	NA
57	Amounts Included in ISO/RTO Settlement Statements	397	NA
58	Purchase and Sale of Ancillary Services	398	NA
59	Monthly Transmission System Peak Load	400	NA
60	Monthly ISO/RTO Transmission System Peak Load	400a	
61	Electric Energy Account	401	NA
62	Monthly Peaks and Output	401	NA
63	Steam Electric Generating Plant Statistics	402-403	NA
64	Hydroelectric Generating Plant Statistics	406-407	NA
65	Pumped Storage Generating Plant Statistics	408-409	NA
66	Generating Plant Statistics Pages	410-411	NA

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Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)	
67	Transmission Line Statistics Pages	422-423	NA	
68	Transmission Lines Added During the Year	424-425	NA	
69	Substations	426-427	NA	
70	Transactions with Associated (Affiliated) Companies	429	NA	
71	Footnote Data	450		
Stockholders' Reports Check appropriate box: <input type="checkbox"/> Two copies will be submitted <input type="checkbox"/> No annual report to stockholders is prepared				

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GENERAL INFORMATION			
<p>1. Provide name and title of officer having custody of the general corporate books of account and address of office where the general corporate books are kept, and address of office where any other corporate books of account are kept, if different from that where the general corporate books are kept.</p> <p>Melissa Brown SR VP & CFO 720 City Center Drive Carmel, IN 46032</p>			
<p>2. Provide the name of the State under the laws of which respondent is incorporated, and date of incorporation. If incorporated under a special law, give reference to such law. If not incorporated, state that fact and give the type of organization and the date organized.</p> <p>Delaware - March 1998</p>			
<p>3. If at any time during the year the property of respondent was held by a receiver or trustee, give (a) name of receiver or trustee, (b) date such receiver or trustee took possession, (c) the authority by which the receivership or trusteeship was created, and (d) date when possession by receiver or trustee ceased.</p> <p>N/A</p>			
<p>4. State the classes or utility and other services furnished by respondent during the year in each State in which the respondent operated.</p> <p>Regional Transmission Organization for electric transmission in all or parts of 15 US states (MT, ND, SD, MN, WI, MI, IA, IL, IN, MO, KY, AR, MS, LA, TX) and the Canadian province of Manitoba. The company's open access transmission tariff became effective on February 1, 2002. On April 1, 2005, MISO formally began operating its market-based, congestion management system as required by FERC in its December 19, 2001 order granting RTO status to MISO. MISO's market-based, congestion management system features the following:</p> <p>Day-Ahead energy market Real-Time energy market Financial Transmission Rights (FTR) market</p> <p>On January 6, 2009, MISO began operating a market for ancillary services as required by FERC.</p>			
<p>5. Have you engaged as the principal accountant to audit your financial statements an accountant who is not the principal accountant for your previous year's certified financial statements?</p> <p>(1) <input type="checkbox"/> Yes...Enter the date when such independent accountant was initially engaged: (2) <input checked="" type="checkbox"/> No</p>			

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OFFICERS

1. Report below the name, title and salary for each executive officer whose salary is \$50,000 or more. An "executive officer" of a respondent includes its president, secretary, treasurer, and vice president in charge of a principal business unit, division or function (such as sales, administration or finance), and any other person who performs similar policy making functions.
2. If a change was made during the year in the incumbent of any position, show name and total remuneration of the previous incumbent, and the date the change in incumbency was made.

Line No.	Title (a)	Name of Officer (b)	Salary for Year (c)
1	Chief Executive Officer	John R. Bear	859,411
2	President & Chief Operating Officer	Clair J. Moeller	480,375
3	Exec. VP Market Development Strategy	Richard L. Doying	406,000
4	Sr VP & CFO	Melissa Brown	383,438
5	VP Human Resources	Gregory L. Powell	313,226
6	VP & Chief Digital Officer	Todd A. Ramey	325,630
7	SR VP & Chief Customer Officer	Todd P. Hillman	323,453
8	VP System Planning & Chief Compliance Officer	Jennifer K. Curran	352,588
9	VP Strategy & Business Development	R. Wayne Schug	275,975
10	Sr. VP Chief Information Officer	John C. Goode	360,000
11	VP & General Counsel	Andre Porter	373,500
12	VP & Chief Information Security Officer	Kerl Glitch	301,637
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DIRECTORS

1. Report below the information called for concerning each director of the respondent who held office at any time during the year. Include in column (a), abbreviated titles of the directors who are officers of the respondent.
2. Designate members of the Executive Committee by a triple asterisk and the Chairman of the Executive Committee by a double asterisk.

Line No.	Name (and Title) of Director (a)	Principal Business Address (b)
1	Phyllis Currie (CHAIR)**	720 City Center Drive, Carmel, IN 46032
2	John Bear (CEO)***	720 City Center Drive, Carmel, IN 46032
3	Baljit Dail***	720 City Center Drive, Carmel, IN 46032
4	HB "Trip" Doggett***	720 City Center Drive, Carmel, IN 46032
5	Mark Johnson***	720 City Center Drive, Carmel, IN 46032
6	Barbara Krumsiek***	720 City Center Drive, Carmel, IN 46032
7	Nancy Lange***	720 City Center Drive, Carmel, IN 46032
8	Robert Lurie***	720 City Center Drive, Carmel, IN 46032
9	Todd Raba***	720 City Center Drive, Carmel, IN 46032
10	Theresa Wise***	720 City Center Drive, Carmel, IN 46032
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INFORMATION ON FORMULA RATES FERC Rate Schedule/Tariff Number FERC Proceeding					
Does the respondent file with the Commission annual (or more frequent) filings containing the inputs to the formula rate(s)?				<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2. If yes, provide a listing of such filings as contained on the Commission's eLibrary website					
Line No.	Accession No.	Document Date Filed Date	Docket No.	Description	Formula Rate FERC Rate Schedule Number or Tariff Number
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Midcontinent Independent System Operator, Inc			
FOOTNOTE DATA			

Schedule Page: 1061 Line No.: 1 Column: a

	<u>Accession No.</u>	<u>Date Filed</u>	<u>Docket No.</u>	<u>Description</u>	<u>Formula Rate, FERC Rate Schedule Number or Tarriff Number</u>
Schedule 10					
1	19980121-0803	1/15/98	ER98-1438-000	Original OATT Filing, including first submission of Schedule 10	Sch. 10, Incl. A,B,C & FERC
2	20011023-0009	10/16/01	ER02-111-000	Revised Schedule 10 to provide unbundled RTO Services, added Schedule 10A	Sch. 10, Incl. A,B,C & FERC
3	20020102-0034	12/28/01	ER02-652-000	Filing to add Schedule 10B	Sch. 10, Incl. A,B,C & FERC
4	20020102-0034	1/29/02	ER02-871-000	Revisions to 10B to apply it to UtiliCorp only	Sch. 10, Incl. A,B,C & FERC
5	20030110-0122	1/6/03	ER02-2595-003	Revisions to Schedules 10, 16, and 17 in compliance with 11/22/02 Order	Sch. 10, Incl. A,B,C & FERC
6	20030117-0309	1/16/03	ER03-422-000	Clarify language in Section B.2 of Schedule 10	Sch. 10, Incl. A,B,C & FERC
7	20030219-0177	2/13/03	ER03-422-000	Errata to 1/16/03 Filing	Sch. 10, Incl. A,B,C & FERC
8	20030221-0303	2/19/03	ER03-86-002	Section III of Schedule 10 revised	Sch. 10, Incl. A,B,C & FERC
9	20030325-0083	3/24/03	ER03-422-002	Clarified exemption	Sch. 10, Incl. A,B,C & FERC
10	20030328-0050	3/26/03	ER02-111-007 ER02-652-000	Revisions to Schedule 10 and 10A	Sch. 10, Incl. A,B,C & FERC
11	20030515-0021	5/7/03	ER02-871-000	Revisions to Schedule 10B, Settlement with Aquila	Sch. 10, Incl. A,B,C & FERC
12	20030623-0232	6/19/03	ER02-111-000 ER02-652-005	Compliance Filing	Sch. 10, Incl. A,B,C & FERC
13	20030630-0140	6/27/03	ER02-111-003 ER02-652-006	Errata to 6/19/03 Filing	Sch. 10, Incl. A,B,C & FERC
14	20030708	7/2/03	ER03-1018-0	Clarifying revisions	Sch. 10, Incl. A,B,C
FERC FORM NO. 1 (ED. 12-87)				Page 450.1	

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Midcontinent	Independent	System Operator	Inc			
FOOTNOTE DATA						
	-0072		00		& FERC	
1 5	20030904 -0043	8/29/03	ER03-1277-0 00	Filing to add Schedule 10-FERC	Sch. 10, Incl. A,B,C & FERC	
1 6	20031001 -0092	9/23/03	ER03-1018-0 01	Compliance Filing	Sch. 10, Incl. A,B,C & FERC	
1 7	20031126 -0114	11/24/03	ER03-1277-0 00	Schedule 10-FERC Compliance	Sch. 10, Incl. A,B,C & FERC	
1 8	20040402 -0220	3/31/04	ER04-691-00 0	Original EMT Filing	Sch. 10, Incl. A,B,C & FERC	
1 9	20041102 -0011	10/29/04	ER03-83-005 ER03-86-006	Deletion of TRANSLink Schedules	Sch. 10, Incl. A,B,C & FERC	
2 0	20041228 -0313	12/22/04	ER04-691-01 4	Clean up of EMT to incorporate OATT changes and Orders	Sch. 10, Incl. A,B,C & FERC	
2 1	20050411 -0127	4/6/05	ER04-691-03 4	Effective Date Revisions	Sch. 10, Incl. A,B,C & FERC	
2 2	20050415 -0264	4/14/05	ER04-691-03 5	Effective Date Revisions	Sch. 10, Incl. A,B,C & FERC	
2 3	20050728 -0204	7/27/05	ER04-691-05 8	Compliance Filing re OTP/MDU Settlement	Sch. 10, Incl. A,B,C & FERC	
2 4	20051004 -0061	9/29/05	ER04-691-06 2	Compliance Filing re OTP/MDU Settlement	Sch. 10, Incl. A,B,C & FERC	
2 5	20060105 -0150	1/4/06	ER06-450-00 0	Revisions to Schedule 10B re unbundled RTO services	Sch. 10, Incl. A,B,C & FERC	
2 6	20060519 -0058	5/12/06	ER06-1001-0 00	FERC Annual FEE	Sch. 10, Incl. A,B,C & FERC	
2 7	20060601 -0107	5/30/06	ER06-1054-0 00	Removal of Schedule 10-FERC-METC	Sch. 10, Incl. A,B,C & FERC	
2 8	20060801 -0097	7/31/06	ER06-1308-0 00	Filing to add Schedules 10C, 16A and 17A (LG&E related Schedules)	Sch. 10, Incl. A,B,C & FERC	
2 9	20061005 -0188	10/4/06	ER06-1001-0 01	Errata Schedule 10-FERC compliance	Sch. 10, Incl. A,B,C & FERC	
3 0	20061109 -0111	11/6/06	ER06-1308-0 02	Schedule 16A, 17A and 10C compliance	Sch. 10, Incl. A,B,C & FERC	
3 1	20061116 -0087	11/14/06	ER06-1308-0 03	Schedule 16A, 17A and 10C errata to compliance	Sch. 10, Incl. A,B,C & FERC	
3 2	20061124 -0079	11/21/06	ER06-1308-0 03	Schedule 16A, 17A and 10C errata to compliance	Sch. 10, Incl. A,B,C & FERC	
3	20070103	12/28/06	ER07-384-00	Schedules 10, 16 and 17	Sch. 10,	
FERC FORM NO. 1 (ED. 12-87)				Page 450.2		

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FOOTNOTE DATA					

3	-0124		0		Incl. A,B,C & FERC
3 4	20071130 -0070	11/21/07	ER06-1308-0 04	LGE Exit Fee Adjustments	Sch. 10, Incl. A,B,C & FERC
3 5	20080124 -0080	1/18/08	ER06-1308-0 04	LGE Exit Fee Adjustments	Sch. 10, Incl. A,B,C & FERC
3 6	20081001 -4002	10/1/08	ER09-15-000	ASM Tariff/SERS	Sch. 10, Incl. A,B,C & FERC
3 7	20090331 -0022	3/27/09	ER09-906-00 0	Schedule 10	Sch. 10, Incl. A,B,C & FERC
3 8	20090630 -0004	6/29/09	ER09-906-00 1	Schedule 10	Sch. 10, Incl. A,B,C & FERC
3 9	20091125 -0130	11/24/09	ER10-316-00 0	Clean-Up	Sch. 10, Incl. A,B,C & FERC
4 0	20100111 -0217	1/11/10	ER10-576-00 0	ISO Cost Recovery Adder	Sch. 10, Incl. A,B,C & FERC
4 1	20100308 -0205	3/5/10	ER10-576-00 1	Amendment re ISO Cost Recovery Adder	Sch. 10, Incl. A,B,C & FERC
4 2	20110421 -5143	4/21/11	ER11-3415-0 00	Filing to add Schedules 10D, 16B, and 17B (ATSI related Schedules)	Sch. 10, Incl. A,B,C & FERC
4 3	20111005 -5093	10/5/11	ER12-33-000	Filing to add Schedule 10G (DEO/DEK related Schedules)	Sch. 10, Incl. A,B,C & FERC
4 4	20111116 -5127	11/16/11	ER11-3415-0 01	Compliance Filing re Schedules 10D, 16B, and 17B (ATSI related Schedules)	Sch. 10, Incl. A,B,C & FERC
4 5	20111230 -5176	12/30/11	ER12-747-00 0	Revisions to Schedule 10-FERC Annual Charges Recovery	Sch. 10, Incl. A,B,C & FERC
4 6	20120801 -5173	8/1/12	ER12-2380-0 00	proposed revisions to the Administrative Cost Schedules to utilize budgeted or forecasted costs in the calculation of the rate formulae	Sch. 10, Incl. A,C, D and G
4 7	20120920 -5001	9/20/12	ER10-1997-0 01	Baseline clean-up filing	Sch. 10-E
4 8	20121024 -5121	10/24/12	ER12-1021-0 00	proposal to defer for future recovery certain unanticipated costs associated with the September 2011 contamination of information technology equipment and the physical structure at MISO's Carmel Data Center ("CDC") following the mechanical failure of an air handling unit	Sch. 10
4 9	20121030 -5001	10/29/12	ER12-2380-0 01	Compliance filing re Schedules 10, 10C, 10D, 10G	Sch. 10, Incl. C,D,G
5	20130104	1/4/13	ER13-709-00	revise Schedules 10, 16, and 17 of the	Sch

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FOOTNOTE DATA			

0	-5127		0	Tariff to memorialize the recovery of interest on all long-term unsecured senior notes that have been previously authorized by the Commission.	10,10A,10D
5 1	20130618 -5000	6/18/13	ER13-1718-0 00	compliance filing and submits proposed revisions to its Tariff to reflect MISO's corporate name change, effective June 1, 2013.	Sch 10
5 2	20130904 -5188	9/4/13	ER13-2321-0 00	The Tariff changes include the addition of language and a footnote to Schedules 10, 16 and 17 to reflect the deferral of certain costs associated with the MISO South Integration.	Sch 10 (A,B,C,D,G)
5 3	20131015 -5029	10/15/13	ER13-1718-0 01	compliance filing and submits proposed revisions to its Tariff to reflect MISO's corporate name change, effective June 1, 2013.	Sch 10
5 4	20131118 -5114	11/18/13	ER14-421-00 0	filing to re-collate MISO's Tariff under new e-Tariff software, no substantive changes were made to Tariff language	Sch 10
5 5	20131219 -5216	12/20/13	ER14-721-00 0	filing to clean up Schedule 16 to Insert revisions filed and accepted in Docket No. ER12-1021-001 (January 4, 2013 Letter Order).	Sch 10 - FERC
5 6	20141201 -5236	12/1/14	ER15-542-00 0	Filing to Recover Cost of Land and Remove Expiring Credits	Sch 10, 10D, 10G
5 7	20150302 -5304	3/2/15	ER15-542-001	Compliance to filing to Recover Cost of Land and Remove Expiring Credits	Sch 10, 10A, 10B, 10C

Schedule 16

1	20020926 -0312	9/24/02	ER02-2595-0 00	Filing to add Schedules 16 and 17	Sch. 16 & 16A
2	20030110 -0122	1/6/03	ER02-2595-0 03	Revisions to Schedules 10, 16, and 17 in compliance with 11/22/02 Order	Sch. 16 & 16A
3	20040402 -0220	3/31/04	ER04-691-00 0	Original EMT Filing	Sch. 16 & 16A
4	20041103 -0019	11/1/04	ER02-2595-0 06	Schedule 16 and 17 Compliance	Sch. 16 & 16A
5	20041108 -0015	11/5/04	ER02-2595	Errata to 11/1/04 Filing	Sch. 16 & 16A
6	20041228 -0313	12/22/04	ER04-691-01 4	Clean up of EMT to incorporate OATT changes and Orders	Sch. 16 & 16A
7	20050124 -0349	1/19/05	ER02-2595-0 07	Compliance on August 6 Order regarding market start up safeguards and revising FTR allocation mechanisms	Sch. 16 & 16A
8	20050411 -0127	4/6/05	ER04-691-03 4	Effective Date Revisions	Sch. 16 & 16A
9	20050518 -0003	5/16/05	ER02-2595-0 09	Effective Date Revisions	Sch. 16 & 16A
10	20050728 -0204	7/27/05	ER04-691-05 8	Compliance Filing re OTP/MDU Settlement	Sch. 16 & 16A
11	20050804	7/29/05	ER02-2595-0	Errata to Schedules 16 and 17	Sch. 16 &

Name of Respondent	System Operator	Inc	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
FOOTNOTE DATA					
-0004	10			16A	
1 2 20051004-0061	9/29/05	ER04-691-062	Compliance Filing re OTP/MDU Settlement	Sch. 16 & 16A	
1 3 20060330-0059	3/27/06	ER06-790-000	Schedule 16 and 17 revisions	Sch. 16 & 16A	
1 4 20060801-0097	7/31/06	ER06-1308-000	Filing to add Scheds. 10C, 16A and 17A (LG&E related Schedules)	Sch. 16 & 16A	
1 5 20061109-0111	11/6/06	ER06-1308-002	Schedule 16A, 17A and 10C compliance	Sch. 16 & 16A	
1 6 20061116-0087	11/14/06	ER06-1308-003	Schedule 16A, 17A and 10C errata to compliance	Sch. 16 & 16A	
1 7 20071130-0070	3/9/75	ER06-1308-003	Schedule 16A, 17A and 10C errata to compliance	Sch. 16 & 16A	
1 8 20070103-0124	7/30/05	ER07-384-000	Schedules 10, 16 and 17	Sch. 16 & 16A	
1 9 20081001-4002	10/1/08	ER09-15-000	ASM Tariff /SERS	Sch. 16 & 16A	
2 0 20090309-0146	3/6/09	ER09-807-000	Schedule 16 and 17	Sch. 16 & 16A	
2 1 20090803-0075	7/31/09	ER09-807-001	Schedule 16 and 17	Sch. 16 & 16A	
2 2 20100111-0217	1/11/10	ER10-576-000	Financial Transmission Rights Administrative Service Cost Recovery Adder	Sch. 16 & 16A	
2 3 20100308-0205	3/5/10	ER10-576-001	Amendment to Financial Transmission Rights Administrative Service Cost Recovery Adder	Sch. 16 & 16A	
2 4 20110421-5143	4/21/11	ER11-3415-000	Filing to add Schedules 10D, 16B, and 17B (ATSI related Schedules)	Sch. 16 & 16A	
2 5 20111005-5093	10/5/11	ER12-33-000	Filing to add Schedule 16C (DEO/DEK related Schedule)	Sch. 16 & 16A	
2 6 20111116-5127	11/16/11	ER11-3415-001	Compliance Filing re Schedules 10D, 16B, and 17B ATSI (ATSI related Schedules)	Sch. 16 & 16A	
2 7 20120801-5173	8/1/12	ER12-2380-000	proposed revisions to the Administrative Cost Schedules to utilize budgeted or forecasted costs in the calculation of the rate formulae	Sch. 16 incl. A,B,C	
2 8 20121024-5121	10/24/12	ER12-1021-000	proposal to defer for future recovery certain unanticipated costs associated with the September 2011 contamination of information technology equipment and the physical structure at MISO's Carmel Data Center ("CDC") following the mechanical failure of an air handling unit	Sch. 16	
2 9 20130104-5127	1/4/13	ER13-709-000	revise Schedules 10, 16, and 17 of the Tariff to memorialize the recovery of interest on all long-term unsecured senior notes that have been previously authorized by the Commission.	Sch. 16	
3 20130618	6/18/13	ER13-1718-0	compliance filing and submits proposed	Sch 16, 16B	
FERC FORM NO. 1 (ED. 12-87)					
Page 450.5					

Name of Respondent	This Report is:		Date of Report	Year/Period of Report
Midcontinent Independent System Operator, Inc	(1) <input checked="" type="checkbox"/> An Original	(2) <input type="checkbox"/> A Resubmission	(Mo, Da, Yr) 04/16/2021	2020/Q4
FOOTNOTE DATA				

0	-5000		00	revisions to its Tariff to reflect MISO's corporate name change, effective June 1, 2013.	
31	20130904-5188	9/4/13	ER13-2321-000	The Tariff changes include the addition of language and a footnote to Schedules 10, 16 and 17 to reflect the deferral of certain costs associated with the MISO South Integration.	Sch 16 (A,B,C)
32	20131015-5029	10/15/13	ER13-1718-001	compliance filing and submits proposed revisions to its Tariff to reflect MISO's corporate name change, effective June 1, 2013.	Sch 16
33	20131118-5114	11/18/13	ER14-421-000	filing to re-collate MISO's Tariff under new e-Tariff software, no substantive changes were made to Tariff language	Sch 16
34	20131219-5216	12/20/13	ER14-721-000	filing to clean up Schedule 16 to Insert revisions filed and accepted in Docket No. ER12-1021-001 (January 4, 2013 Letter Order).	Sch 16
35	20141201-5236	12/1/14	ER15-542-000	Filing to Recover Cost of Land and Remove Expiring Credits	Sch 16, 16B, 16C
36	20150302-5304	3/2/15	ER15-542-001	Compliance to filing to Recover Cost of Land and Remove Expiring Credits	Sch 16, 16A, 16B, 16C

Schedule 17

1	20020926-0312	9/24/02	ER02-2595-000	Filing to add Schedules 16 and 17	Sch. 17 & 17A
2	20030110-0122	1/6/03	ER02-2595-003	Revisions to Schedules 10, 16, and 17 in compliance with 11/22/02 Order	Sch. 17 & 17A
3	20040402-0220	3/31/04	ER04-691-000	Original EMT Filing	Sch. 17 & 17A
4	20041006-0190	10/5/04	ER04-691-007	Compliance on August 6 Order regarding market start up safeguards and revising FTR allocation mechanisms.	Sch. 17 & 17A
5	20041103-0019	11/1/04	ER02-2595-006	Schedule 16 and 17 Compliance	Sch. 17 & 17A
6	20041108-0015	11/5/04	ER02-2595	Errata to 11/1/04 Filing	Sch. 17 & 17A
7	20041228-0313	12/22/04	ER04-691-004	Clean up of EMT to incorporate OATT changes and Orders	Sch. 17 & 17A
8	20050124-0349	1/19/05	ER02-2595-007	Compliance on September 16 Order	Sch. 17 & 17A
9	20050411-0127	4/6/05	ER04-691-004	Effective Date Revisions	Sch. 17 & 17A
10	20050518-0003	5/16/05	ER02-2595-009	Schedule 16 and 17 Compliance	Sch. 17 & 17A
11	20050728-0204	7/27/05	ER04-691-008	Compliance Filing re OTP/MDU Settlement	Sch. 17 & 17A
12	20050804-0004	6/29/00	ER02-2595-010	Errata to Sched 16 and 17	Sch. 17 & 17A
13	20051004-0061	9/29/05	ER04-691-002	Compliance Filing re OTP/MDU Settlement	Sch. 17 & 17A

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr)	Year/Period of Report
Midcontinent Independent System Operator, Inc		04/16/2021	2020/Q4
FOOTNOTE DATA			

1 4	20060330 -0059	3/27/06	ER06-790-00 0	Schedule 16 and 17 revisions	Sch. 17 & 17A
1 5	20060801 -0097	7/31/06	ER06-1308-0 00	Filing to add Scheds. 10C, 16A and 17A (LG&E related Schedules)	Sch. 17 & 17A
1 6	20060810 -0057	8/8/06	ER06-1308-0 01	Errata to 7/31/06 Filing	Sch. 17 & 17A
1 7	20061109 -0111	11/6/06	ER06-1308-0 02	Schedule 16A, 17A and 10C compliance	Sch. 17 & 17A
1 8	20061116 -0087	11/14/06	ER06-1308-0 03	Schedule 16A, 17A and 10C errata to compliance	Sch. 17 & 17A
1 9	20061124 -0079	11/21/06	ER06-1308-0 03	Schedule 16A, 17A and 10C errata to compliance	Sch. 17 & 17A
2 0	20070103 -0124	12/28/06	ER07-384-00 0	Schedules 10, 16 and 17	Sch. 17 & 17A
2 1	20071130 -0070	11/21/06	ER06-1308-0 04	LGE Exit Fee Adjustments	Sch. 17 & 17A
2 2	20080124 -0080	1/18/08	ER06-1308-0 04	LGE Withdrawl Fee Recalcualtion	Sch. 17 & 17A
2 3	20081001 -4002	10/1/08	ER09-15-000	ASM Tariff/SERS	Sch. 17 & 17A
2 4	20090309 -0146	3/6/09	ER09-807-00 0	Schedules 16 and 17	Sch. 17 & 17A
2 5	20090803 -0075	7/31/09	ER09-807-00 1	Schedules 16 and 17 Amendment	Sch. 17 & 17A
2 6	20100111 -0217	1/11/10	ER10-576-00 0	Energy and Operating Reserve Mkts Support Administrative Service Cost Recovery Adder	Sch. 17 & 17A
2 7	20100308 -0205	3/5/10	ER10-576-00 1	Amendments to Energy and Operating Reserve Mkts Support Administrative Service Cost Recovery Adder	Sch. 17 & 17A
2 8	20110421 -5143	4/21/11	ER11-3415-0 00	Filing to add Schedules 10D, 16B, and 17B (ATSI related Schedules)	Sch. 17 & 17A
2 9	20111005 -5093	10/5/11	ER12-33-000	Filing to add Schedule 17C (DEO/DEK related Schedule)	Sch. 17 & 17A
3 0	20111116 -5127	11/16/11	ER11-3415-0 01	Compliance Filing re 10D, 16B, and 17B ATSI (ATSI related Schedules)	Sch. 17 & 17A
3 1	20120801 -5173	8/1/12	ER12-2380-000		Sch. 17 Incl. A,B,C.
3 2	20121024 -5121	10/24/12	ER12-1021-0 00	proposal to defer for future recovery certain unanticipated costs associated with the September 2011 contamination of information technology equipment and the physical structure at MISO's Carmel Data Center ("CDC") following the mechanical failure of an air handling unit	Sch. 17
3 3	20121030 -5001	10/29/12	ER12-2380-0 01	proposed revisions to the Administrative Cost Schedules to utilize budgeted or forecasted costs in the calculation of the rate formulae	Sch. 17A and Sch. 17C
3	20130104	1/4/13	ER13-709-00	revise Schedules 10, 16, and 17 of the	Sch. 17

Name of Respondent		This Report is:		Date of Report	Year/Period of Report
Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		(Mo, Da, Yr) 04/16/2021	2020/Q4
FOOTNOTE DATA					
4	-5127	0	Tariff to memorialize the recovery of interest on all long-term unsecured senior notes that have been previously authorized by the Commission.		
3 5	20130618 -5000	6/18/13 ER13-1718-0 00	compliance filing and submits proposed revisions to its Tariff to reflect MISO's corporate name change, effective June 1, 2013.	Sch 17, 17B	
3 6	20130904 -5188	9/4/13 ER13-2321-0 00	The Tariff changes include the addition of language and a footnote to Schedules 10, 16 and 17 to reflect the deferral of certain costs associated with the MISO South Integration.	Sch 17 (A, B, C)	
3 7	20131015 -5029	10/15/13 ER13-1718-0 01	compliance filing and submits proposed revisions to its Tariff to reflect MISO's corporate name change, effective June 1, 2013.	Sch 17	
3 8	20131118 -5114	11/18/13 ER14-421-00 0	filing to re-collate MISO's Tariff under new e-Tariff software, no substantive changes were made to Tariff language	Sch 17	
3 9	20141201 -5236	12/1/14 ER15-542-00 0	Filing to Recover Cost of Land and Remove Expiring Credits	Sch 17, 17B, 17C	
4 0	20150302 -5304	3/2/15 ER15-542-001	Compliance to filing to Recover Cost of Land and Remove Expiring Credits	Sch 17, 17A	
4 1	20190118 -5035	1/18/19 ER19-34-001	Deficiency Response re Pseudo-Tie Congestion Overlap Phase 2 Filing	Sch 17	

Name of Respondent Midcontinent Independent System Operator,	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report 04/16/2021	Year/Period of Report End of 2020/Q4
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IMPORTANT CHANGES DURING THE QUARTER/YEAR

Give particulars (details) concerning the matters indicated below. Make the statements explicit and precise, and number them in accordance with the inquiries. Each inquiry should be answered. Enter "none," "not applicable," or "NA" where applicable. If information which answers an inquiry is given elsewhere in the report, make a reference to the schedule in which it appears.

1. Changes in and important additions to franchise rights: Describe the actual consideration given therefore and state from whom the franchise rights were acquired. If acquired without the payment of consideration, state that fact.
2. Acquisition of ownership in other companies by reorganization, merger, or consolidation with other companies: Give names of companies involved, particulars concerning the transactions, name of the Commission authorizing the transaction, and reference to Commission authorization.
3. Purchase or sale of an operating unit or system: Give a brief description of the property, and of the transactions relating thereto, and reference to Commission authorization, if any was required. Give date journal entries called for by the Uniform System of Accounts were submitted to the Commission.
4. Important leaseholds (other than leaseholds for natural gas lands) that have been acquired or given, assigned or surrendered: Give effective dates, lengths of terms, names of parties, rents, and other condition. State name of Commission authorizing lease and give reference to such authorization.
5. Important extension or reduction of transmission or distribution system: State territory added or relinquished and date operations began or ceased and give reference to Commission authorization, if any was required. State also the approximate number of customers added or lost and approximate annual revenues of each class of service. Each natural gas company must also state major new continuing sources of gas made available to it from purchases, development, purchase contract or otherwise, giving location and approximate total gas volumes available, period of contracts, and other parties to any such arrangements, etc.
6. Obligations incurred as a result of issuance of securities or assumption of liabilities or guarantees including issuance of short-term debt and commercial paper having a maturity of one year or less. Give reference to FERC or State Commission authorization, as appropriate, and the amount of obligation or guarantee.
7. Changes in articles of incorporation or amendments to charter: Explain the nature and purpose of such changes or amendments.
8. State the estimated annual effect and nature of any important wage scale changes during the year.
9. State briefly the status of any materially important legal proceedings pending at the end of the year, and the results of any such proceedings culminated during the year.
10. Describe briefly any materially important transactions of the respondent not disclosed elsewhere in this report in which an officer, director, security holder reported on Page 104 or 105 of the Annual Report Form No. 1, voting trustee, associated company or known associate of any of these persons was a party or in which any such person had a material interest.
11. (Reserved.)
12. If the important changes during the year relating to the respondent company appearing in the annual report to stockholders are applicable in every respect and furnish the data required by Instructions 1 to 11 above, such notes may be included on this page.
13. Describe fully any changes in officers, directors, major security holders and voting powers of the respondent that may have occurred during the reporting period.
14. In the event that the respondent participates in a cash management program(s) and its proprietary capital ratio is less than 30 percent please describe the significant events or transactions causing the proprietary capital ratio to be less than 30 percent, and the extent to which the respondent has amounts loaned or money advanced to its parent, subsidiary, or affiliated companies through a cash management program(s). Additionally, please describe plans, if any to regain at least a 30 percent proprietary ratio.

PAGE 108 INTENTIONALLY LEFT BLANK
SEE PAGE 109 FOR REQUIRED INFORMATION.

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
Midcontinent Independent System Operator, Inc			
IMPORTANT CHANGES DURING THE QUARTER/YEAR (Continued)			

There were no material changes that occurred during the year applicable to the matters indicated on page 108 other than Baljit Dail resigning from his position on the MISO Board of Directors on December 28, 2020.

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
1	UTILITY PLANT			
2	Utility Plant (101-106, 114)	200-201	567,500,770	613,881,236
3	Construction Work in Progress (107)	200-201	63,501,949	39,238,629
4	TOTAL Utility Plant (Enter Total of lines 2 and 3)		631,002,719	653,119,865
5	(Less) Accum. Prov. for Depr. Amort. Depl. (108, 110, 111, 115)	200-201	476,658,037	503,388,682
6	Net Utility Plant (Enter Total of line 4 less 5)		154,344,682	149,731,183
7	Nuclear Fuel In Process of Ref., Conv., Enrich., and Fab. (120.1)	202-203	0	0
8	Nuclear Fuel Materials and Assemblies-Stock Account (120.2)		0	0
9	Nuclear Fuel Assemblies in Reactor (120.3)		0	0
10	Spent Nuclear Fuel (120.4)		0	0
11	Nuclear Fuel Under Capital Leases (120.6)		0	0
12	(Less) Accum. Prov. for Amort. of Nucl. Fuel Assemblies (120.5)	202-203	0	0
13	Net Nuclear Fuel (Enter Total of lines 7-11 less 12)		0	0
14	Net Utility Plant (Enter Total of lines 6 and 13)		154,344,682	149,731,183
15	Utility Plant Adjustments (116)		0	0
16	Gas Stored Underground - Noncurrent (117)		0	0
17	OTHER PROPERTY AND INVESTMENTS			
18	Nonutility Property (121)		0	0
19	(Less) Accum. Prov. for Depr. and Amort. (122)		0	0
20	Investments in Associated Companies (123)		0	0
21	Investment in Subsidiary Companies (123.1)	224-225	0	0
22	(For Cost of Account 123.1, See Footnote Page 224, line 42)			
23	Noncurrent Portion of Allowances	228-229	0	0
24	Other Investments (124)		3,572,629	35,138,515
25	Sinking Funds (125)		0	0
26	Depreciation Fund (126)		0	0
27	Amortization Fund - Federal (127)		0	0
28	Other Special Funds (128)		10,615,591	8,146,427
29	Special Funds (Non Major Only) (129)		0	0
30	Long-Term Portion of Derivative Assets (175)		0	0
31	Long-Term Portion of Derivative Assets - Hedges (176)		0	0
32	TOTAL Other Property and Investments (Lines 18-21 and 23-31)		14,188,220	43,284,942
33	CURRENT AND ACCRUED ASSETS			
34	Cash and Working Funds (Non-major Only) (130)		0	0
35	Cash (131)		296,893,970	275,655,465
36	Special Deposits (132-134)		737,800,566	611,923,028
37	Working Fund (135)		0	0
38	Temporary Cash Investments (136)		423,532,622	423,089,963
39	Notes Receivable (141)		0	0
40	Customer Accounts Receivable (142)		3,403,462	3,576,423
41	Other Accounts Receivable (143)		587,980	596,575
42	(Less) Accum. Prov. for Uncollectible Acct.-Credit (144)		0	0
43	Notes Receivable from Associated Companies (145)		0	0
44	Accounts Receivable from Assoc. Companies (146)		0	0
45	Fuel Stock (151)	227	0	0
46	Fuel Stock Expenses Undistributed (152)	227	0	0
47	Residuals (Elec) and Extracted Products (153)	227	0	0
48	Plant Materials and Operating Supplies (154)	227	0	0
49	Merchandise (155)	227	0	0
50	Other Materials and Supplies (156)	227	0	0
51	Nuclear Materials Held for Sale (157)	202-203/227	0	0
52	Allowances (158.1 and 158.2)	228-229	0	0

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)Continued)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
53	(Less) Noncurrent Portion of Allowances		0	0
54	Stores Expense Undistributed (163)	227	0	0
55	Gas Stored Underground - Current (164.1)		0	0
56	Liquefied Natural Gas Stored and Held for Processing (164.2-164.3)		0	0
57	Prepayments (165)		13,491,187	13,293,125
58	Advances for Gas (166-167)		0	0
59	Interest and Dividends Receivable (171)		33,672	408,172
60	Rents Receivable (172)		0	0
61	Accrued Utility Revenues (173)		27,282,067	21,092,700
62	Miscellaneous Current and Accrued Assets (174)		0	0
63	Derivative Instrument Assets (175)		0	0
64	(Less) Long-Term Portion of Derivative Instrument Assets (175)		0	0
65	Derivative Instrument Assets - Hedges (176)		0	0
66	(Less) Long-Term Portion of Derivative Instrument Assets - Hedges (176)		0	0
67	Total Current and Accrued Assets (Lines 34 through 66)		1,503,025,526	1,349,635,451
68	DEFERRED DEBITS			
69	Unamortized Debt Expenses (181)		720,240	758,332
70	Extraordinary Property Losses (182.1)	230a	0	0
71	Unrecovered Plant and Regulatory Study Costs (182.2)	230b	0	0
72	Other Regulatory Assets (182.3)	232	1,399,284	3,428,169
73	Prelim. Survey and Investigation Charges (Electric) (183)		0	0
74	Preliminary Natural Gas Survey and Investigation Charges 183.1)		0	0
75	Other Preliminary Survey and Investigation Charges (183.2)		0	0
76	Clearing Accounts (184)		0	0
77	Temporary Facilities (185)		0	0
78	Miscellaneous Deferred Debits (186)	233	0	0
79	Def. Losses from Disposition of Utility Plt. (187)		0	0
80	Research, Devel. and Demonstration Expend. (188)	352-353	0	0
81	Unamortized Loss on Reaquired Debt (189)		0	0
82	Accumulated Deferred Income Taxes (190)	234	0	0
83	Unrecovered Purchased Gas Costs (191)		0	0
84	Total Deferred Debits (lines 69 through 83)		2,119,524	4,186,501
85	TOTAL ASSETS (lines 14-16, 32, 67, and 84)		1,673,677,952	1,546,838,077

Name of Respondent Midcontinent Independent System Operator, Inc		This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (mo, da, yr) 04/16/2021		Year/Period of Report end of 2020/Q4	
COMPARATIVE BALANCE SHEET (LIABILITIES AND OTHER CREDITS)							
Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)			
1	PROPRIETARY CAPITAL						
2	Common Stock Issued (201)	250-251	0	0			
3	Preferred Stock Issued (204)	250-251	0	0			
4	Capital Stock Subscribed (202, 205)		0	0			
5	Stock Liability for Conversion (203, 206)		0	0			
6	Premium on Capital Stock (207)		0	0			
7	Other Paid-In Capital (208-211)	253	0	0			
8	Installments Received on Capital Stock (212)	252	0	0			
9	(Less) Discount on Capital Stock (213)	254	0	0			
10	(Less) Capital Stock Expense (214)	254b	0	0			
11	Retained Earnings (215, 215.1, 216)	118-119	0	0			
12	Unappropriated Undistributed Subsidiary Earnings (216.1)	118-119	0	0			
13	(Less) Reacquired Capital Stock (217)	250-251	0	0			
14	Noncorporate Proprietorship (Non-major only) (218)		0	0			
15	Accumulated Other Comprehensive Income (219)	122(a)(b)	0	0			
16	Total Proprietary Capital (lines 2 through 15)		0	0			
17	LONG-TERM DEBT						
18	Bonds (221)	256-257	0	0			
19	(Less) Reacquired Bonds (222)	256-257	0	0			
20	Advances from Associated Companies (223)	256-257	0	0			
21	Other Long-Term Debt (224)	256-257	275,000,000	275,000,000			
22	Unamortized Premium on Long-Term Debt (225)		0	0			
23	(Less) Unamortized Discount on Long-Term Debt-Debit (226)		0	0			
24	Total Long-Term Debt (lines 18 through 23)		275,000,000	275,000,000			
25	OTHER NONCURRENT LIABILITIES						
26	Obligations Under Capital Leases - Noncurrent (227)		0	276,430			
27	Accumulated Provision for Property Insurance (228.1)		0	0			
28	Accumulated Provision for Injuries and Damages (228.2)		0	0			
29	Accumulated Provision for Pensions and Benefits (228.3)		10,615,591	8,146,427			
30	Accumulated Miscellaneous Operating Provisions (228.4)		0	0			
31	Accumulated Provision for Rate Refunds (229)		0	0			
32	Long-Term Portion of Derivative Instrument Liabilities		0	0			
33	Long-Term Portion of Derivative Instrument Liabilities - Hedges		0	0			
34	Asset Retirement Obligations (230)		0	0			
35	Total Other Noncurrent Liabilities (lines 26 through 34)		10,615,591	8,422,857			
36	CURRENT AND ACCRUED LIABILITIES						
37	Notes Payable (231)		0	0			
38	Accounts Payable (232)		3,040,479	4,631,755			
39	Notes Payable to Associated Companies (233)		0	0			
40	Accounts Payable to Associated Companies (234)		0	0			
41	Customer Deposits (235)		1,274,180,948	1,092,727,981			
42	Taxes Accrued (236)	262-263	1,063,833	1,064,992			
43	Interest Accrued (237)		2,778,421	5,364,481			
44	Dividends Declared (238)		0	0			
45	Matured Long-Term Debt (239)		0	0			

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Name of Respondent Midcontinent Independent System Operator, Inc	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (mo, da, yr) 04/16/2021	Year/Period of Report end of 2020/Q4
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COMPARATIVE BALANCE SHEET (LIABILITIES AND OTHER CREDITS) (Continued)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
46	Matured Interest (240)		0	0
47	Tax Collections Payable (241)		0	0
48	Miscellaneous Current and Accrued Liabilities (242)		85,148,803	132,328,442
49	Obligations Under Capital Leases-Current (243)		0	1,577,277
50	Derivative Instrument Liabilities (244)		0	0
51	(Less) Long-Term Portion of Derivative Instrument Liabilities		0	0
52	Derivative Instrument Liabilities - Hedges (245)		0	0
53	(Less) Long-Term Portion of Derivative Instrument Liabilities-Hedges		0	0
54	Total Current and Accrued Liabilities (lines 37 through 53)		1,366,212,484	1,237,694,928
55	DEFERRED CREDITS			
56	Customer Advances for Construction (252)		0	0
57	Accumulated Deferred Investment Tax Credits (255)	266-267	0	0
58	Deferred Gains from Disposition of Utility Plant (256)		0	0
59	Other Deferred Credits (253)	269	4,541,125	4,571,693
60	Other Regulatory Liabilities (254)	278	17,308,752	21,148,599
61	Unamortized Gain on Reacquired Debt (257)		0	0
62	Accum. Deferred Income Taxes-Accel. Amort.(281)	272-277	0	0
63	Accum. Deferred Income Taxes-Other Property (282)		0	0
64	Accum. Deferred Income Taxes-Other (283)		0	0
65	Total Deferred Credits (lines 56 through 64)		21,849,877	25,720,292
66	TOTAL LIABILITIES AND STOCKHOLDER EQUITY (lines 16, 24, 35, 54 and 65)		1,673,677,952	1,546,838,077

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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STATEMENT OF INCOME

Quarterly

- Report in column (c) the current year to date balance. Column (c) equals the total of adding the data in column (g) plus the data in column (i) plus the data in column (k). Report in column (d) similar data for the previous year. This information is reported in the annual filing only.
- Enter in column (e) the balance for the reporting quarter and in column (f) the balance for the same three month period for the prior year.
- Report in column (g) the quarter to date amounts for electric utility function; in column (i) the quarter to date amounts for gas utility, and in column (k) the quarter to date amounts for other utility function for the current year quarter.
- Report in column (h) the quarter to date amounts for electric utility function; in column (j) the quarter to date amounts for gas utility, and in column (l) the quarter to date amounts for other utility function for the prior year quarter.
- If additional columns are needed, place them in a footnote.

Annual or Quarterly if applicable

- Do not report fourth quarter data in columns (e) and (f)
- Report amounts for accounts 412 and 413, Revenues and Expenses from Utility Plant Leased to Others, in another utility column in a similar manner to a utility department. Spread the amount(s) over lines 2 thru 26 as appropriate. Include these amounts in columns (c) and (d) totals.
- Report amounts in account 414, Other Utility Operating Income, in the same manner as accounts 412 and 413 above.

Line No.	Title of Account (a)	(Ref.) Page No. (b)	Total Current Year to Date Balance for Quarter/Year (c)	Total Prior Year to Date Balance for Quarter/Year (d)	Current 3 Months Ended Quarterly Only No 4th Quarter (e)	Prior 3 Months Ended Quarterly Only No 4th Quarter (f)
1	UTILITY OPERATING INCOME					
2	Operating Revenues (400)	300-301	385,802,493	375,446,997		
3	Operating Expenses					
4	Operation Expenses (401)	320-323	321,019,253	323,826,079		
5	Maintenance Expenses (402)	320-323	6,686,815	7,024,254		
6	Depreciation Expense (403)	336-337	34,587,963	39,326,183		
7	Depreciation Expense for Asset Retirement Costs (403.1)	336-337				
8	Amort. & Depl. of Utility Plant (404-405)	336-337	-30,568	882,672		
9	Amort. of Utility Plant Acq. Adj. (406)	336-337				
10	Amort. Property Losses, Unrecov Plant and Regulatory Study Costs (407)					
11	Amort. of Conversion Expenses (407)					
12	Regulatory Debits (407.3)		1,735,562			
13	(Less) Regulatory Credits (407.4)			4,460,834		
14	Taxes Other Than Income Taxes (408.1)	262-263	11,636,614	10,657,816		
15	Income Taxes - Federal (409.1)	262-263				
16	- Other (409.1)	262-263				
17	Provision for Deferred Income Taxes (410.1)	234, 272-277				
18	(Less) Provision for Deferred Income Taxes-Cr. (411.1)	234, 272-277				
19	Investment Tax Credit Adj. - Net (411.4)	266				
20	(Less) Gains from Disp. of Utility Plant (411.6)					
21	Losses from Disp. of Utility Plant (411.7)					
22	(Less) Gains from Disposition of Allowances (411.8)					
23	Losses from Disposition of Allowances (411.9)					
24	Accretion Expense (411.10)					
25	TOTAL Utility Operating Expenses (Enter Total of lines 4 thru 24)		375,635,639	377,256,170		
26	Net Util Oper Inc (Enter Tot line 2 less 25) Carry to Pg117, line 27		10,166,854	-1,809,173		

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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STATEMENT OF INCOME FOR THE YEAR (Continued)

9. Use page 122 for important notes regarding the statement of income for any account thereof.
10. Give concise explanations concerning unsettled rate proceedings where a contingency exists such that refunds of a material amount may need to be made to the utility's customers or which may result in material refund to the utility with respect to power or gas purchases. State for each year effected the gross revenues or costs to which the contingency relates and the tax effects together with an explanation of the major factors which affect the rights of the utility to retain such revenues or recover amounts paid with respect to power or gas purchases.
11. Give concise explanations concerning significant amounts of any refunds made or received during the year resulting from settlement of any rate proceeding affecting revenues received or costs incurred for power or gas purchases, and a summary of the adjustments made to balance sheet, income, and expense accounts.
12. If any notes appearing in the report to stockholders are applicable to the Statement of Income, such notes may be included at page 122.
13. Enter on page 122 a concise explanation of only those changes in accounting methods made during the year which had an effect on net income, including the basis of allocations and apportionments from those used in the preceding year. Also, give the appropriate dollar effect of such changes.
14. Explain in a footnote if the previous year's/quarter's figures are different from that reported in prior reports.
15. If the columns are insufficient for reporting additional utility departments, supply the appropriate account titles report the information in a footnote to this schedule.

ELECTRIC UTILITY		GAS UTILITY		OTHER UTILITY		Line No.
Current Year to Date (in dollars) (g)	Previous Year to Date (in dollars) (h)	Current Year to Date (in dollars) (i)	Previous Year to Date (in dollars) (j)	Current Year to Date (in dollars) (k)	Previous Year to Date (in dollars) (l)	
						1
385,802,493	375,446,997					2
						3
321,019,253	323,826,079					4
6,686,815	7,024,254					5
34,587,963	39,326,183					6
						7
-30,568	882,672					8
						9
						10
						11
1,735,562						12
	4,460,834					13
11,636,614	10,657,816					14
						15
						16
						17
						18
						19
						20
						21
						22
						23
						24
375,635,639	377,256,170					25
10,166,854	-1,809,173					26

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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STATEMENT OF INCOME FOR THE YEAR (continued)

Line No.	Title of Account (a)	(Ref.) Page No. (b)	TOTAL		Current 3 Months Ended Quarterly Only No 4th Quarter (e)	Prior 3 Months Ended Quarterly Only No 4th Quarter (f)
			Current Year (c)	Previous Year (d)		
27	Net Utility Operating Income (Carried forward from page 114)		10,166,854	-1,809,173		
28	Other Income and Deductions					
29	Other Income					
30	Nonutility Operating Income					
31	Revenues From Merchandising, Jobbing and Contract Work (415)					
32	(Less) Costs and Exp. of Merchandising, Job. & Contract Work (416)					
33	Revenues From Nonutility Operations (417)					
34	(Less) Expenses of Nonutility Operations (417.1)					
35	Nonoperating Rental Income (418)					
36	Equity in Earnings of Subsidiary Companies (418.1)	119				
37	Interest and Dividend Income (419)		5,023,238	28,180,191		
38	Allowance for Other Funds Used During Construction (419.1)					
39	Miscellaneous Nonoperating Income (421)			78,372		
40	Gain on Disposition of Property (421.1)					
41	TOTAL Other Income (Enter Total of lines 31 thru 40)		5,023,238	28,258,563		
42	Other Income Deductions					
43	Loss on Disposition of Property (421.2)		1,321,255	142,242		
44	Miscellaneous Amortization (425)					
45	Donations (426.1)					
46	Life Insurance (426.2)					
47	Penalties (426.3)					
48	Exp. for Certain Civic, Political & Related Activities (426.4)					
49	Other Deductions (426.5)					
50	TOTAL Other Income Deductions (Total of lines 43 thru 49)		1,321,255	142,242		
51	Taxes Applic. to Other Income and Deductions					
52	Taxes Other Than Income Taxes (408.2)	262-263				
53	Income Taxes-Federal (409.2)	262-263				
54	Income Taxes-Other (409.2)	262-263				
55	Provision for Deferred Inc. Taxes (410.2)	234, 272-277				
56	(Less) Provision for Deferred Income Taxes-Cr. (411.2)	234, 272-277				
57	Investment Tax Credit Adj.-Net (411.5)					
58	(Less) Investment Tax Credits (420)					
59	TOTAL Taxes on Other Income and Deductions (Total of lines 52-58)					
60	Net Other Income and Deductions (Total of lines 41, 50, 59)		3,701,983	28,116,321		
61	Interest Charges					
62	Interest on Long-Term Debt (427)		9,052,815	9,499,005		
63	Amort. of Debt Disc. and Expense (428)		38,092	38,092		
64	Amortization of Loss on Reacquired Debt (428.1)					
65	(Less) Amort. of Premium on Debt-Credit (429)					
66	(Less) Amortization of Gain on Reacquired Debt-Credit (429.1)					
67	Interest on Debt to Assoc. Companies (430)					
68	Other Interest Expense (431)		4,777,930	16,770,051		
69	(Less) Allowance for Borrowed Funds Used During Construction-Cr. (432)					
70	Net Interest Charges (Total of lines 62 thru 69)		13,868,837	26,307,148		
71	Income Before Extraordinary Items (Total of lines 27, 60 and 70)					
72	Extraordinary Items					
73	Extraordinary Income (434)					
74	(Less) Extraordinary Deductions (435)					
75	Net Extraordinary Items (Total of line 73 less line 74)					
76	Income Taxes-Federal and Other (409.3)	262-263				
77	Extraordinary Items After Taxes (line 75 less line 76)					
78	Net Income (Total of line 71 and 77)					

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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STATEMENT OF CASH FLOWS

(1) Codes to be used: (a) Net Proceeds or Payments; (b) Bonds, debentures and other long-term debt; (c) Include commercial paper; and (d) Identify separately such items as investments, fixed assets, intangibles, etc.

(2) Information about noncash investing and financing activities must be provided in the Notes to the Financial statements. Also provide a reconciliation between "Cash and Cash Equivalents at End of Period" with related amounts on the Balance Sheet.

(3) Operating Activities - Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show in the Notes to the Financials the amounts of interest paid (net of amount capitalized) and income taxes paid.

(4) Investing Activities: Include at Other (line 31) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed in the Notes to the Financial Statements. Do not include on this statement the dollar amount of leases capitalized per the USofA General Instruction 20; instead provide a reconciliation of the dollar amount of leases capitalized with the plant cost.

Line No.	Description (See Instruction No. 1 for Explanation of Codes) (a)	Current Year to Date Quarter/Year (b)	Previous Year to Date Quarter/Year (c)
1	Net Cash Flow from Operating Activities:		
2	Net Income (Line 78(c) on page 117)		
3	Noncash Charges (Credits) to Income:		
4	Depreciation and Depletion	34,587,963	39,326,183
5	Amortization of		
6	Amortization of Depl of Utility Plant	-30,568	882,672
7			
8	Deferred Income Taxes (Net)		
9	Investment Tax Credit Adjustment (Net)		
10	Net (Increase) Decrease in Receivables	-5,633,311	3,057,201
11	Net (Increase) Decrease in Inventory		
12	Net (Increase) Decrease in Allowances Inventory		
13	Net Increase (Decrease) in Payables and Accrued Expenses	52,967,272	-25,013,924
14	Net (Increase) Decrease in Other Regulatory Assets	1,735,562	-4,460,834
15	Net Increase (Decrease) in Other Regulatory Liabilities	-3,493,403	-3,526,113
16	(Less) Allowance for Other Funds Used During Construction		
17	(Less) Undistributed Earnings from Subsidiary Companies		
18	Other (provide details in footnote): SERP	-2,469,164	-848,376
19	Prepaid Expenses (Increase) Decrease	-198,062	427,122
20	Net Loss from Disposal of assets	1,321,255	142,242
21			
22	Net Cash Provided by (Used in) Operating Activities (Total 2 thru 21)	78,787,544	9,986,173
23			
24	Cash Flows from Investment Activities:		
25	Construction and Acquisition of Plant (including land):		
26	Gross Additions to Utility Plant (less nuclear fuel)	-40,262,149	-32,138,784
27	Gross Additions to Nuclear Fuel		
28	Gross Additions to Common Utility Plant		
29	Gross Additions to Nonutility Plant		
30	(Less) Allowance for Other Funds Used During Construction		
31	Other (provide details in footnote):		
32			
33			
34	Cash Outflows for Plant (Total of lines 26 thru 33)	-40,262,149	-32,138,784
35			
36	Acquisition of Other Noncurrent Assets (d)		
37	Proceeds from Disposal of Noncurrent Assets (d)		
38			
39	Investments in and Advances to Assoc. and Subsidiary Companies		
40	Contributions and Advances from Assoc. and Subsidiary Companies		
41	Disposition of Investments in (and Advances to)		
42	Associated and Subsidiary Companies		
43			
44	Purchase of Investment Securities (a)	-4,711,000	-75,023,890
45	Proceeds from Sales of Investment Securities (a)	36,191,065	183,303,075

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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STATEMENT OF CASH FLOWS

(1) Codes to be used: (a) Net Proceeds or Payments; (b) Bonds, debentures and other long-term debt; (c) Include commercial paper; and (d) Identify separately such items as investments, fixed assets, intangibles, etc.

(2) Information about noncash investing and financing activities must be provided in the Notes to the Financial statements. Also provide a reconciliation between "Cash and Cash Equivalents at End of Period" with related amounts on the Balance Sheet.

(3) Operating Activities - Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show in the Notes to the Financials the amounts of interest paid (net of amount capitalized) and income taxes paid.

(4) Investing Activities: Include at Other (line 31) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed in the Notes to the Financial Statements. Do not include on this statement the dollar amount of leases capitalized per the USofA General Instruction 20; instead provide a reconciliation of the dollar amount of leases capitalized with the plant cost.

Line No.	Description (See Instruction No. 1 for Explanation of Codes) (a)	Current Year to Date Quarter/Year (b)	Previous Year to Date Quarter/Year (c)
46	Loans Made or Purchased		
47	Collections on Loans		
48			
49	Net (Increase) Decrease in Receivables		
50	Net (Increase) Decrease in Inventory		
51	Net (Increase) Decrease in Allowances Held for Speculation		
52	Net Increase (Decrease) in Payables and Accrued Expenses		
53	Other (provide details in footnote):		
54			
55			
56	Net Cash Provided by (Used in) Investing Activities		
57	Total of lines 34 thru 55)	-8,782,084	76,140,401
58			
59	Cash Flows from Financing Activities:		
60	Proceeds from Issuance of:		
61	Long-Term Debt (b)		
62	Preferred Stock		
63	Common Stock		
64	Other (provide details in footnote): Change in Restricted Cash	-72,440,719	13,595,867
65	Change in Restricted Deposits	128,463,597	13,236,916
66	Net Increase in Short-Term Debt (c)		
67	Other (provide details in footnote): Change in Market Partic Liability	-49,094,737	-28,451,540
68			
69			
70	Cash Provided by Outside Sources (Total 61 thru 69)	6,928,141	-1,618,757
71			
72	Payments for Retirement of:		
73	Long-term Debt (b)		
74	Preferred Stock		
75	Common Stock		
76	Other (provide details in footnote):		
77	Payments on Notes and Capital Leases	-1,815,615	-1,408,215
78	Net Decrease in Short-Term Debt (c)		
79			
80	Dividends on Preferred Stock		
81	Dividends on Common Stock		
82	Net Cash Provided by (Used in) Financing Activities		
83	(Total of lines 70 thru 81)	5,112,526	-3,026,972
84			
85	Net Increase (Decrease) In Cash and Cash Equivalents		
86	(Total of lines 22,57 and 83)	75,117,986	83,099,602
87			
88	Cash and Cash Equivalents at Beginning of Period	606,946,370	523,846,768
89			
90	Cash and Cash Equivalents at End of period	682,064,356	606,946,370

Name of Respondent Midcontinent Independent System Operator,	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report 04/16/2021	Year/Period of Report End of 2020/Q4
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NOTES TO FINANCIAL STATEMENTS

1. Use the space below for important notes regarding the Balance Sheet, Statement of Income for the year, Statement of Retained Earnings for the year, and Statement of Cash Flows, or any account thereof. Classify the notes according to each basic statement, providing a subheading for each statement except where a note is applicable to more than one statement.
2. Furnish particulars (details) as to any significant contingent assets or liabilities existing at end of year, including a brief explanation of any action initiated by the Internal Revenue Service involving possible assessment of additional income taxes of material amount, or of a claim for refund of income taxes of a material amount initiated by the utility. Give also a brief explanation of any dividends in arrears on cumulative preferred stock.
3. For Account 116, Utility Plant Adjustments, explain the origin of such amount, debits and credits during the year, and plan of disposition contemplated, giving references to Commission orders or other authorizations respecting classification of amounts as plant adjustments and requirements as to disposition thereof.
4. Where Accounts 189, Unamortized Loss on Reacquired Debt, and 257, Unamortized Gain on Reacquired Debt, are not used, give an explanation, providing the rate treatment given these items. See General Instruction 17 of the Uniform System of Accounts.
5. Give a concise explanation of any retained earnings restrictions and state the amount of retained earnings affected by such restrictions.
6. If the notes to financial statements relating to the respondent company appearing in the annual report to the stockholders are applicable and furnish the data required by instructions above and on pages 114-121, such notes may be included herein.
7. For the 3Q disclosures, respondent must provide in the notes sufficient disclosures so as to make the interim information not misleading. Disclosures which would substantially duplicate the disclosures contained in the most recent FERC Annual Report may be omitted.
8. For the 3Q disclosures, the disclosures shall be provided where events subsequent to the end of the most recent year have occurred which have a material effect on the respondent. Respondent must include in the notes significant changes since the most recently completed year in such items as: accounting principles and practices; estimates inherent in the preparation of the financial statements; status of long-term contracts; capitalization including significant new borrowings or modifications of existing financing agreements; and changes resulting from business combinations or dispositions. However were material contingencies exist, the disclosure of such matters shall be provided even though a significant change since year end may not have occurred.
9. Finally, if the notes to the financial statements relating to the respondent appearing in the annual report to the stockholders are applicable and furnish the data required by the above instructions, such notes may be included herein.

PAGE 122 INTENTIONALLY LEFT BLANK
SEE PAGE 123 FOR REQUIRED INFORMATION.

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
Midcontinent Independent System Operator, Inc			
NOTES TO FINANCIAL STATEMENTS (Continued)			

MIDCONTINENT INDEPENDENT SYSTEM OPERATOR, INC.

NOTES TO FINANCIAL STATEMENTS

AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2020 AND 2019

(Dollars in thousands)

1. ORGANIZATION AND SIGNIFICANT DEVELOPMENTS

On December 19, 2001, Midcontinent Independent System Operator, Inc. (MISO or the Company) became the nation's first Regional Transmission Organization approved by the Federal Energy Regulatory Commission (the FERC or the Commission). As a Regional Transmission Organization, MISO provides transmission service on behalf of its members that own transmission assets. In addition, MISO is a North American Electric Reliability Corporation (NERC) certified reliability coordinator. In that capacity, MISO monitors the flow of electricity over the transmission systems of its members that own transmission assets.

MISO was incorporated as a Delaware non-stock, nonprofit corporation in March 1998. The Company is governed by an independent Board of Directors. Membership in MISO is open to owners of electric transmission facilities, as well as other participants in the electric energy market. Fifty-eight transmission owners with more than 65,800 miles of transmission lines, 198,900 megawatts of electric generation, and approximately \$38 billion in transmission assets are currently participating in MISO.

On December 15, 2001, the Company began providing reliability coordination services to the transmission-owning members of MISO and their customers. On the same date, MISO also began providing operations planning, generation interconnection, maintenance coordination, long-term regional planning, market monitoring, and dispute resolution services. The Company commenced substantially all operations on February 1, 2002, the date MISO began providing regional transmission service under its FERC-accepted Open Access Transmission and Energy Markets Tariff (the Tariff).

On April 1, 2005, MISO formally began operating a market-based, congestion management system, which features the day-ahead energy market, real-time energy market, and financial transmission rights market. The day-ahead and real-time energy markets are designed to reliably make the most efficient use of all generation and transmission resources within the region based on bids and offers submitted by market participants. The day-ahead and real-time energy markets price transmission system congestion through the use of Locational Marginal Pricing algorithms. The financial transmission rights market provides a means of hedging Locational Marginal Pricing-based congestion costs.

On January 6, 2009, MISO began operating a market for ancillary services, which integrates the procurement and use of regulation and contingency reserves with the existing real-time energy market. MISO also became a NERC-certified Balancing Authority on January 6, 2009.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation—The accompanying condensed financial statements have been prepared in accordance with the accounting requirements of FERC as set forth in the applicable Uniform System of Accounts and published accounting releases, which is a comprehensive basis of accounting other than general accepted accounting principles in the United States of America. The principle difference from U.S. GAAP relates to the presentation of current maturities of long-term debt and the recognition of debt issuance costs. U.S. GAAP requires that current

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Midcontinent Independent System Operator, Inc			
NOTES TO FINANCIAL STATEMENTS (Continued)			

maturities of long-term debt be reported as a current liability on the Balance Sheet and debt issuance costs related to a recognized debt liability be presented in the balance sheet as a direct deduction from the carrying amount of that debt liability. For FERC reporting, current maturities of long-term debt are included with long-term debt on the Balance Sheet and the unamortized expense of issuance shall be recorded in account 181, Unamortized Debt Expense. Another difference from U.S. GAAP is the accounting treatment of internal-use software as an intangible asset. FERC account 101 on the Balance Sheet shall include the original cost of electric plant, included in accounts 301 to 399, owned and used by the utility in its electric utility operations, and having an expectation of life in service of more than one year from date of installation. For GAAP purposes, available-for-sale investments are classified as current assets as they are available to be converted into cash to fund current operations, even though some investments have contractual maturities beyond one year from the Balance Sheet date. For FERC reporting, investments and any unrealized gains and losses are recorded in account 124, Other investments as a component of non-current assets on the Balance Sheets

COVID-19—During 2020, there was a global outbreak of a new strain of coronavirus, COVID-19, which continues to adversely impact commercial activity and has contributed to significant economic volatility in the United States. The impact of COVID-19 on the Company's financial statements was not significant; however, COVID-19 continues to impact the overall economic environment and as a result the future impact of COVID-19 on the Company's operations is uncertain. Based on the nature of our tariffs, a decrease in transmission activity or tariff collections would adjust rates and ultimately, we would collect our costs. Therefore, we do not anticipate a material impact to our financial results as a result of the pandemic.

Regulation—MISO is subject to regulation by the FERC and accounts for the effects of regulation in its financial statements in accordance with Accounting Standards Codification (ASC) 980, *Regulated Operations*. This statement sets forth the application of generally accepted accounting principles for those companies whose rates are established by, or are subject to approval by, an independent third-party regulator. Under ASC 980, regulated companies defer costs and credits on the balance sheets as regulatory assets and liabilities when it is probable that those costs and credits will be recognized in the rate-setting process in a period different from the period in which they would have been reflected in income and expense by an unregulated company. These deferred regulatory assets and liabilities are then reflected in the statements of operations and changes in net assets in the period in which the same amounts are reflected in rates charged for service.

Use of Estimates—The preparation of financial statements in conformity with FERC requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Cash, Cash Equivalents and Restricted Cash—The Company considers all highly liquid investments with a maturity of ninety days or less from the date of purchase to be cash equivalents. Cash and cash equivalents consist of checking accounts, money market accounts, money market mutual funds, and certificates of deposit with a maturity date of ninety days or less. The carrying values of cash and cash equivalents approximate the fair market value. Certificates of deposit, commercial paper, corporate notes and corporate bonds with a maturity date of more than ninety days from the date of purchase are classified separately as investments on the balance sheet. Restricted Cash is further discussed in Note 5.

Concentration of Credit Risk—Financial instruments that subject the Company to credit risk consist primarily of accounts receivable and uninsured cash balances. The Company maintained cash balances in excess of insured Federal Deposit Insurance Corporation limits at December 31, 2020 and 2019, and from time to time through December 31, 2020. No allowance has been recorded for accounts receivable at December 31, 2020 and 2019, as

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management considers all accounts receivable reported in these financial statements to be probable of collection. Furthermore, MISO, per the Tariff, limits distribution of funds owed to market participants to the amount received from market participants. As such, MISO is not exposed to nonpayment for Tariff services.

Investments—Investment securities may consist of investments in U.S. Treasuries, various U.S. governmental agency debt securities, corporate bonds, certificates of deposit, and other fixed income securities that have initial maturities of greater than ninety days from date of purchase. Management classifies the Company's investments as available-for-sale. Such securities are carried at fair value based upon prices for identical or similar items on the last day of the fiscal period, with any unrealized gains or losses reported as a deferred regulatory asset or liability, and realized gains and losses included in the statement of operations and changes in net assets. Dividend and interest income is recognized as interest income when earned. Management uses the specific identification method to determine the cost of securities sold.

Intangible Assets—Intangible assets primarily include capitalized software of \$417,637 and \$437,250 and its corresponding amortization of \$334,001 and \$360,048, as of December 31, 2020 and 2019, respectively. Amortization expense was \$18,375 and \$19,900 for the years ended December 31, 2020 and 2019, respectively. The estimated remaining amortization period for these assets is 2 years. Costs incurred prior to the determination of feasibility of developed software and following the in-service date of developed software are expensed.

Fixed Assets—Fixed assets, consisting primarily of telecommunications equipment, computer equipment, buildings, leasehold improvements, and furniture and fixtures, are recorded at cost and are depreciated on a straight-line basis over the estimated useful lives of the assets. The major classes and lives include the following: buildings and improvements, 20 years; computer hardware, 3 years or 6 years; furniture and fixtures, 7 years; and telecommunications equipment, 7 years. Cost consists of materials and supplies, labor, related taxes, and capitalized interest.

There was \$1,750 and \$1,303 of interest capitalized in fixed assets for the years ended December 31, 2020 and 2019, respectively. The depreciation policy for leaseholds is the shorter of the life of the asset or the remaining term of the lease. Maintenance and repair costs are charged to expense when incurred. Effective January 31, 2015, the Company received approval from the FERC to amortize the cost of land on straight-line basis over a five-year period.

Pension—MISO accounts for its defined benefit pension plan (the MISO floor offset plan) in accordance with ASC 715, *Retirement Benefits*. This standard requires employers to fully recognize the obligations associated with single-employer, defined benefit pension, retiree health care, and other postretirement plans in their financial statements. Rate-regulated entities may recognize regulatory assets or liabilities as a result of timing differences between the recognition of costs and costs recovered through the rate-making process.

Fair Value Measurements—The Company uses a three-tier fair value hierarchy in accordance with ASC 820, *Fair Value Measurement*, which prioritizes the inputs used in measuring fair value. Hierarchical levels, as defined in FASB guidance and explained in the following paragraphs, are directly related to the amount of subjectivity associated with the inputs to fair valuation of these assets and liabilities:

Level 1—Inputs are unadjusted quoted prices in active markets for identical assets or liabilities that the reporting entity can access at the measurement date. The Company's investments associated with its pension plan and Supplemental Executive Retirement Plan, along with its investments in U.S. treasuries are categorized as Level 1.

Level 2—Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly. The Company holds investments that consist of certificates of deposit, corporate bonds and

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other fixed income securities and are categorized as Level 2.

Level 3—Unobservable inputs for the asset or liability, which include management's own assumption about the assumptions market participants would use in pricing the asset or liability, including assumptions about risk. The Company did not hold any investments in Level 3 securities as of December 31, 2020 and 2019, respectively.

Fair values of investments are estimated using public market prices, quotes from investments and other available information. The estimated fair value of investments is discussed in Note 6, while notes payable is discussed in Note 15.

Revenue Recognition—The Company adopted the requirements of ASU 2014-09, Revenue from Contracts with Customers (Topic 606), under the modified retrospective approach effective January 1, 2019. The Company adopted this standard for interim periods beginning January 1, 2020. The adoption did not have a material impact on the amount or timing of revenue recognized. The Company's operating revenues are derived primarily from recovery of administrative costs under the Tariff, with no defined contractual term. Under ASC 606, revenue is to be recognized when performance obligations are satisfied. Pursuant to the Tariff, MISO recognizes as revenue amounts both billed and unbilled for which MISO has incurred costs as of the period-end.

The Schedule 10—ISO Cost Recovery Adder, Schedule 16—Financial Transmission Rights Administrative Service Cost Recovery Adder, and Schedule 17—Energy Market Support Administrative Service Cost Recovery Adder of the Tariff provide for recovery of all costs, including capital and operating expenses, of MISO. MISO also recognizes as revenue amounts billed to participants for initial membership, training, and annual dues. Performance obligations are satisfied over time as Transmission and Administrative Services are delivered, with billings generally occurring monthly and related payments due within seven days.

MISO records revenue and an offsetting expense for the annual FERC Assessment Fee (the Assessment Fee). The annual fee is assessed on the megawatt hours of transmission usage for each transmission provider as reported on FERC Form 582.

The FERC generally invoices transmission providers in July of each year, and payment is due in August. MISO bills the Assessment Fee monthly in advance based on an estimated rate per megawatt hour applied to each individual transmission customer's actual megawatt hours of transmission usage for that month. MISO recognizes the revenue and an offsetting expense each month as the Assessment Fee is billed. Assessment Fee revenue of \$53,048 and \$53,986 was recorded in 2020 and 2019, respectively.

MISO performs engineering studies on behalf of its customers. MISO is reimbursed for its costs of performing the studies and recognizes revenue as the costs are incurred, subject to a recoverability assessment. The amounts of \$13,363 and \$16,166 were recognized as revenue from engineering studies for the years ended December 31, 2020 and 2019, respectively.

MISO has been awarded a number of economic development grants by the state of Indiana, as well as a United States Department of Energy (DOE) grant. Revenue is recognized on these grants based on the terms of the agreement, which generally are based on a percentage of program costs incurred. MISO recognized revenue associated with these programs of \$188 and \$231 in 2020 and 2019, respectively.

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The Company recorded deferred revenue associated with withdrawal obligations paid by transmission owners that have withdrawn from MISO. Each transmission owner can receive credits for service up to the amount of the original exit fee until the year expiring, noted in the table below. Amounts are amortized ratably over the period from the year of withdrawal through expiration. The table also notes the exit fees and remaining balances in the current and prior years.

Company	Year of Withdrawal	Year Expiring	Original Exit Fee	Balance as of December 31, 2020	Balance as of December 31, 2019
American Transmission Systems, Inc.	2011	2026	\$ 38,116	\$ 13,764	\$ 16,305
Duke Energy	2011	2026	<u>13,794</u>	<u>5,518</u>	<u>6,437</u>
Total			<u>\$ 51,910</u>	<u>\$ 19,282</u>	<u>\$ 22,742</u>

Of the \$19,282, \$3,460 is recorded as current deferred revenue and is expected to be amortized to revenue in 2021.

Subsequent Events—Management has evaluated events and transactions occurring since December 31, 2020. MISO experienced an unprecedented winter weather event in the south region during February 2021. At this time, MISO has not experienced any material financial impacts from this event. However, management cannot predict the ultimate outcome of regulatory proceedings or legal claims that have not yet occurred. There are no events or transactions that have occurred that require additional disclosure in these financial statements after December 31, 2020 through April 18, 2021, the date the financial statements were available to be issued.

New Accounting Guidance—In February 2016, the FASB issued ASU 2016-02, *Leases (Topic 842)*, which replaces the existing lease accounting guidance in ASC 840, "Leases." The new standard aims to increase transparency and comparability among organizations by requiring lessees to recognize right-of-use assets and lease liabilities on their balance sheets. Unlike current accounting guidance, which requires only capital leases to be recognized on the balance sheet, the new accounting guidance will result in nearly all leases being recognized on the balance sheet. In addition, the new standard will require disclosures to help investors and other financial statement users better understand the amount, timing, and uncertainty of cash flows arising from leases.

The dual model for income statement classification is maintained under the new standard and as a result is expected to limit the impact of the changes on the income statement and statement of cash flows.

This standard is now effective for nonpublic entities for annual reporting periods beginning after December 15, 2020, and interim periods beginning after December 15, 2021. Early adoption is permitted. ASU 2016-02 required entities to adopt the new leases standard using a modified retrospective method and initially apply the related guidance at the beginning of the earliest period presented in the financial statements. During July 2018, the FASB issued ASU 2018-11, which allows for an additional and optional transition method under which an entity would record a cumulative-effect adjustment at the beginning of the period of adoption. The Company is currently evaluating and assessing the impact the standard will have on the Company and its financial statements, and anticipates recognition of additional assets and corresponding liabilities related to leases on the balance sheet.

In June 2016, the FASB issued ASU 2016-13, *Measurement of Credit Losses on Financial Instruments (Topic 326)*, which amends the Board's guidance on the impairment of financial instruments.

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Subsequently, the FASB has issued additional Account Standard Updates ("ASU") which further clarify this guidance. The ASU adds to GAAP an impairment model that is based on expected losses rather than incurred losses, which is known as the current expected credit loss ("CECL") model. The CECL model applies to most debt instruments (other than those measured at fair value), trade and other receivables, financial guarantee contracts, and loan commitments. For public business entities that are SEC filers, ASU 2016-13 is effective for fiscal years beginning after December 15, 2019, including interim periods within those fiscal years. For all other entities, ASU 2016-13 is effective for fiscal years beginning after December 31, 2022. The Company is evaluating the effect of adopting this new accounting guidance, but does not expect adoption will have a material impact on the Company's financial position or results of operations.

3. MEMBERS' PRESERVATION OF WITHDRAWAL NOTICE

Some members provide a notice of withdrawal to MISO in accordance with the terms of the MISO Transmission Owners Agreement. Such notices allow these companies to preserve their options with respect to withdrawal of their facilities from MISO. Should these companies withdraw, they would then be responsible to pay their proportionate share of certain outstanding financial obligations of MISO and for certain other obligations as required by the terms of the MISO Transmission Owners Agreement and the Tariff. Below is a listing of members that provided a notice of withdrawal:

Member	Date	Status of Withdrawal	Load %
Southern Illinois Power Cooperative	December 18, 2020	Preserving withdrawal Option	Less than 1%
Montana-Dakota Utilities	November 16, 2020	Preserving withdrawal Option	Less than 1%

4. REGULATORY ASSETS AND LIABILITIES

The following regulatory assets and liabilities were included in the deferred regulatory assets and liabilities lines on the balance sheets:

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Transmission	Current Schedule 10	Non-Current Schedule 10	Total
December 31, 2018	\$ 1,440	\$ 1,284	\$ 2,724
Current year deferral	<u>(3,197)</u>	<u>(79)</u>	<u>(3,276)</u>
December 31, 2019	(1,757)	1,205	(552)
Current year deferral	<u>1,862</u>	<u>90</u>	<u>1,952</u>
December 31, 2020	<u>\$ 105</u>	<u>\$ 1,295</u>	<u>\$ 1,400</u>

Markets/Other	Current Schedule 16	Current Schedule 17	Other	Total
December 31, 2018	\$ (4)	\$ (5,510)	\$ 538	\$ (4,976)
Current year deferral	<u>117</u>	<u>7,620</u>	<u>(648)</u>	<u>7,089</u>
December 31, 2019	113	2,110	(110)	2,113
Current year deferral	(223)	(3,464)	86	(3,601)
December 31, 2020	<u>\$ (110)</u>	<u>\$ (1,354)</u>	<u>\$ (24)</u>	<u>\$ (1,488)</u>

2020 Regulatory Assets/Liabilities	Current Liability	Current Assets	Non-Current Asset	Total
Transmission	\$ -	\$ 105	\$ 1,295	\$ 1,400
Markets	(1,464)	-	-	(1,464)
Other	<u>(24)</u>	<u>-</u>	<u>-</u>	<u>(24)</u>
Total	<u>\$ (1,488)</u>	<u>\$ 105</u>	<u>\$ 1,295</u>	<u>\$ (88)</u>

2019 Regulatory Assets/Liabilities	Current Liability	Current Assets	Non-Current Asset	Total
Transmission	\$ (1,757)	\$ -	\$ 1,205	\$ (552)
Markets	-	2,223	-	2,223
Other	<u>(110)</u>	<u>-</u>	<u>-</u>	<u>(110)</u>
Total	<u>\$ (1,867)</u>	<u>\$ 2,223</u>	<u>\$ 1,205</u>	<u>\$ 1,561</u>

The Current Schedule 10, 16 and 17 rates are based on forecasted billing units and expenditures. Differences between revenue collected and actual costs for the month are included in subsequent months' rate calculations. These costs are classified as deferred regulatory assets and will be recovered in a subsequent period.

5. CASH, CASH EQUIVALENTS AND RESTRICTED CASH

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The Company considers all highly liquid investment instruments that mature within ninety days or less to be cash equivalents. Cash, cash equivalents and restricted cash had the following balances, which approximate fair value, as of December 31:

	2020	2019
Cash and cash equivalents	\$ 682,064	\$ 606,946
Restricted cash:		
Market funds	15,757	2,399
Market participant customer payments	3,772	66,224
Bond interest reserve	2,054	2,049
Collateral deposits	737,801	611,923
Collection on the FERC Assessment Fee	16,779	21,127
Total restricted cash	776,163	703,722
Total	\$ 1,458,227	\$ 1,310,668

Restricted cash for market funds consists of activity for two funds: the Day-Ahead Excess Congestion Fund and the Financial Transmission Rights Auction Residual Fund. The Day-Ahead Excess Congestion Fund consists of excess cash received after the funding of Financial Transmission Rights. The Financial Transmission Rights Auction Residual Fund represents excess payments received for Financial Transmission Rights sold in the monthly and annual auctions. Both funds are accumulated during the calendar month and distributed to market participants per formulas in the Tariff after the end of each calendar month.

As of December 31, 2020, and 2019, the Company had collected \$3,771 and \$66,224, respectively, in market participant payments due to other market participants and/or MISO transmission owners. These funds are scheduled to be paid in a subsequent period.

The cash collateral deposits represent funds from customers that provide cash collateral as a form of financial assurance to secure the customers' performance under the terms and conditions of the Tariff related to the purchase of transmission service, market services, ancillary services, and related products or services.

Interest earned on the deposits is paid to the customer quarterly on January 31, April 30, July 31, and October 31 of each year. At December 31, 2020 and 2019, \$737,801 and \$611,923, respectively, were held in security for customer deposits, which include interest payable of \$78 and \$2,663, respectively.

MISO bills to its market participants the Assessment Fee monthly and accumulates the cash in a segregated account for use in paying the annual Assessment Fee in August of each year. As of December 31, 2020, and 2019, the amount restricted for this use was \$16,779 and \$21,127, respectively.

All restricted cash items are offset by liabilities on the balance sheets. The cash and cash equivalent collateral deposits less interest are offset in restricted deposits. Collection on the Assessment Fee is offset by the FERC assessment liability. The remainder of restricted cash and cash equivalents is offset in the market participant liability.

6. INVESTMENTS

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Investment securities consists of the following:

December 31, 2020								
	Quoted Prices in Active Markets for Identical Assets (Level 1)		Significant Other Observable Input (Level 2)		Significant Unobservable (Level 3)		Totals	
	Fair Value	Cost	Fair Value	Cost	Fair Value	Cost	Fair Value	Cost
Certificates of deposit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Commercial paper	-	-	-	-	-	-	-	-
Corporate bonds	-	-	7	7	-	-	7	7
Treasury bonds	3,566	3,542	-	-	-	-	3,566	3,542
Other fixed income	-	-	-	-	-	-	-	-
Total	<u>\$3,566</u>	<u>\$3,542</u>	<u>\$ 7</u>	<u>\$ 7</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$3,573</u>	<u>\$3,549</u>

December 31, 2019								
	Quoted Prices in Active Markets for Identical Assets (Level 1)		Significant Other Observable Input (Level 2)		Significant Unobservable (Level 3)		Totals	
	Fair Value	Cost	Fair Value	Cost	Fair Value	Cost	Fair Value	Cost
Certificates of deposit	\$ -	\$ -	\$10,397	\$10,393	\$ -	\$ -	\$10,397	\$10,393
Commercial paper	-	-	-	-	-	-	-	-
Corporate bonds	-	-	2,439	2,441	-	-	2,439	2,441
Treasury bonds	21,603	21,492	-	-	-	-	21,603	21,492
Other fixed income	-	-	700	703	-	-	700	703
Total	<u>\$21,603</u>	<u>\$21,492</u>	<u>\$13,536</u>	<u>\$13,537</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$35,139</u>	<u>\$35,029</u>

The Company has classified all of its investment securities, which are available-for-sale, including those with maturities beyond one year, as current assets on the balance sheets based on the highly liquid nature of the investment securities and because these investment securities are considered available for use in current operations. For the years ended December 31, 2020 and 2019, the Company had unrealized gains of \$24 and 110, respectively. As of December 31, 2020 and 2019, the Company held \$0 and \$6,017, respectively, of available-for-sale investment securities with contractual maturity dates more than one year.

7. ACCOUNTS RECEIVABLE

Unbilled revenues are recognized by applying tariffed rates to the usage incurred but not yet billed. The accrual for unbilled revenues is reversed in the subsequent accounting period when customers are billed. MISO's receivables at December 31, 2020 and 2019, consist of the following:

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	2020	2019
Billed:		
Schedules 10/16/17	\$ 3,403	\$ 3,576
Grant receivable	108	97
Other tariff services receivable	27	27
Interest receivable	34	408
Other receivables	452	473
	<u>4,024</u>	<u>4,581</u>
Unbilled:		
Schedules 10, 16 & 17	21,723	16,932
Engineering studies	880	-
FERC Assessment Fee	4,680	4,161
	<u>27,283</u>	<u>21,093</u>
	<u>\$ 31,307</u>	<u>\$ 25,674</u>

8. FIXED ASSETS

Fixed assets at December 31, 2020 and 2019, consist of the following:

	2020	2019
Land	\$ 4,383	\$ 4,383
Buildings and improvements	108,423	105,563
Computer hardware	69,493	78,292
Furniture and fixtures	13,526	14,515
Telecommunication and network equipment	6,792	7,148
	202,617	209,901
Less accumulated depreciation and amortization	<u>(142,657)</u>	<u>(143,341)</u>
	<u>\$ 59,960</u>	<u>\$ 66,560</u>

Total depreciation and amortization expense related to fixed assets was \$16,182 and \$20,309 for 2020 and 2019, respectively. At December 31, 2020 and 2019, the balance of projects in development was \$10,749 and \$5,968, respectively. These amounts included funds expended to develop projects to improve internal business processes, improve IT infrastructure, and enhance operations systems.

9. ACCRUED LIABILITIES

Current and long-term accrued liabilities at December 31, 2020 and 2019, consist of the following:

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	2020		2019	
	Current	Long-Term	Current	Long-Term
Engineering study deposits	\$ 536,458	\$ -	\$ 483,469	\$ -
Employee benefits	23,809	18,353	21,229	16,158
Employee vacation	3,506	-	2,722	-
Property taxes	1,064	-	1,065	-
Other accrued liabilities	8,975	1,216	6,213	1,345
Total	<u>\$ 573,812</u>	<u>\$ 19,569</u>	<u>\$ 514,698</u>	<u>\$ 17,503</u>

Market participants that request a generation interconnection or facility upgrade study must pay the costs incurred to perform an impact assessment study. Furthermore, a deposit is required before the study is undertaken. At December 31, 2020 and 2019, the engineering study deposits balance was comprised of \$536,458 and \$483,469, respectively, for generation interconnection studies and facility upgrade studies. These balances are held within various accounts as cash and cash equivalents and short-term investments. As expenses are incurred, revenue is recognized and deducted from the deposits, and liabilities, for services performed by MISO for these impact assessment studies.

10. MEDICAL PLAN

MISO has a self-funded employee health care plan that offers health benefits to all eligible full-time employees. MISO established a Voluntary Employee Beneficiary Association trust to which the Company contributes funds in amounts that are expected to be required to provide the plan with sufficient funds to pay benefits and administration expenses. The balance in the trust was \$283 and \$526 as of December 31, 2020 and 2019, respectively.

11. RETIREMENT PLANS

The Company offers a defined contribution 401(k) retirement plan for all full-time employees as of their date of hire. The Company currently matches 50% of the first 6% of the employee contribution. For December 31, 2020 and 2019, the Company match was \$3,547 and \$3,603, respectively. Employees are 100% vested in the employer's contribution after two years of employment.

The Company also has a defined contribution retirement plan covering all full-time employees. The Company contributes an amount equal to 6% of an employee's salary into the plan for the employee's retirement. For December 31, 2020 and 2019, the cost of this plan was \$6,488 and \$6,404, respectively. Contributions are subject to a vesting schedule. Employees are 100% vested after five years of employment.

The Company has a Supplemental Executive Retirement Plan for officers and a plan under Section 457(b) of the Internal Revenue Code. Benefits payable under these plans are based upon the participant's salary and age. The investment balance at December 31, 2020 and 2019, is \$10,616 and \$8,146, respectively, and is recorded in other assets. An offsetting liability is also recorded in accrued liabilities. Expense relating to the Supplemental Executive Retirement Plan of \$1,198 and \$1,144 was recorded for the years ended December 31, 2020 and 2019, respectively.

MISO assumed a defined benefit pension plan (the MISO Floor Offset Plan) established for Mid-Continent Area Power Pool (MAPPCOR) employees who became employees of MISO under the terms and conditions of an asset purchase agreement completed in November 2001. Effective April 15, 2006, the MISO Floor Offset Plan was frozen.

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The pension plan as of December 31, 2020 and 2019 had an unfunded status of \$378 and \$586, respectively, which consisted of a projected benefit obligation of \$3,759 and \$3,434, respectively, offset by plan assets with a fair value of \$3,381 and \$2,847, respectively. The unfunded status matches that of the accrued benefit cost recognized as of December 31, 2020 and 2019. Additionally, the accumulated benefit obligation is equal to the projected benefit obligation as a result of the plan freeze in 2006. Furthermore, the components of net periodic (income)/cost included in the statements of operations for the years ended December 31, 2020 and 2019 were \$(48) and \$1, respectively. MISO plans to contribute \$250 to the plan during the calendar year 2020.

12. INCOME TAXES

The Company has received approval for its not-for-profit status under Section 501(a) of the Internal Revenue Code and is tax-exempt as an organization described in Internal Revenue Code Section 501(c)(4). The Coronavirus Aid, Relief, and Economic Security (CARES) Act was signed into law on March 25, 2020. The effect of the Act had no impact to the Company as of December 31, 2020.

13. LEASES

Capital Leases—The Company has a lease agreement for a facility for which the capitalized costs are \$15,777 and are included in fixed assets. Accumulated amortization on all leased assets is \$15,579 and \$14,791 at December 31, 2020 and 2019, respectively. Amortization from capital leases is included in depreciation and amortization expense in the statements of operations and changes in net assets. On September 3, 2020, The Company made a pre-payment of \$710 to payoff the lease in full.

Operating Leases—The Company leases office space and equipment under non-cancelable operating leases. Total expense incurred under all operating leases was \$5,055 and \$3,326 for the years ended December 31, 2020 and 2019, respectively.

Future minimum lease payments under non-cancelable operating leases are as follows:

2021	\$ 4,977
2022	4,978
2023	3,486
2024	3,224
2025	3,270
There after	<u>5,271</u>
Total	<u>\$ 25,206</u>

14. BANK LINE OF CREDIT

The Company has a credit agreement with PNC Bank and JPMorgan Chase Bank, N.A. The credit agreement is set to expire on June 13, 2021. The maximum amount available under the line was \$50,000 at December 31, 2020. There was no outstanding balance at December 31, 2020 or 2019. Borrowings are payable on demand. Advances bear interest at either the floating rate or Eurodollar rate. The line of credit contains certain restrictive financial and other covenants, including limitations on indebtedness, participation in mergers, sale of assets, investments, acquisitions, liens, and prepayment of indebtedness.

15. LONG-TERM NOTES

Long-term debt consisted of the following:

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Midcontinent Independent System Operator, Inc			

NOTES TO FINANCIAL STATEMENTS (Continued)

	December 31, 2020			December 31, 2019		
	Principal	Unamortized Debt Issuance Costs	Total	Principal	Unamortized Debt Issuance Costs	Total
2033 notes payable, bear interest semiannually at 3.96% with defined principal payments, maturing on March 26, 2033	\$100,000	\$252	\$ 99,748	\$100,000	\$273	\$ 99,727
2047 notes payable, bear interest semiannually at 3.91% with defined principal payments, maturing on October 5, 2047	<u>175,000</u>	<u>468</u>	<u>174,532</u>	<u>175,000</u>	<u>485</u>	<u>174,515</u>
	275,000	720	274,280	275,000	758	274,242
Less current portion	-	-	-	-	-	-
Total long-term debt	<u>\$275,000</u>	<u>\$720</u>	<u>\$274,280</u>	<u>\$275,000</u>	<u>\$758</u>	<u>\$274,242</u>

Maturities of long-term debt are as follows:

**Year Ended
December 31**

2021	\$ -
2022	-
2023	-
2024	-
2025	-
Thereafter	<u>275,000</u>
	<u>\$ 275,000</u>

On March 26, 2013, the Company issued notes with a face value of \$100,000 to a group of institutional lenders. The notes are unsecured senior obligations of the Company that mature on March 26, 2033, with mandatory principal payments of \$20,000 payable beginning on March 26, 2029, and on each March 26 thereafter, up to and including March 26, 2033, and bear interest at 3.96% per annum, payable semiannually on March 26 and September 26 of each year, commencing September 26, 2013. The notes have no mandatory sinking fund requirement but are redeemable, in whole or in part, at the option of the Company. The notes contain certain restrictive covenants, including limitations on payments, liens, leases, distributions, purchases, and certain investments. The Company incurred note offering fees aggregating \$412 and amortized as a component of interest expense over the term of the notes.

On October 5, 2017, the Company issued notes with a face value of \$175,000 to a group of institutional lenders. The notes are unsecured senior obligations of the Company that mature on October 5, 2047, with mandatory principal payments of \$17,500 payable beginning on October 5, 2038, and on each October 5 thereafter, up to and including October 5, 2047, and bear interest at 3.91% per annum, payable semiannually on April 5 and October 5 of

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Midcontinent Independent System Operator, Inc			
NOTES TO FINANCIAL STATEMENTS (Continued)			

each year, commencing April 5, 2018. The notes have no mandatory sinking fund requirement but are redeemable, in whole or in part, at the option of the Company. The notes contain certain restrictive covenants, including limitations on payments, liens, leases, distributions, purchases, and certain investments. The Company incurred note offering fees aggregating \$525 and amortized as a component of interest expense over the term of the notes.

Management has estimated the fair value of each of the notes payable based on the trading prices of similarly rated securities at December 31, 2020 and 2019. The fair values for each note payable are presented in the following table:

	December 30, 2020		December 30, 2019	
	Carrying Value	Fair Value	Carrying Value	Fair Value
2047 notes	\$ 175,000	\$ 210,142	\$ 175,000	\$ 207,937
2033 notes	<u>100,000</u>	<u>115,724</u>	<u>100,000</u>	<u>110,079</u>
Total	<u>\$ 275,000</u>	<u>\$ 325,866</u>	<u>\$ 275,000</u>	<u>\$ 318,016</u>

16. COMMITMENTS AND CONTINGENCIES

There are various claims against the Company incident to its operations. It is the opinion of management that, while unable to predict the outcome of these matters, the ultimate resolution of these matters will not have a material adverse effect on the Company's financial position or results of operations.

The Company self-reports noncompliance with the North American Electric Reliability Corporation Standards throughout the year. In management's opinion, these self-reports will not result in a material impact to the financial statements.

Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS FOR DEPRECIATION, AMORTIZATION AND DEPLETION					
Report in Column (c) the amount for electric function, in column (d) the amount for gas function, in column (e), (f), and (g) report other (specify) and in column (h) common function.					
Line No.	Classification (a)	Total Company for the Current Year/Quarter Ended (b)	Electric (c)		
1	Utility Plant				
2	In Service				
3	Plant in Service (Classified)	551,724,027	551,724,027		
4	Property Under Capital Leases	15,776,743	15,776,743		
5	Plant Purchased or Sold				
6	Completed Construction not Classified				
7	Experimental Plant Unclassified				
8	Total (3 thru 7)	567,500,770	567,500,770		
9	Leased to Others				
10	Held for Future Use				
11	Construction Work in Progress	63,501,949	63,501,949		
12	Acquisition Adjustments				
13	Total Utility Plant (8 thru 12)	631,002,719	631,002,719		
14	Accum Prov for Depr, Amort, & Depl	476,658,037	476,658,037		
15	Net Utility Plant (13 less 14)	154,344,682	154,344,682		
16	Detail of Accum Prov for Depr, Amort & Depl				
17	In Service:				
18	Depreciation	472,275,247	472,275,247		
19	Amort & Depl of Producing Nat Gas Land/Land Right				
20	Amort of Underground Storage Land/Land Rights				
21	Amort of Other Utility Plant	4,382,790	4,382,790		
22	Total In Service (18 thru 21)	476,658,037	476,658,037		
23	Leased to Others				
24	Depreciation				
25	Amortization and Depletion				
26	Total Leased to Others (24 & 25)				
27	Held for Future Use				
28	Depreciation				
29	Amortization				
30	Total Held for Future Use (28 & 29)				
31	Abandonment of Leases (Natural Gas)				
32	Amort of Plant Acquisition Adj				
33	Total Accum Prov (equals 14) (22,26,30,31,32)	476,658,037	476,658,037		

Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS FOR DEPRECIATION, AMORTIZATION AND DEPLETION					
Gas (d)	Other (Specify) (e)	Other (Specify) (f)	Other (Specify) (g)	Common (h)	Line No.
					1
					2
					3
					4
					5
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Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106)

1. Report below the original cost of electric plant in service according to the prescribed accounts.
2. In addition to Account 101, Electric Plant in Service (Classified), this page and the next include Account 102, Electric Plant Purchased or Sold; Account 103, Experimental Electric Plant Unclassified; and Account 106, Completed Construction Not Classified-Electric.
3. Include in column (c) or (d), as appropriate, corrections of additions and retirements for the current or preceding year.
4. For revisions to the amount of initial asset retirement costs capitalized, included by primary plant account, increases in column (c) additions and reductions in column (e) adjustments.
5. Enclose in parentheses credit adjustments of plant accounts to indicate the negative effect of such accounts.
6. Classify Account 106 according to prescribed accounts, on an estimated basis if necessary, and include the entries in column (c). Also to be included in column (c) are entries for reversals of tentative distributions of prior year reported in column (b). Likewise, if the respondent has a significant amount of plant retirements which have not been classified to primary accounts at the end of the year, include in column (d) a tentative distribution of such retirements, on an estimated basis, with appropriate contra entry to the account for accumulated depreciation provision. Include also in column (d)

Line No.	Account (a)	Balance Beginning of Year (b)	Additions (c)
1	1. INTANGIBLE PLANT		
2	(301) Organization		
3	(302) Franchises and Consents		
4	(303) Miscellaneous Intangible Plant		
5	TOTAL Intangible Plant (Enter Total of lines 2, 3, and 4)		
6	2. PRODUCTION PLANT		
7	A. Steam Production Plant		
8	(310) Land and Land Rights		
9	(311) Structures and Improvements		
10	(312) Boiler Plant Equipment		
11	(313) Engines and Engine-Driven Generators		
12	(314) Turbogenerator Units		
13	(315) Accessory Electric Equipment		
14	(316) Misc. Power Plant Equipment		
15	(317) Asset Retirement Costs for Steam Production		
16	TOTAL Steam Production Plant (Enter Total of lines 8 thru 15)		
17	B. Nuclear Production Plant		
18	(320) Land and Land Rights		
19	(321) Structures and Improvements		
20	(322) Reactor Plant Equipment		
21	(323) Turbogenerator Units		
22	(324) Accessory Electric Equipment		
23	(325) Misc. Power Plant Equipment		
24	(326) Asset Retirement Costs for Nuclear Production		
25	TOTAL Nuclear Production Plant (Enter Total of lines 18 thru 24)		
26	C. Hydraulic Production Plant		
27	(330) Land and Land Rights		
28	(331) Structures and Improvements		
29	(332) Reservoirs, Dams, and Waterways		
30	(333) Water Wheels, Turbines, and Generators		
31	(334) Accessory Electric Equipment		
32	(335) Misc. Power Plant Equipment		
33	(336) Roads, Railroads, and Bridges		
34	(337) Asset Retirement Costs for Hydraulic Production		
35	TOTAL Hydraulic Production Plant (Enter Total of lines 27 thru 34)		
36	D. Other Production Plant		
37	(340) Land and Land Rights		
38	(341) Structures and Improvements		
39	(342) Fuel Holders, Products, and Accessories		
40	(343) Prime Movers		
41	(344) Generators		
42	(345) Accessory Electric Equipment		
43	(346) Misc. Power Plant Equipment		
44	(347) Asset Retirement Costs for Other Production		
45	TOTAL Other Prod. Plant (Enter Total of lines 37 thru 44)		
46	TOTAL Prod. Plant (Enter Total of lines 16, 25, 35, and 45)		

Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106) (Continued)				
Line No.	Account (a)	Balance Beginning of Year (b)	Additions (c)	
47	3. TRANSMISSION PLANT			
48	(350) Land and Land Rights			
49	(352) Structures and Improvements			
50	(353) Station Equipment			
51	(354) Towers and Fixtures			
52	(355) Poles and Fixtures			
53	(356) Overhead Conductors and Devices			
54	(357) Underground Conduit			
55	(358) Underground Conductors and Devices			
56	(359) Roads and Trails			
57	(359.1) Asset Retirement Costs for Transmission Plant			
58	TOTAL Transmission Plant (Enter Total of lines 48 thru 57)			
59	4. DISTRIBUTION PLANT			
60	(360) Land and Land Rights			
61	(361) Structures and Improvements			
62	(362) Station Equipment			
63	(363) Storage Battery Equipment			
64	(364) Poles, Towers, and Fixtures			
65	(365) Overhead Conductors and Devices			
66	(366) Underground Conduit			
67	(367) Underground Conductors and Devices			
68	(368) Line Transformers			
69	(369) Services			
70	(370) Meters			
71	(371) Installations on Customer Premises			
72	(372) Leased Property on Customer Premises			
73	(373) Street Lighting and Signal Systems			
74	(374) Asset Retirement Costs for Distribution Plant			
75	TOTAL Distribution Plant (Enter Total of lines 60 thru 74)			
76	5. REGIONAL TRANSMISSION AND MARKET OPERATION PLANT			
77	(380) Land and Land Rights			
78	(381) Structures and Improvements			
79	(382) Computer Hardware	37,986,398	1,883,401	
80	(383) Computer Software	356,251,002	2,839,530	
81	(384) Communication Equipment			
82	(385) Miscellaneous Regional Transmission and Market Operation Plant			
83	(386) Asset Retirement Costs for Regional Transmission and Market Oper			
84	TOTAL Transmission and Market Operation Plant (Total lines 77 thru 83)	394,237,400	4,722,931	
85	6. GENERAL PLANT			
86	(389) Land and Land Rights	4,382,790		
87	(390) Structures and Improvements	105,563,326	5,677,723	
88	(391) Office Furniture and Equipment	14,515,466	1,349,149	
89	(392) Transportation Equipment			
90	(393) Stores Equipment			
91	(394) Tools, Shop and Garage Equipment			
92	(395) Laboratory Equipment			
93	(396) Power Operated Equipment			
94	(397) Communication Equipment	5,561,374		
95	(398) Miscellaneous Equipment	89,620,880	3,956,375	
96	SUBTOTAL (Enter Total of lines 86 thru 95)	219,643,836	10,983,247	
97	(399) Other Tangible Property			
98	(399.1) Asset Retirement Costs for General Plant			
99	TOTAL General Plant (Enter Total of lines 96, 97 and 98)	219,643,836	10,983,247	
100	TOTAL (Accounts 101 and 106)	613,881,236	15,706,178	
101	(102) Electric Plant Purchased (See Instr. 8)			
102	(Less) (102) Electric Plant Sold (See Instr. 8)			
103	(103) Experimental Plant Unclassified			
104	TOTAL Electric Plant in Service (Enter Total of lines 100 thru 103)	613,881,236	15,706,178	

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106) (Continued)

distributions of these tentative classifications in columns (c) and (d), including the reversals of the prior years tentative account distributions of these amounts. Careful observance of the above instructions and the texts of Accounts 101 and 106 will avoid serious omissions of the reported amount of respondent's plant actually in service at end of year.

7. Show in column (f) reclassifications or transfers within utility plant accounts. Include also in column (f) the additions or reductions of primary account classifications arising from distribution of amounts initially recorded in Account 102, include in column (e) the amounts with respect to accumulated provision for depreciation, acquisition adjustments, etc., and show in column (f) only the offset to the debits or credits distributed in column (f) to primary account classifications.

8. For Account 399, state the nature and use of plant included in this account and if substantial in amount submit a supplementary statement showing subaccount classification of such plant conforming to the requirement of these pages.

9. For each amount comprising the reported balance and changes in Account 102, state the property purchased or sold, name of vendor or purchase, and date of transaction. If proposed journal entries have been filed with the Commission as required by the Uniform System of Accounts, give also date

Retirements (d)	Adjustments (e)	Transfers (f)	Balance at End of Year (g)	Line No.
				1
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Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106) (Continued)					
Retirements (d)	Adjustments (e)	Transfers (f)	Balance at End of Year (g)		Line No.
					47
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					49
					50
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8,260,861			31,608,938		79
44,807,289			314,283,243		80
					81
					82
					83
53,068,150			345,892,181		84
					85
			4,382,790		86
2,817,971			108,423,078		87
2,338,817			13,525,798		88
					89
					90
					91
					92
					93
233,099			5,328,275		94
3,628,607			89,948,648		95
9,018,494			221,608,589		96
					97
					98
9,018,494			221,608,589		99
62,086,644			567,500,770		100
					101
					102
					103
62,086,644			567,500,770		104

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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CONSTRUCTION WORK IN PROGRESS -- ELECTRIC (Account 107)

- Report below descriptions and balances at end of year of projects in process of construction (107)
- Show items relating to "research, development, and demonstration" projects last, under a caption Research, Development, and Demonstrating (see Account 107 of the Uniform System of Accounts)
- Minor projects (5% of the Balance End of the Year for Account 107 or \$1,000,000, whichever is less) may be grouped.

Line No.	Description of Project (a)	Construction work in progress - Electric (Account 107) (b)
1	Transmission and Reliability Services	34,650,087
2	Ancillary Services	28,851,862
3		
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43	TOTAL	63,501,949

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
ACCUMULATED PROVISION FOR DEPRECIATION OF ELECTRIC UTILITY PLANT (Account 108)			
<p>1. Explain in a footnote any important adjustments during year.</p> <p>2. Explain in a footnote any difference between the amount for book cost of plant retired, Line 11, column (c), and that reported for electric plant in service, pages 204-207, column 9d), excluding retirements of non-depreciable property.</p> <p>3. The provisions of Account 108 in the Uniform System of accounts require that retirements of depreciable plant be recorded when such plant is removed from service. If the respondent has a significant amount of plant retired at year end which has not been recorded and/or classified to the various reserve functional classifications, make preliminary closing entries to tentatively functionalize the book cost of the plant retired. In addition, include all costs included in retirement work in progress at year end in the appropriate functional classifications.</p> <p>4. Show separately interest credits under a sinking fund or similar method of depreciation accounting.</p>			

Section A. Balances and Changes During Year

Line No.	Item (a)	Total (c+d+e) (b)	Electric Plant in Service (c)	Electric Plant Held for Future Use (d)	Electric Plant Leased to Others (e)
1	Balance Beginning of Year	498,975,325	498,975,325		
2	Depreciation Provisions for Year, Charged to				
3	(403) Depreciation Expense	34,587,963	34,587,963		
4	(403.1) Depreciation Expense for Asset Retirement Costs				
5	(413) Exp. of Elec. Plt. Leas. to Others				
6	Transportation Expenses-Clearing				
7	Other Clearing Accounts				
8	Other Accounts (Specify, details in footnote):				
9					
10	TOTAL Deprec. Prov for Year (Enter Total of lines 3 thru 9)	34,587,963	34,587,963		
11	Net Charges for Plant Retired:				
12	Book Cost of Plant Retired	61,288,041	61,288,041		
13	Cost of Removal				
14	Salvage (Credit)				
15	TOTAL Net Chrgs. for Plant Ret. (Enter Total of lines 12 thru 14)	61,288,041	61,288,041		
16	Other Debit or Cr. Items (Describe, details in footnote):				
17					
18	Book Cost or Asset Retirement Costs Retired				
19	Balance End of Year (Enter Totals of lines 1, 10, 15, 16, and 18)	472,275,247	472,275,247		

Section B. Balances at End of Year According to Functional Classification

20	Steam Production				
21	Nuclear Production				
22	Hydraulic Production-Conventional				
23	Hydraulic Production-Pumped Storage				
24	Other Production				
25	Transmission				
26	Distribution				
27	Regional Transmission and Market Operation	453,444,790	453,444,790		
28	General	23,213,247	23,213,247		
29	TOTAL (Enter Total of lines 20 thru 28)	476,658,037	476,658,037		

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
Midcontinent Independent System Operator, Inc			
FOOTNOTE DATA			

Schedule Page: 219 Line No.: 29 Column: c

The difference of \$4,382,790 between Line 19 and Line 29 is the accumulated amortization of land that is not included in Section A of this schedule.

Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
Transmission Service and Generation Interconnection Study Costs					
1. Report the particulars (details) called for concerning the costs incurred and the reimbursements received for performing transmission service and generator interconnection studies. 2. List each study separately. 3. In column (a) provide the name of the study. 4. In column (b) report the cost incurred to perform the study at the end of period. 5. In column (c) report the account charged with the cost of the study. 6. In column (d) report the amounts received for reimbursement of the study costs at end of period. 7. In column (e) report the account credited with the reimbursement received for performing the study.					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21	Generation Studies				
22	Acadiana Solar	1,522	235	(270,000)	235
23	AEP RENEWABLES	1,373,670	235		
24	AES ENERGY STORAGE	55	235	(1,220,000)	235
25	Ahern Pipestone Solar	1,601	235	(320,000)	235
26	ALBANY-BASS CREEK SOLAR	107,825	235		
27	ALFALFA HOLDINGS	319,237	235		
28	Algonquin Power	117	235	(740,000)	235
29	ALLETE CLEAN ENERGY	201,703	235		
30	ALLIANT ENERGY	40,984	235	(10,000)	235
31	AMERICAN MUNICIPAL POWER	5,730	235		
32	AMERICAN TRANSMISSION COMPANY	58,198	235		
33	Andover Wind Energy	1,602	235	(1,118,000)	235
34	APEX CLEAN ENERGY HOLDINGS	11,287	235	(900,000)	235
35	APPLE RIVER SOLAR	4,259	235		
36	ASPEN SOLAR	101,759	235		
37	ASSEMBLY SOLAR	1,915,467	235	(1,912,000)	235
38	AURORA SOLAR	15,406	235	(420,000)	235
39	AZALIA SOLAR	3,778	235		
40	BADGER HOLLOW SOLAR FARM	762,384	235		

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	BADGER STATE SOLAR	454	235		
23	BADGER WIND	38,576	235		
24	BATAVIA SOLAR	3,891	235		
25	BATTLE CREEK SOLAR	4,389	235		
26	Bauer Solar	53	235	(320,000)	235
27	Bayou Breeze Wind Farm	1,532	235	(1,632,000)	235
28	BAYOU TECHE SOLAR	6,150	235		
29	BayWa Solar Projects	1,517	235	(50,000)	235
30	BEARCAT SOLAR	419,375	235		
31	Beech Tree Storage	53	235	(920,000)	235
32	Beekman PV I	3,046	235	(370,000)	235
33	BELL SOLAR	139,801	235		
34	BETHEL WIND ENERGY	865	235	(4,788)	235
35	BIG BEND WIND	7,601	235		
36	BIG BLUE RIVER WIND FARM	909,447	235		
37	Big Creek Solar	1,604,575	235	(2,340,000)	235
38	BIG RIVER SOLAR	10,202	235		
39	BIG STONE SOLAR	4,259	235		
40	Birch Coulee Solar	1,597	235	(270,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	BLACK SPRUCE WIND PROJECT	11,528	235		
23	BLUE BIRD SOLAR	1,189,331	235		
24	BLUE RIDGE WIND	19,039	235		
25	BLUE STAR WIND PROJECT	9,584,902	235		
26	Bogalusa West PV I	1,528	235	(1,120,000)	235
27	BOONE STEPHENS SOLAR I	1,538	235		
28	BOOTHEEL SOLAR PROJECT	790,112	235		
29	BOYER PV I	43,137	235	(1,831,523)	235
30	Branch Solar	55	235	(320,000)	235
31	BREWSTER-ELK SOLAR	104,408	235		
32	Broadhorn Solar	275	235	(270,000)	235
33	BURKE WIND	14,423	235		
34	Caldwell Solar	55	235	(320,000)	235
35	CALHOUN COUNTY SOLAR PROJECT	2,076,625	235		
36	CALPINE ENERGY SERVICES LP	32,616	235		
37	Cambria PV I	279	235	(320,000)	235
38	CAMPBELL SOLAR	65,016	235		
39	CARDINAL POINT	277,590	235		
40	Carina Solar	52	235	(270,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	CASS COUNTY SOLAR PROJECT	1,103,935	235		
23	CATAHOULA SOLAR	905,389	235		
24	CATALYST OLD RIVER HYDROELECTRIC	329,629	235		
25	CATTLE RIDGE WIND FARM	15,838	235	(15,838)	235
26	Cavalry Power	57	235	(320,000)	235
27	CED DONALDSON WIND	324,191	235	(550,000)	235
28	CELERY CREEK WIND	52,612	235		
29	CENTRAL MINN. MUNICIPAL POWER	5,633	235		
30	CENTRALIA PV I	1,984	235		
31	CG Pike Creek	57	235	(1,120,000)	235
32	CHECKERED FLAG SOLAR	17,526	235	(242,500)	235
33	CHERRY VALLEY PV I	9,045	235	(320,000)	235
34	CHURCHILL-THOMPSON SOLAR	29,259	235		
35	CLARENDON SOLAR	369,360	235		
36	CLEARWAY RENEW	873,842	235		
37	CLECO POWER	7,850	235		
38	Cloudbreak Solar	278	235	(320,000)	235
39	CLOVERLAND ELECTRIC COOP	29,429	235		
40	CMS ENERGY RESOURCE MANAGEMENT	237,413	235	(280,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	Coffee Creek Solar Project	111	235	(2,166,400)	235
23	COGGAN MUNICIPAL/BHMG ENGINEERS	1,304	235		
24	Coles Wind	63	235	(420,000)	235
25	Confluence Solar	57	235	(320,000)	235
26	CONSUMERS ENERGY COMPANY	58	235	(420,000)	235
27	CONTRAIL WIND PROJECT	2,059,248	235	(10,000)	235
28	COOKS MILL PV I	1,984	235		
29	COOPERATIVE ENERGY	288,762	235	(60,000)	235
30	Copper Country Power I	1,358	235	(1,850,000)	235
31	CORAL WIND I	61,769	235	(420,000)	235
32	CORNERSTONE ENERGY CENTER	503,563	235		
33	COTTONWOOD WIND	300			
34	COYOTE RIDGE WIND	148,212	235		
35	CRAWFISH RIVER SOLAR	167,203	235	(402,638)	235
36	CRENSHAW SOLAR	310,524	235		
37	CRITTENDEN SOLAR	301,342	235		
38	CROOKED LAKE SOLAR	1,521	235	(380,000)	235
39	Crossover Wind	3,044	235	(590,000)	235
40	CROSSROADS SOLAR	2,427	235		

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	CRYSTAL LAKE WIND II	4,911	235	(10,000)	235
23	Cumberland Road South Solar Projec	110	235	(2,027,200)	235
24	DAIRYLAND POWER COOPERATIVE	38,950	235		
25	Dakota County	368	235	(66,000)	235
26	DAYS STATION SOLAR	261,006	235		
27	DEERFIELD WIND ENERGY 2	3,490	235		
28	DEUEL HARVEST WIND ENERGY	625,149	235		
29	Diamond Grove Wind	63	235	(420,000)	235
30	DOUBLE BLACK DIAMOND SOLAR POWER	4,854	235		
31	DRESSOR PLAINS SOLAR	15,052	235		
32	DRIVER SOLAR	1,319,464	235	(670,000)	235
33	DTE ELECTRIC COMPANY	5,714,629	235	(10,000)	235
34	DTE ENERGY	2,174,232	235	(1,800,000)	235
35	Dubols Solar	51	235	(558,000)	235
36	Duff Solar Park II	51	235	(270,000)	235
37	DUKE ENERGY	10,822	235	(38,294)	235
38	DUKE ENERGY INDIANA	154	235	(2,236,000)	235
39	DUKE ENERGY RENEWABLES SOLAR	2,465	235	(1,970,000)	235
40	DUKE ENERGY RENEWABLES WIND	6,499	235		

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	DYNEGY MARKETING AND TRADE	191,775	235		
23	EASTERN SHORES SOLAR	2,406	235		
24	ECHO WIND	300			
25	EDF RENEWABLE ENERGY	244,350	235		
26	EDF RENEWABLES DEVELOPMENT	6,215,240	235	(3,241,127)	235
27	EDF RENEWABLES DISTRIBUTED SOLUTIO	31,578	235		
28	EDP RENEWABLES NORTH AMERICA	939,139	235	(1,700,173)	235
29	Elk Creek PV I	3,200	235	(470,000)	235
30	ELK CREEK SOLAR 2	5,600	235		
31	ELK CREEK SOLAR	8,919	235		
32	ELLIOTT SOLAR	42,526	235		
33	Emerald PV I	3,194	235	(370,000)	235
34	ENGIE DEVELOPMENT	5,252	235	(640,000)	235
35	ENTERGY LOUISIANA	473,604	235	(320,000)	235
36	ENTERGY MISSISSIPPI	1,963,924	235		
37	ENTERGY NEW ORLEANS	372,133	235		
38	ENTERGY TEXAS	20,000	235	(20,000)	235
39	ENTERGY TEXAS .	1,750	235	(700,000)	235
40	EVANGELINE SOLAR	319,283	235		

Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	EXELON GENERATION COMPANY	6,025	235		
23	FAIRVIEW SOLAR	82,472	235	(1,878,655)	235
24	FARMERS ELECTRIC COOPERATIVE	10,064	235		
25	FAYETTEVILLE SOLAR	2,077	235		
26	Fennel Holdings	802,696	235	(1,170,000)	235
27	FILLMORE COUNTY SOLAR PROJECT	1,193,064	235		
28	FIRST SOLAR DEVELOPMENT	26,490	235		
29	FLATROCK SOLAR	1,388	235		
30	Flatwater Solar	271	235	(180,000)	235
31	FLETCHER POWER	102,037	235		
32	Flora Solar	53	235	(320,000)	235
33	FLYING COW WIND	4,982	235		
34	FORD COUNTY WIND FARM	574,830	235		
35	Formation Solar	50	235	(180,000)	235
36	Fornax Solar	54	235	(320,000)	235
37	FOURMILE WIND PROJECT	1,714,655	235		
38	Foxglove Wind	57	235	(325,000)	235
39	FRESH AIR ENERGY II	105,062	235	(7,207,592)	235
40	FRESHWATER SOLAR	11,602	235	(1,660,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	FRYBERGER, Buchanan, Smith & Fredr	22,117	235		
23	Garland Wind	59	235	(420,000)	235
24	GARRISON BUTTE WIND	62,990	235	(1,627,631)	235
25	GATOR POND SOLAR	260,809	235		
26	GENESEE SOLAR ENERGY	23,545	235		
27	Geranium Solar	61	235	(420,000)	235
28	GERONIMO ENERGY	5,085	235	(1,970,000)	235
29	GETTY WIND 953 (39329-01) (CLOSED	868	235	(868)	235
30	GLACIER SANDS II WIND POWER	755,623	235		
31	GLACIER SANDS WIND POWER	2,207,836	235		
32	GLACIERS EDGE WIND PROJECT	297,174	235	(10,000)	235
33	Golden Solar	4,950	235	(40,000)	235
34	GOOSE CREEK WIND	2,903	235		
35	Gopher State Solar	1,599	235	(320,000)	235
36	Grandstand Solar	50	235	(180,000)	235
37	GRANT SOLAR	9,933	235		
38	Great Plains Solar	51	235	(270,000)	235
39	GREAT RIVER ENERGY	70,000	235	(70,000)	235
40	GREENBRIER SOLAR	4,147	235		

Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	GREENWOOD SOLAR	3,281	235		
23	Gum Tree Solar	225	235	(420,000)	235
24	HARBOR LIGHT SOLAR	100,507	235		
25	HARMONY SOLAR ND 2	84,404	235	(320,000)	235
26	HATFIELD WIND	8,126	235		
27	HAWKEYE SOLAR	5,600	235		
28	HAYWARD SOLAR	50,956	235		
29	HEADWATER RENEWABLES	1,602	235	(320,000)	235
30	HEARTLAND DIVIDE WIND PROJECT	5,083,873	235	(881)	235
31	HEARTLAND FARMS WIND PROJECT	42,823	235		
32	Heartland Storage	370	235	(380,000)	235
33	HEARTLAND WIND	13,762	235		
34	Heartwood Solar	56	235	(320,000)	235
35	Hecate Energy Blue River	71	235	(2,416,000)	235
36	Hecate Energy Donaldsonville	1,000,225	235	(1,420,000)	235
37	Hecate Energy Sunfish Solar	71	235	(2,416,000)	235
38	HEMLOCK SEMICONDUCTOR OPERATIONS	8,092	235		
39	Henderson County Solar	49	235	(180,000)	235
40	Hercules Solar	54	235	(320,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	HERITAGE GARDEN WIND FARM I	41,119	235	(5,000)	235
23	Hickory Solar	4,950	235	(40,000)	235
24	HINDS SOLAR	263,655	235	(920,000)	235
25	Hollis Creek PV I	3,047	235	(1,170,000)	235
26	HOOSIER ENERGY (HE)	70,000	235	(70,000)	235
27	Hoosier Solar	53	235	(320,000)	235
28	HORUS RENEWABLES CORPORATION	7,298	235	(3,475,419)	235
29	Huckleberry Line Solar Project	111	235	(2,160,400)	235
30	Hudsonville Solar	295,624	235	(711,100)	235
31	Iberville Solar	1,527	235	(1,096,000)	235
32	IBV Energy Partners	323,129	235	(960,000)	235
33	INDECK SOUTHERN LIGHTS	5,452	235		
34	Indiana Crossroads Solar Park II	57	235	(320,000)	235
35	INDIANAPOLIS POWER AND LIGHT COMPA	78,370	235	(2,000)	235
36	INTERSTATE POWER & LIGHT COMPANY	901,091	235	(1,669,121)	235
37	INVENERGY ENERGY MANAGEMENT	5,175	235	(20,000)	235
38	INVENERGY SOLAR DEVELOPMENT NORTH	8,776,118	235	(1,296,501)	235
39	INVENERGY SOLAR PROJECT DEVELOPMEN	3,195,567	235	(11,793,666)	235
40	INVENERGY STORAGE DEVELOPMENT	1,499,996	235	(765,976)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	INVENERGY TRANSMISSION	2,457,349	235	(100,000)	235
23	INVENERGY WIND DEVELOPMENT	6,160,671	235	(4,347,809)	235
24	Iowa Hydro	3,241	235	(55,000)	235
25	IRIS SOLAR II	179,739	235		
26	IRIS SOLAR	13,203	235		
27	ISABELLA RENEWABLES	1,364	235	(10,000)	235
28	Isabelle Creek Solar	91,595	235	(270,000)	235
29	Jack Rabbit Wind	377	235	(320,000)	235
30	Jackson County Solar	51	235	(270,000)	235
31	JACKSON SOLAR	3,586	235		
32	JOHNSON SOLAR PROJECT	1,152,028	235	(1,572,000)	235
33	JORDAN CREEK WIND FARM	47,063	235		
34	JUHL ENERGY	600			
35	JUHL ENERGY DEVELOPMENT	7,091	235		
36	Juno Power Management	51	235	(670,000)	235
37	Kalamink Wind	61	235	(420,000)	235
38	KELSO SOLAR	19,889	235		
39	KENTUCKY MUNICIPAL ENERGY AGENCY			(20,000)	235
40	Knox Solar	53	235	(320,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	KOSSUTH WIND ENERGY			(10,000)	235
23	LACASSINE SOLAR II	169,151	235	(920,000)	235
24	LACASSINE SOLAR	311,242	235	(1,620,000)	235
25	Lakeside Solar	51	235	(270,000)	235
26	LAYTON SOLAR	135,715	235		
27	LEDYARD WINDPOWER	16,211	235		
28	Leeward Renewable Energy Developme	1,061,076	235	(1,245,200)	235
29	Leola Wind Farm	386	235	(420,000)	235
30	LIGHTHOUSE RENEWABLE ENERGY DEVELO	170,945	235	(320,000)	235
31	LOLN CLEAN ENERGY CENTER	6,541	235		
32	LOLN LAND ENERGY CENTER	42,625	235		
33	LOCOMOTIVE SOLAR	3,652	235		
34	LONG CREEK WIND PROJECT	12,955	235		
35	LONG LAKE SOLAR	904,733	235		
36	LONGHORN SOLAR	262,994	235		
37	LONGROAD DEVELOPMENT COMPANY	124,936	235		
38	Lonoke Solar	1,524	235	(270,000)	235
39	LOTUS WIND	2,406	235		
40	LOUISE SOLAR PROJECT	4,658	235		

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	MADISON GAS AND ELECTRIC COMPANY	2,725,700	235	(10,000)	235
23	MAGNET WIND FARM	3,510	235		
24	MAGNOLIA POWER	86,640	235	(3,378,495)	235
25	MANITOBA HYDRO	31,900	235	(40,000)	235
26	MANITOWOC PUBLIC UTILITY	18,225	235	(60,000)	235
27	Maple PV I	99	235	(720,000)	235
28	Marathon Wind Farm	273	235	(270,000)	235
29	MARSHALL COGEN	90,884	235		
30	Marshall Solar	2,691	235	(10,000)	235
31	MCBRIDE WIND PROJECT	761,424	235		
32	MCCOOK COUNTY WIND	300			
33	McCracken County Solar	49	235	(270,000)	235
34	Meade County Solar	48	235	(180,000)	235
35	Meadow Lake Solar Park			(60,000)	235
36	MEC NORTH	4,353	235		
37	MEC SOUTH	528,405	235		
38	MICHIGAN PUBLIC POWER AGENCY	77,349	235		
39	MICHIGAN SOUTH CENTRAL POWER AGENC	2,668	235		
40	MID AMERICAN ENERGY COMPANY	8,711,684	235	(1,203,995)	235

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	MIDAMERICAN ENERGY COMPANY - NMP-B	5,149	235		
23	Middle Fork Storage	1,594	235	(380,000)	235
24	MIDWEST ISO - Internal labor hours	32,850	235	(32,850)	235
25	MINNESOTA MUNICIPAL POWER AGENCY	6,163	235		
26	MINNESOTA MUNICIPAL POWER AGENCY1	1,007	235		
27	MINNESOTA POWER	11,106	235	(20,000)	235
28	MINONK STEWARDSHIP WIND	28,802	235		
29	MISSOURI JOINT MUNICIPAL ELECTRIC	23,038	235	(20,000)	235
30	MN SOLAR I	3,145	235		
31	MONROE POWER	3,363	235		
32	MONTANA DAKOTA UTILITIES CO	415,432	235		
33	MONTANA-DAKOTA UTILITIES COMPANY (10,000	235	(10,000)	235
34	MOONLITE WIND	57	235	(325,000)	235
35	Mowata Solar	1,526	235	(920,000)	235
36	MS SOLAR 4	781,384	235		
37	MULLIGAN SOLAR	13,449	235		
38	Mural Energy	70	235	(420,000)	235
39	MUSKEGON COUNTY SOLAR PROJECT	585,640	235		
40	NEW FRONTIER (Q # 39207-07)	56,508	235		

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	Nextera Energy Capital Holdings	675	235	(60,000)	235
23	NEXTERA ENERGY POWER MARKETING	11,511	235	(1,070)	235
24	NEXTERA ENERGY RESOURCES (1670)	261,340	235	(261,340)	235
25	Nextera Energy Resources Interconn	2,209,039	235	(28,892,000)	235
26	Nextera Energy Resources Interconn	5,603	235	(4,633,000)	235
27	NEXTERA ENERGY RESOURCES	33,639,019	235	(10,179,194)	235
28	NIMBUS WIND FARM	8,066	235		
29	NOBLES2 POWER PARTNERS	78,797	235		
30	NORRIS CITY SOLAR	15,121	235		
31	NORTH HILLS WIND PROJECT	18,102	235		
32	NORTH ROCK SOLAR	134,603	235		
33	NORTH STAR SOLAR PV (1865) CLOSED	10,000	235	(10,000)	235
34	NORTHERN STATES POWER COMPANY	742,436	235	(140,000)	235
35	NRG POWER MARKETING	20,000	235		
36	NRG POWER MARKETING	238,926	235		
37	Nutmeg Holdings	3,043	235	(970,000)	235
38	Occaslo Power Management	155	235	(2,010,000)	235
39	ONEENERGY DEVELOPMENT	546	235	(1,228,800)	235
40	ONION RIVER SOLAR	193,042	235	(221,282)	235

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	Onward Solar	52	235	(270,000)	235
23	ONYX RENEWABLE PARTNERS LP	6,529	235		
24	Oriden	900,103	235	(1,400,000)	235
25	ORIGIS HOLDINGS USA SUBCO	31,932	235	(5,340,000)	235
26	ORION ENGINEERED CARBONS	47,565	235		
27	ORION POWER GENERATION	33,750	235		
28	ORION RENEWABLES RESOURCES	8,261,148	235		
29	ORION WIND RESOURCES	360,052	235		
30	OTISVILLE PV I	2,975	235		
31	OTTER TAIL POWER COMPANY	34,375	235	(60,000)	235
32	Otter Tail Solar	1,601	235	(320,000)	235
33	Otter Tail Storage	1,596	235	(180,000)	235
34	PACES CREEK SOLAR 1	369,360	235		
35	PADDOCK SOLAR	111,800	235		
36	PALMER CREEK WIND FARM	865	235		
37	PANFISH SOLAR	4,820	235		
38	Parasol Renewable Energy Holdings	3,245	235	(1,520,000)	235
39	Pattern Energy Wind Development	2,600,567	235	(3,440,000)	235
40	Pawnee Power	3,252	235	(670,000)	235

Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	PEONY SOLAR	2,423	235		
23	Photosol US Renewable Energy	1,521	235	(666,000)	235
24	Pike County Solar	53	235	(320,000)	235
25	Pine Island Storage	1,595	235	(380,000)	235
26	PINEY WOODS SOLAR	262,243	235	(920,000)	235
27	Pipestone Solar	373	235	(320,000)	235
28	PJM INTERCONNECTION	88,840			
29	PLUM CREEK SOLAR	11,241	235		
30	PLUM CREEK WIND FARM	16,557	235		
31	PLUM POINT ENERGY ASSOCIATES	106,231	235	(40,596)	235
32	Polaris Solar	273	235	(270,000)	235
33	POLARIS WIND ENERGY	153,677	235		
34	POPLAR WIND PROJECT	50	235		
35	Portage County Solar Project	277	235	(912,400)	235
36	Portage Solar	281	235	(420,000)	235
37	Portside Solar	57	235	(320,000)	235
38	PRAIRIE SOLAR 1	16,980	235		
39	PRAIRIE STATE SOLAR	445,879	235		
40	PRAIRIE WOLF SOLAR	215,569	235		

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	PROSPERITY WIND FARM 2	473,375	235		
23	Prosperity Wind Farm	386	235	(420,000)	235
24	Quaking Aspen Solar	50	235	(180,000)	235
25	RAGSDALE SOLAR	2,586,555	235	(380,000)	235
26	Rail Splitter Wind Farm II	51	235	(270,000)	235
27	RATTS 1 SOLAR	139,497	235		
28	RATTS 2 SOLAR	83,953	235		
29	RED BARN ENERGY	587	235		
30	RED ROCK WIND PROJECT	1,214,127	235		
31	Redfield PV I	3,040	235	(720,000)	235
32	REGAL SOLAR	18,444	235		
33	RENVILLE WIND	300			
34	RES AMERICA DEVELOPMENTS	1,152,465	235		
35	RES AMERICA DEVELOPMENTS	389,096	235		
36	Resolute Solar	1,525	235	(904,000)	235
37	RICHLAND COUNTY SOLAR PROJECT	200,489	235		
38	RIDGEWAY POWER	1,919	235		
39	RIDGEWAY SOLAR	1,262,667	235		
40	RIPPY WIND ENERGY	4,052	235		

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	RIVER FORK SOLAR	3,651,710	235		
23	ROCHESTER PUBLIC UTILITIES	14,093	235		
24	RockGen Energy	553	235	(588,000)	235
25	ROLLING FARMS WIND PROJECT	24,829	235		
26	ROYAL SOLAR	5,716	235	(320,000)	235
27	RPM ACCESS	2,253,944	235		
28	RUSH SOLAR PROJECT	56	235	(1,260,000)	235
29	RUSO WIND PARTNERS	960,260	235	(1,120,000)	235
30	RUTHRON WIND	300			
31	RWE RENEWABLES DEVELOPMENT	3,312,317	235	(2,310,268)	235
32	RWE SOLAR DEVELOPMENT	7,713,619	235	(13,050,312)	235
33	RYE HOLDINGS	270,902	235	(73,960)	235
34	SABINE SOLAR	261,338	235		
35	SALT CREEK WIND	5,158	235		
36	Saluki Solar	51	235	(270,000)	235
37	SAND LAKE SOLAR PV	273	235	(270,000)	235
38	SANDSTONE CREEK SOLAR 2	2,516	235		
39	SANDSTONE CREEK SOLAR	30,154	235		
40	Sandy Creek Wind	63	235	(420,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	Sangamon Solar	98	235	(320,000)	235
23	Saratoga Solar Project	277	235	(922,000)	235
24	Searcy Solar	10,575	235	(60,000)	235
25	SHENANDOAH WIND PROJECT	3,772,792	235		
26	SHERCO SOLAR	8,710	235	(320,000)	235
27	SHOW ME STATE SOLAR	120,748	235	(388,123)	235
28	Shullsburg Wind Farm	274	235	(270,000)	235
29	Simpson Solar	52	235	(320,000)	235
30	SMOKEY COVE SOLAR	11,804	235	(9,577,952)	235
31	SN WIND FACILITIES	30,962	235	(396,400)	235
32	SOCORE 2017 PROJECT CO-IL1	14,106	235	(880,000)	235
33	SOCORE MW DEVELOPMENT	1,822	235		
34	SOLARPACK DEVELOPMENT	3,767	235		
35	SOO GREEN HVDC LINK PROJECT CO	109,520	235	(200,000)	235
36	SOUTH SHORE ENERGY	4,316,892	235		
37	SOUTHERN INDIANA GAS AND ELECTRIC	265,646	235		
38	SPEEDWAY SOLAR	1,440,073	235		
39	SPOWER DEVELOPMENT COMPANY	961,617	235	(1,290,000)	235
40	Spring Creek Solar	56	235	(320,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	SPRINGFIELD SOLAR FARM	66,147	235		
23	Spruce Solar	57	235	(320,000)	235
24	Square Dance Solar	50	235	(180,000)	235
25	St. Croix Solar	1,594	235	(180,000)	235
26	St. Croix Storage	1,598	235	(380,000)	235
27	ST. JAMES SOLAR II	101,208	235	(843,712)	235
28	ST. JAMES SOLAR III	19,353	235	(3,382,034)	235
29	ST. JAMES SOLAR	34,758	235	(40,445)	235
30	St. Martin Solar	1,523	235	(270,000)	235
31	STACKHOUSE POWER	102,081	235		
32	STARKE SOLAR PROJECT	57	235	(1,120,000)	235
33	STEELHEAD WIND 2	8,215	235		
34	STEWART WIND	300			
35	Sugar Creek Solar One	57	235	(320,000)	235
36	SUGAR CREEK WIND	265,355	235	(320,000)	235
37	SUGAR RIVER WIND	450	235		
38	Summit Lake Solar	377	235	(320,000)	235
39	SUNLIGHT ROAD SOLAR	38,579	235	(200,480)	235
40	SUNRISE SOLAR	60,326	235		

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	Superior Solar Project	277	235	(918,800)	235
23	SUREFIRE SOLAR	2,406	235		
24	Tempus Power Management	1,523	235	(670,000)	235
25	Tenaska Solar Holdings	7,969	235	(3,520,000)	235
26	TENASKA WIND HOLDINGS	288,528	235	(1,769,689)	235
27	TENNESSEE VALLEY AUTHORITY (TVA)	2,678	235		
28	TENNESSEE VALLEY AUTHORITY.	1,524	235	(932,000)	235
29	TERRA-GEN POWER HOLDING II .	1,712,885	235		
30	TES FILER CITY STATION LTD	10,000	235	(10,000)	235
31	TG HIGH PRAIRIE	15,984	235		
32	Thetford Solar Energy 2	53	235	(320,000)	235
33	THREE WATERS WIND FARM	501,826	235	(60,000)	235
34	TILDEN SOLAR	18,446	235		
35	TIPTON MUNICIPAL (CITY OF TIPTON)	5,199	235		
36	Torchlight Solar	1,599	235	(270,000)	235
37	Triple Black Diamond Solar Power	58	235	(420,000)	235
38	TUNICA SOLAR	3,253	235		
39	TUPPER LAKE WIND	4,446	235		
40	TURTLE CREEK WIND FARM	6,269	235	(10,000)	235

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	Unbridled Solar	54	235	(320,000)	235
23	UNION CENTER PV I	2,622	235		
24	UNION ELECTRIC COMPANY D/B/A AMERE	1,557	235	(420,000)	235
25	Unspecified		235	(3,429,221)	235
26	UPPER PENINSULA POWER CO	180,453	235		
27	Ursa Solar	280	235	(320,000)	235
28	VALPO SOLAR	2,406	235		
29	VERMILLION CLEAN ENERGY CENTER	528,520	235		
30	VIRDEN WIND ENERGY	1,759	235		
31	VISTRA OPERATIONS COMPANY	8,557	235		
32	VOTAW SOLAR I	55,134	235	(3,212,224)	235
33	Warwick Carbon Solutions	62	235	(420,000)	235
34	WATERTOWN SOLAR	4,389	235		
35	WE ENERGIES	130,861	235		
36	WESTERN MUSTANG SOLAR	17,867	235		
37	WESTLAKE VINYL COMPANY LP	408,248	235		
38	WHISKEY CREEK WIND PROJECT	87,303	235		
39	WHITE TAIL SOLAR	1,842,578	235		
40	WHITETAIL WIND	40,743	235		

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
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21	Generation Studies				
22	Whitewater Solar	274	235	(270,000)	235
23	WHITFIELD SOLAR L	2,134	235		
24	WILD ROSE WIND ENERGY	377	235	(325,000)	235
25	Wildflower Solar	1,524	235	(670,000)	235
26	WILLIAMS POWER	102,081	235		
27	WINFIELD SOLAR I	2,098	235		
28	Winnebago Solar and Storage	1,599	235	(920,000)	235
29	Winter Wheat Wind	57	235	(320,000)	235
30	WISCONSIN POWER & LIGHT COMPANY	894,487	235	(6,142,998)	235
31	WISCONSIN PUBLIC SERVICE CORPORATI	188,704	235	(320,000)	235
32	WOLCOTTVILLE PV I	1,650	235		
33	WOLF CREEK SOLAR	1,759	235		
34	WOLVERINE POWER SUPPLY COOPERATIVE	5,858	235		
35	WOOD COUNTY SOLAR PROJECT	120,606	235	(1,180,439)	235
36	WOODSTOCK HILLS II	300			
37	Worthington Solar	53	235	(320,000)	235
38	WPPI - WISCONSIN PUBLIC POWER	60,000	235	(60,000)	235
39	XCEL ENERGY NORTHERN STATES POWER	5,858	235		
40	ZEP GRAND PRAIRIE WIND	654,063	235		

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Transmission Service and Generation Interconnection Study Costs (continued)

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
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21	Generation Studies				
22	Zydeco Solar	1,524	235	(270,000)	235
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Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4	
OTHER REGULATORY ASSETS (Account 182.3)						
1. Report below the particulars (details) called for concerning other regulatory assets, including rate order docket number, if applicable.						
2. Minor items (5% of the Balance in Account 182.3 at end of period, or amounts less than \$100,000 which ever is less), may be grouped by classes.						
3. For Regulatory Assets being amortized, show period of amortization.						
Line No.	Description and Purpose of Other Regulatory Assets (a)	Balance at Beginning of Current Quarter/Year (b)	Debits (c)	CREDITS Written off During the Quarter /Year Account Charged (d) Written off During the Period Amount (e)		Balance at end of Current Quarter/Year (f)
1	Current Transmission Costs		104,654			104,654
2						
3	Pension	1,205,418	89,212			1,294,630
4						
5	Schedule 16 Deferred Current Costs	113,182		407.4	113,182	
6						
7	Schedule 17 Deferred Current Costs	2,109,569		407.4	2,109,569	
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43						
44	TOTAL :	3,428,169	193,866		2,222,751	1,399,284

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
Midcontinent Independent System Operator, Inc			
FOOTNOTE DATA			

Schedule Page: 232 Line No.: 1 Column: a

The current schedule 10 rates are based on forecasted MwHs and expenditures. Difference between revenue collected and actual costs for the month are included in subsequent month rate calculations.

Schedule Page: 232 Line No.: 3 Column: a

Effective December 31, 2007, MISO adopted the recognition and disclosure requirements of ASC 715, Retirement Benefits. Adjustments to pension liability to reflect funded status are charges to regulatory assets.

Schedule Page: 232 Line No.: 5 Column: a

Schedule 16 rates are based on forecasted MWs and expenditures. Differences between revenue collected and actual costs for the month are included in subsequent month rate calculations.

Schedule Page: 232 Line No.: 7 Column: a

Schedule 17 rates are based on forecasted MWs and expenditures. Differences between revenue collected and actual costs for the month are included in subsequent month rate calculations.

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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LONG-TERM DEBT (Account 221, 222, 223 and 224)

- Report by balance sheet account the particulars (details) concerning long-term debt included in Accounts 221, Bonds, 222, Reacquired Bonds, 223, Advances from Associated Companies, and 224, Other long-Term Debt.
- In column (a), for new issues, give Commission authorization numbers and dates.
- For bonds assumed by the respondent, include in column (a) the name of the issuing company as well as a description of the bonds.
- For advances from Associated Companies, report separately advances on notes and advances on open accounts. Designate demand notes as such. Include in column (a) names of associated companies from which advances were received.
- For receivers, certificates, show in column (a) the name of the court -and date of court order under which such certificates were issued.
- In column (b) show the principal amount of bonds or other long-term debt originally issued.
- In column (c) show the expense, premium or discount with respect to the amount of bonds or other long-term debt originally issued.
- For column (c) the total expenses should be listed first for each issuance, then the amount of premium (in parentheses) or discount. Indicate the premium or discount with a notation, such as (P) or (D). The expenses, premium or discount should not be netted.
- Furnish in a footnote particulars (details) regarding the treatment of unamortized debt expense, premium or discount associated with issues redeemed during the year. Also, give in a footnote the date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.

Line No.	Class and Series of Obligation, Coupon Rate (For new Issue, give commission Authorization numbers and dates) (a)	Principal Amount Of Debt issued (b)	Total expense, Premium or Discount (c)
1			
2			
3	Account 224		
4	3.96% Series Senior Notes due Mar 26, 2033	100,000,000	412,000
5			
6	3.91% Series Senior Notes due Oct 5, 2047	175,000,000	524,713
7			
8			
9			
10			
11			
12			
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32			
33	TOTAL	275,000,000	936,713

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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LONG-TERM DEBT (Account 221, 222, 223 and 224) (Continued)

10. Identify separate undisposed amounts applicable to issues which were redeemed in prior years.
11. Explain any debits and credits other than debited to Account 428, Amortization and Expense, or credited to Account 429, Premium on Debt - Credit.
12. In a footnote, give explanatory (details) for Accounts 223 and 224 of net changes during the year. With respect to long-term advances, show for each company: (a) principal advanced during year, (b) interest added to principal amount, and (c) principle repaid during year. Give Commission authorization numbers and dates.
13. If the respondent has pledged any of its long-term debt securities give particulars (details) in a footnote including name of pledgee and purpose of the pledge.
14. If the respondent has any long-term debt securities which have been nominally issued and are nominally outstanding at end of year, describe such securities in a footnote.
15. If interest expense was incurred during the year on any obligations retired or reacquired before end of year, include such interest expense in column (i). Explain in a footnote any difference between the total of column (i) and the total of Account 427, interest on Long-Term Debt and Account 430, Interest on Debt to Associated Companies.
16. Give particulars (details) concerning any long-term debt authorized by a regulatory commission but not yet issued.

Nominal Date of Issue (d)	Date of Maturity (e)	AMORTIZATION PERIOD		Outstanding (Total amount outstanding without reduction for amounts held by respondent) (h)	Interest for Year Amount (i)	Line No.
		Date From (f)	Date To (g)			
						1
						2
						3
03/26/13	03/26/33	03/26/13	03/26/33	100,000,000	3,960,000	4
						5
10/05/17	10/05/47	10/05/17	10/05/47	175,000,000	6,842,500	6
						7
						8
						9
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				275,000,000	10,802,500	33

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR

1. Give particulars (details) of the combined prepaid and accrued tax accounts and show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the taxed material was charged. If the actual, or estimated amounts of such taxes are known, show the amounts in a footnote and designate whether estimated or actual amounts.
2. Include on this page, taxes paid during the year and charged direct to final accounts, (not charged to prepaid or accrued taxes.) Enter the amounts in both columns (d) and (e). The balancing of this page is not affected by the inclusion of these taxes.
3. Include in column (d) taxes charged during the year, taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. List the aggregate of each kind of tax in such manner that the total tax for each State and subdivision can readily be ascertained.

Line No.	Kind of Tax (See instruction 5) (a)	BALANCE AT BEGINNING OF YEAR		Taxes Charged During Year (d)	Taxes Paid During Year (e)	Adjustments (f)
		Taxes Accrued (Account 236) (b)	Prepaid Taxes (Include in Account 165) (c)			
1	Property Tax	1,064,992		1,282,198	1,283,357	
2						
3	ATEO Excise Tax			823,906	643,149	
4						
5	FICA/Unemployment			9,530,510	9,530,510	
6						
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41	TOTAL	1,064,992		11,636,614	11,457,016	

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR (Continued)

5. If any tax (exclude Federal and State income taxes)- covers more than one year, show the required information separately for each tax year, identifying the year in column (a).
6. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment in a foot- note. Designate debit adjustments by parentheses.
7. Do not include on this page entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.
8. Report in columns (i) through (l) how the taxes were distributed. Report in column (l) only the amounts charged to Accounts 408.1 and 409.1 pertaining to electric operations. Report in column (l) the amounts charged to Accounts 408.1 and 109.1 pertaining to other utility departments and amounts charged to Accounts 408.2 and 409.2. Also shown in column (l) the taxes charged to utility plant or other balance sheet accounts.
9. For any tax apportioned to more than one utility department or account, state in a footnote the basis (necessity) of apportioning such tax.

BALANCE AT END OF YEAR		DISTRIBUTION OF TAXES CHARGED				Line No.
(Taxes accrued Account 236) (g)	Prepaid Taxes (Incl. in Account 165) (h)	Electric (Account 408.1, 409.1) (i)	Extraordinary Items (Account 409.3) (j)	Adjustments to Ret. Earnings (Account 439) (k)	Other (l)	
1,063,833						1
						2
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						40
1,063,833						41

OTHER DEFERRED CREDITS (Account 253)

- Report below the particulars (details) called for concerning other deferred credits.
- For any deferred credit being amortized, show the period of amortization.
- Minor items (5% of the Balance End of Year for Account 253 or amounts less than \$100,000, whichever is greater) may be grouped by classes.

Line No.	Description and Other Deferred Credits (a)	Balance at Beginning of Year (b)	DEBITS		Credits (e)	Balance at End of Year (f)
			Contra Account (c)	Amount (d)		
1	Deferred rent	1,078,290			2,135	1,080,425
2						
3	Deferred settlement revenue	3,460,700				3,460,700
4						
5	Deferred grant revenue	32,703	457.2	32,703		
6						
7						
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47	TOTAL	4,571,693		32,703	2,135	4,541,125

Name of Respondent Midcontinent Independent System Operator, Inc	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
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OTHER REGULATORY LIABILITIES (Account 254)

1. Report below the particulars (details) called for concerning other regulatory liabilities, including rate order docket number, if applicable.
2. Minor items (5% of the Balance in Account 254 at end of period, or amounts less than \$100,000 which ever is less), may be grouped by classes.
3. For Regulatory Liabilities being amortized, show period of amortization.

Line No.	Description and Purpose of Other Regulatory Liabilities (a)	Balance at Beginning of Current Quarter/Year (b)	DEBITS		Credits (e)	Balance at End of Current Quarter/Year (f)
			Account Credited (c)	Amount (d)		
1	Deferred settlement revenue	19,281,903		3,460,700		15,821,203
2						
3	Schedule 16 Deferred Current Costs				109,224	109,224
4						
5	Schedule 17 Deferred Current Costs				1,354,353	1,354,353
6						
7	Current Transmission Costs	1,756,900		1,756,900		
8						
9	Unrealized Gain Investments	109,796		85,824		23,972
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41	TOTAL	21,148,599		5,303,424	1,463,577	17,308,752

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
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FOOTNOTE DATA

Schedule Page: 278 Line No.: 1 Column: a

In 2009, FERC approved, subject to certain conditions, American Transmission Systems, Incorporated's (ATSI) request to withdrawl from MISO and join PJM Interconnection, LLC, effective June 1, 2011. As part of those conditions, American Transmission Systems, Incorporated and MISO filed an exit fee agreement and, upon withdrawl, ATSI paid an exit fee of \$38,116,224. American Transmission Systems, Incorporated is eligible to receive credits for service up to the amount of the exit fee paid through May 31, 2026.

In 2010, FERC approved, subject to certain conditions, Duke Energy Ohio, Incorporated's and Duke Energy Kentucky's request to withdrawl from MISO and join PJM Interconnection, LLC. On October 5, 2011, Duke Energy Ohio, Incorporated, Duke Energy Kentucky, and MISO filed an exit fee agreement. On the filing date, MISO provided Duke Energy Ohio, the applicable exit fee of \$13,794,000 which Duke Energy paid in January 2012. Duke Energy Ohio, Incorporated and Duke Energy Kentucky is eligible to receive credits for service up to the amount of the exit fee paid through May 31, 2026.

Schedule Page: 278 Line No.: 3 Column: a

Schedule 16 rates are based on forecasted MWhs and expenditures. Differences between revenue collected and actual costs for the month are included in subsequent month rate calculations.

Schedule Page: 278 Line No.: 5 Column: a

Schedule 17 rates are based on forecasted MWhs and expenditures. Differences between revenue collected and actual costs for the month are included in subsequent month rate calculations.

Name of Respondent Midcontinent Independent System Operator, Inc		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
ELECTRIC OPERATING REVENUES (Account 400)					
<p>1. The following instructions generally apply to the annual version of these pages. Do not report quarterly data in columns (c), (e), (f), and (g). Unbilled revenues and MWH related to unbilled revenues need not be reported separately as required in the annual version of these pages.</p> <p>2. Report below operating revenues for each prescribed account, and manufactured gas revenues in total.</p> <p>3. Report number of customers, columns (f) and (g), on the basis of meters, in addition to the number of flat rate accounts; except that where separate meter readings are added for billing purposes, one customer should be counted for each group of meters added. The -average number of customers means the average of twelve figures at the close of each month.</p> <p>4. If increases or decreases from previous period (columns (c),(e), and (g)), are not derived from previously reported figures, explain any inconsistencies in a footnote.</p> <p>5. Disclose amounts of \$250,000 or greater in a footnote for accounts 451, 456, and 457.2.</p>					
Line No.	Title of Account (a)	Operating Revenues Year to Date Quarterly/Annual (b)	Operating Revenues Previous year (no Quarterly) (c)		
1	Sales of Electricity				
2	(440) Residential Sales				
3	(442) Commercial and Industrial Sales				
4	Small (or Comm.) (See Instr. 4)				
5	Large (or Ind.) (See Instr. 4)				
6	(444) Public Street and Highway Lighting				
7	(445) Other Sales to Public Authorities				
8	(446) Sales to Railroads and Railways				
9	(448) Interdepartmental Sales				
10	TOTAL Sales to Ultimate Consumers				
11	(447) Sales for Resale				
12	TOTAL Sales of Electricity				
13	(Less) (449.1) Provision for Rate Refunds				
14	TOTAL Revenues Net of Prov. for Refunds				
15	Other Operating Revenues				
16	(450) Forfeited Discounts				
17	(451) Miscellaneous Service Revenues				
18	(453) Sales of Water and Water Power				
19	(454) Rent from Electric Property				
20	(455) Interdepartmental Rents				
21	(456) Other Electric Revenues				
22	(456.1) Revenues from Transmission of Electricity of Others				
23	(457.1) Regional Control Service Revenues	317,238,546	303,927,269		
24	(457.2) Miscellaneous Revenues	68,563,947	71,519,728		
25					
26	TOTAL Other Operating Revenues	385,802,493	375,446,997		
27	TOTAL Electric Operating Revenues	385,802,493	375,446,997		

ELECTRIC OPERATING REVENUES (Account 400)

6. Commercial and Industrial Sales, Account 442, may be classified according to the basis of classification (Small or Commercial, and Large or Industrial) regularly used by the respondent if such basis of classification is not generally greater than 1000 Kw of demand. (See Account 442 of the Uniform System of Accounts. Explain basis of classification in a footnote.)

7. See pages 108-109, Important Changes During Period, for important new territory added and important rate increase or decreases.

8. For Lines 2,4,5, and 6, see Page 304 for amounts relating to unbilled revenue by accounts.

9. Include unmetered sales. Provide details of such Sales in a footnote.

MEGAWATT HOURS SOLD		AVG.NO. CUSTOMERS PER MONTH		Line No.
Year to Date Quarterly/Annual (d)	Amount Previous year (no Quarterly) (e)	Current Year (no Quarterly) (f)	Previous Year (no Quarterly) (g)	
				1
				2
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Line 12, column (b) includes \$ 0 of unbilled revenues.

Line 12, column (d) includes 0 MWH relating to unbilled revenues

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report 2020/Q4
Midcontinent Independent System Operator, Inc			
FOOTNOTE DATA			

Schedule Page: 300 Line No.: 24 Column: b

Total of \$68,563,947 includes \$53,047,829 from FERC Assessment Fee, \$13,363,300 from Engineering Studies, \$188,407 from Grants and \$262,661 from other Tariff Services. The remaining \$1,701,750 is made up of other misc. income.

Schedule Page: 300 Line No.: 24 Column: c

Total of \$71,519,728 includes \$53,986,480 from FERC Assessment Fee, \$16,166,273 from Engineering Studies, \$231,362 from Grants and \$116,562 from other Tariff Services. The remaining \$1,019,051 is made up of other misc. income.

REGIONAL TRANSMISSION SERVICE REVENUES (Account 457.1)

1. The respondent shall report below the revenue collected for each service (i.e., control area administration, market administration, etc.) performed pursuant to a Commission approved tariff. All amounts separately billed must be detailed below.

Line No.	Description of Service (a)	Balance at End of Quarter 1 (b)	Balance at End of Quarter 2 (c)	Balance at End of Quarter 3 (d)	Balance at End of Year (e)
1	Schedule 10	33,155,080	73,446,150	109,216,145	148,150,815
2					
3	Schedule 16	3,965,660	8,239,197	12,825,844	17,136,411
4					
5	Schedule 17	36,349,368	77,711,890	113,421,096	151,951,320
6					
7					
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46	TOTAL	73,470,108	159,397,237	235,463,085	317,238,546

Midcontinent Independent System Operator, Inc		This report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/16/2021	Year/Period of Report End of 2020/Q4
ELECTRIC OPERATION AND MAINTENANCE EXPENSES				
If the amount for previous year is not derived from previously reported figures, explain in footnote.				
Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)	
1	1. POWER PRODUCTION EXPENSES			
2	A. Steam Power Generation			
3	Operation			
4	(500) Operation Supervision and Engineering			
5	(501) Fuel			
6	(502) Steam Expenses			
7	(503) Steam from Other Sources			
8	(Less) (504) Steam Transferred-Cr.			
9	(505) Electric Expenses			
10	(506) Miscellaneous Steam Power Expenses			
11	(507) Rents			
12	(509) Allowances			
13	TOTAL Operation (Enter Total of Lines 4 thru 12)			
14	Maintenance			
15	(510) Maintenance Supervision and Engineering			
16	(511) Maintenance of Structures			
17	(512) Maintenance of Boiler Plant			
18	(513) Maintenance of Electric Plant			
19	(514) Maintenance of Miscellaneous Steam Plant			
20	TOTAL Maintenance (Enter Total of Lines 15 thru 19)			
21	TOTAL Power Production Expenses-Steam Power (Entr Tot lines 13 & 20)			
22	B. Nuclear Power Generation			
23	Operation			
24	(517) Operation Supervision and Engineering			
25	(518) Fuel			
26	(519) Coolants and Water			
27	(520) Steam Expenses			
28	(521) Steam from Other Sources			
29	(Less) (522) Steam Transferred-Cr.			
30	(523) Electric Expenses			
31	(524) Miscellaneous Nuclear Power Expenses			
32	(525) Rents			
33	TOTAL Operation (Enter Total of lines 24 thru 32)			
34	Maintenance			
35	(528) Maintenance Supervision and Engineering			
36	(529) Maintenance of Structures			
37	(530) Maintenance of Reactor Plant Equipment			
38	(531) Maintenance of Electric Plant			
39	(532) Maintenance of Miscellaneous Nuclear Plant			
40	TOTAL Maintenance (Enter Total of lines 35 thru 39)			
41	TOTAL Power Production Expenses-Nuc. Power (Entr tot lines 33 & 40)			
42	C. Hydraulic Power Generation			
43	Operation			
44	(535) Operation Supervision and Engineering			
45	(536) Water for Power			
46	(537) Hydraulic Expenses			
47	(538) Electric Expenses			
48	(539) Miscellaneous Hydraulic Power Generation Expenses			
49	(540) Rents			
50	TOTAL Operation (Enter Total of Lines 44 thru 49)			
51	C. Hydraulic Power Generation (Continued)			
52	Maintenance			
53	(541) Maintenance Supervision and Engineering			
54	(542) Maintenance of Structures			
55	(543) Maintenance of Reservoirs, Dams, and Waterways			
56	(544) Maintenance of Electric Plant			
57	(545) Maintenance of Miscellaneous Hydraulic Plant			
58	TOTAL Maintenance (Enter Total of lines 53 thru 57)			
59	TOTAL Power Production Expenses-Hydraulic Power (tot of lines 50 & 58)			

Name of Respondent Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	(Mo, Da, Yr) 04/16/2021	End of 2020/Q4
ELECTRIC OPERATION AND MAINTENANCE EXPENSES (Continued)				
If the amount for previous year is not derived from previously reported figures, explain in footnote.				
Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)	
60	D. Other Power Generation			
61	Operation			
62	(546) Operation Supervision and Engineering			
63	(547) Fuel			
64	(548) Generation Expenses			
65	(549) Miscellaneous Other Power Generation Expenses			
66	(550) Rents			
67	TOTAL Operation (Enter Total of lines 62 thru 66)			
68	Maintenance			
69	(551) Maintenance Supervision and Engineering			
70	(552) Maintenance of Structures			
71	(553) Maintenance of Generating and Electric Plant			
72	(554) Maintenance of Miscellaneous Other Power Generation Plant			
73	TOTAL Maintenance (Enter Total of lines 69 thru 72)			
74	TOTAL Power Production Expenses-Other Power (Enter Tot of 67 & 73)			
75	E. Other Power Supply Expenses			
76	(555) Purchased Power			
77	(556) System Control and Load Dispatching			
78	(557) Other Expenses			
79	TOTAL Other Power Supply Exp (Enter Total of lines 76 thru 78)			
80	TOTAL Power Production Expenses (Total of lines 21, 41, 59, 74 & 79)			
81	2. TRANSMISSION EXPENSES			
82	Operation			
83	(560) Operation Supervision and Engineering	1,595,744	1,540,053	
84				
85	(561.1) Load Dispatch-Reliability	17,108,887	18,200,852	
86	(561.2) Load Dispatch-Monitor and Operate Transmission System	40,159,484	36,724,733	
87	(561.3) Load Dispatch-Transmission Service and Scheduling	5,719,020	4,301,456	
88	(561.4) Scheduling, System Control and Dispatch Services			
89	(561.5) Reliability, Planning and Standards Development	7,437,300	7,409,224	
90	(561.6) Transmission Service Studies	14,749,881	17,463,817	
91	(561.7) Generation Interconnection Studies	2,423,547	2,642,778	
92	(561.8) Reliability, Planning and Standards Development Services			
93	(562) Station Expenses			
94	(563) Overhead Lines Expenses			
95	(564) Underground Lines Expenses			
96	(565) Transmission of Electricity by Others			
97	(566) Miscellaneous Transmission Expenses			
98	(567) Rents			
99	TOTAL Operation (Enter Total of lines 83 thru 98)	89,193,863	88,282,913	
100	Maintenance			
101	(568) Maintenance Supervision and Engineering			
102	(569) Maintenance of Structures			
103	(569.1) Maintenance of Computer Hardware	2,761,345	3,166,607	
104	(569.2) Maintenance of Computer Software	13,246,338	10,885,956	
105	(569.3) Maintenance of Communication Equipment			
106	(569.4) Maintenance of Miscellaneous Regional Transmission Plant			
107	(570) Maintenance of Station Equipment			
108	(571) Maintenance of Overhead Lines			
109	(572) Maintenance of Underground Lines			
110	(573) Maintenance of Miscellaneous Transmission Plant			
111	TOTAL Maintenance (Total of lines 101 thru 110)	16,007,683	14,052,563	
112	TOTAL Transmission Expenses (Total of lines 99 and 111)	105,201,546	102,335,476	

Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original	(Mo, Da, Yr)	End of	2020/Q4
		(2) <input type="checkbox"/> A Resubmission	04/16/2021		
ELECTRIC OPERATION AND MAINTENANCE EXPENSES (Continued)					
If the amount for previous year is not derived from previously reported figures, explain in footnote.					
Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)		
113	3. REGIONAL MARKET EXPENSES				
114	Operation				
115	(575.1) Operation Supervision	1,576,692	998,528		
116	(575.2) Day-Ahead and Real-Time Market Facilitation	50,861,687	53,214,055		
117	(575.3) Transmission Rights Market Facilitation	9,635,848	6,723,134		
118	(575.4) Capacity Market Facilitation	361,099	595,280		
119	(575.5) Ancillary Services Market Facilitation	4,419,003	3,779,070		
120	(575.6) Market Monitoring and Compliance	22,349,472	22,797,700		
121	(575.7) Market Facilitation, Monitoring and Compliance Services				
122	(575.8) Rents				
123	Total Operation (Lines 115 thru 122)	89,203,801	88,107,767		
124	Maintenance				
125	(576.1) Maintenance of Structures and Improvements				
126	(576.2) Maintenance of Computer Hardware	2,751,400	3,328,189		
127	(576.3) Maintenance of Computer Software	11,981,032	10,789,995		
128	(576.4) Maintenance of Communication Equipment				
129	(576.5) Maintenance of Miscellaneous Market Operation Plant				
130	Total Maintenance (Lines 125 thru 129)	14,732,432	14,118,184		
131	TOTAL Regional Transmission and Market Op Exps (Total 123 and 130)	103,936,233	102,225,951		
132	4. DISTRIBUTION EXPENSES				
133	Operation				
134	(580) Operation Supervision and Engineering				
135	(581) Load Dispatching				
136	(582) Station Expenses				
137	(583) Overhead Line Expenses				
138	(584) Underground Line Expenses				
139	(585) Street Lighting and Signal System Expenses				
140	(586) Meter Expenses				
141	(587) Customer Installations Expenses				
142	(588) Miscellaneous Expenses				
143	(589) Rents				
144	TOTAL Operation (Enter Total of lines 134 thru 143)				
145	Maintenance				
146	(590) Maintenance Supervision and Engineering				
147	(591) Maintenance of Structures				
148	(592) Maintenance of Station Equipment				
149	(593) Maintenance of Overhead Lines				
150	(594) Maintenance of Underground Lines				
151	(595) Maintenance of Line Transformers				
152	(596) Maintenance of Street Lighting and Signal Systems				
153	(597) Maintenance of Meters				
154	(598) Maintenance of Miscellaneous Distribution Plant				
155	TOTAL Maintenance (Total of lines 146 thru 154)				
156	TOTAL Distribution Expenses (Total of lines 144 and 155)				
157	5. CUSTOMER ACCOUNTS EXPENSES				
158	Operation				
159	(901) Supervision				
160	(902) Meter Reading Expenses				
161	(903) Customer Records and Collection Expenses				
162	(904) Uncollectible Accounts				
163	(905) Miscellaneous Customer Accounts Expenses				
164	TOTAL Customer Accounts Expenses (Total of lines 159 thru 163)				

Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original	(1)(b), (1)(c), (1)(f)	End of
		(2) <input type="checkbox"/> A Resubmission	04/16/2021	2020/Q4
ELECTRIC OPERATION AND MAINTENANCE EXPENSES (Continued)				
If the amount for previous year is not derived from previously reported figures, explain in footnote.				
Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)	
165	6. CUSTOMER SERVICE AND INFORMATIONAL EXPENSES			
166	Operation			
167	(907) Supervision			
168	(908) Customer Assistance Expenses	1,282,414	1,227,036	
169	(909) Informational and Instructional Expenses			
170	(910) Miscellaneous Customer Service and Informational Expenses			
171	TOTAL Customer Service and Information Expenses (Total 167 thru 170)	1,282,414	1,227,036	
172	7. SALES EXPENSES			
173	Operation			
174	(911) Supervision			
175	(912) Demonstrating and Selling Expenses			
176	(913) Advertising Expenses			
177	(916) Miscellaneous Sales Expenses			
178	TOTAL Sales Expenses (Enter Total of lines 174 thru 177)			
179	8. ADMINISTRATIVE AND GENERAL EXPENSES			
180	Operation			
181	(920) Administrative and General Salaries	33,166,304	33,519,981	
182	(921) Office Supplies and Expenses	3,147,041	5,095,435	
183	(Less) (922) Administrative Expenses Transferred-Credit			
184	(923) Outside Services Employed	7,221,066	11,228,862	
185	(924) Property Insurance	747,283	558,156	
186	(925) Injuries and Damages			
187	(926) Employee Pensions and Benefits	6,800,586	7,157,412	
188	(927) Franchise Requirements			
189	(928) Regulatory Commission Expenses	53,047,829	53,986,480	
190	(929) (Less) Duplicate Charges-Cr.			
191	(930.1) General Advertising Expenses	4,415	4,910	
192	(930.2) Miscellaneous General Expenses	3,157,597	3,137,335	
193	(931) Rents	3,306,939	3,349,045	
194	TOTAL Operation (Enter Total of lines 181 thru 193)	110,599,060	118,037,616	
195	Maintenance			
196	(935) Maintenance of General Plant	6,686,815	7,024,254	
197	TOTAL Administrative & General Expenses (Total of lines 194 and 196)	117,285,875	125,061,870	
198	TOTAL Elec Op and Maint Expns (Total 80,112,131,156,164,171,178,197)	327,706,068	330,850,333	

TRANSMISSION OF ELECTRICITY BY ISO/RTOs

- Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RT0.
- Use a separate line of data for each distinct type of transmission service involving the entities listed in Column (a).
- In Column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO – Firm Network Service for Others, FNS – Firm Network Transmission Service for Self, LFP – Long-Term Firm Point-to-Point Transmission Service, OLF – Other Long-Term Firm Transmission Service, SFP – Short-Term Firm Point-to-Point Transmission Reservation, NF – Non-Firm Transmission Service, OS – Other Transmission Service and AD- Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.
- In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided.
- In column (d) report the revenue amounts as shown on bills or vouchers.
- Report in column (e) the total revenues distributed to the entity listed in column (a).

Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	AMEREN ILLINOIS - Pricing Zone 3A	AD	7	(281,571)	
2	AMEREN ILLINOIS - Pricing Zone 3A	AD	8	14,766	
3	AMEREN ILLINOIS - Pricing Zone 3A	AD	9	2,771,502	
4	AMEREN ILLINOIS - Pricing Zone 3A	FNO	9	201,467,248	
5	AMEREN ILLINOIS - Pricing Zone 3A	LFP	7	12,354,339	
6	AMEREN ILLINOIS - Pricing Zone 3A	NF	8	444,576	
7	AMEREN ILLINOIS - Pricing Zone 3A	SFP	7	642,404	
8	AMEREN ILLINOIS - Pricing Zone 3A				217,413,264
9	AMEREN MISSOURI - Pricing Zone 3B	AD	7	(159,834)	
10	AMEREN MISSOURI - Pricing Zone 3B	AD	8	(5,492)	
11	AMEREN MISSOURI - Pricing Zone 3B	AD	9	523,691	
12	AMEREN MISSOURI - Pricing Zone 3B	FNO	9	8,529,414	
13	AMEREN MISSOURI - Pricing Zone 3B	LFP	7	5,551,099	
14	AMEREN MISSOURI - Pricing Zone 3B	NF	8	472,263	
15	AMEREN MISSOURI - Pricing Zone 3B	SFP	7	139,333	
16	AMEREN MISSOURI - Pricing Zone 3B				15,050,474
17	ATC System - Pricing Zone 2 A through E	AD	7	(206,124)	
18	ATC System - Pricing Zone 2 A through E	AD	8	(33,808)	
19	ATC System - Pricing Zone 2 A through E	FNO	9	574,329,572	
20	ATC System - Pricing Zone 2 A through E	LFP	7	6,837,915	
21	ATC System - Pricing Zone 2 A through E	NF	8	1,278,585	
22	ATC System - Pricing Zone 2 A through E	SFP	7	259,913	
23	ATC System - Pricing Zone 2 A through E				582,466,053
24	Big Rivers Electric - Pricing Zone 27	AD	7	(75,791)	
25	Big Rivers Electric - Pricing Zone 27	AD	8	(1,851)	
26	Big Rivers Electric - Pricing Zone 27	AD	9	(13,271)	
27	Big Rivers Electric - Pricing Zone 27	FNO	9	17,075,325	
28	Big Rivers Electric - Pricing Zone 27	LFP	7	3,676,434	
29	Big Rivers Electric - Pricing Zone 27	NF	8	42,426	
30	Big Rivers Electric - Pricing Zone 27	SFP	7	636,620	
31	Big Rivers Electric - Pricing Zone 27				21,339,892
32	Cinergy Services - Pricing Zone 5	AD	7	(109,585)	
33	Cinergy Services - Pricing Zone 5	AD	8	(10,289)	
34	Cinergy Services - Pricing Zone 5	AD	9	(2,071,082)	
35	Cinergy Services - Pricing Zone 5	FNO	9	23,672,505	
36	Cinergy Services - Pricing Zone 5	LFP	7	5,105,988	
37	Cinergy Services - Pricing Zone 5	NF	8	247,451	
38	Cinergy Services - Pricing Zone 5	SFP	7	350,173	
39	Cinergy Services - Pricing Zone 5				27,185,161
40	TOTAL			2,137,323,697	2,137,323,697

Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	(Mo, Da, Yr) 04/16/2021	End of 2020/Q4	
TRANSMISSION OF ELECTRICITY BY ISO/RTOs					
<p>1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO.</p> <p>2. Use a separate line of data for each distinct type of transmission service involving the entities listed in Column (a).</p> <p>3. In Column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO – Firm Network Service for Others, FNS – Firm Network Transmission Service for Self, LFP – Long-Term Firm Point-to-Point Transmission Service, OLF – Other Long-Term Firm Transmission Service, SFP – Short-Term Firm Point-to-Point Transmission Reservation, NF – Non-Firm Transmission Service, OS – Other Transmission Service and AD- Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.</p> <p>4. In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided.</p> <p>5. In column (d) report the revenue amounts as shown on bills or vouchers.</p> <p>6. Report in column (e) the total revenues distributed to the entity listed in column (a).</p>					
Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	City of Columbia - Pricing Zone 6	AD	7	(1,404)	
2	City of Columbia - Pricing Zone 6	AD	8	(1,917)	
3	City of Columbia - Pricing Zone 6	AD	9	(2,140)	
4	City of Columbia - Pricing Zone 6	FNO	9	407,635	
5	City of Columbia - Pricing Zone 6	LFP	7	41,428	
6	City of Columbia - Pricing Zone 6	NF	8	34,722	
7	City of Columbia - Pricing Zone 6	SFP	7	2,011	
8	City of Columbia - Pricing Zone 6				480,335
9	City Water Light & Power-Pricing Zone 7	AD	7	(2,926)	
10	City Water Light & Power-Pricing Zone 7	AD	8	(304)	
11	City Water Light & Power-Pricing Zone 7	AD	9	(869)	
12	City Water Light & Power-Pricing Zone 7	FNO	9	180,968	
13	City Water Light & Power-Pricing Zone 7	LFP	7	82,844	
14	City Water Light & Power-Pricing Zone 7	NF	8	5,799	
15	City Water Light & Power-Pricing Zone 7	SFP	7	3,590	
16	City Water Light & Power-Pricing Zone 7				269,102
17	Cleco Power - Pricing Zone 32	AD	7	(37,634)	
18	Cleco Power - Pricing Zone 32	AD	8	(2,189)	
19	Cleco Power - Pricing Zone 32	AD	9	(363,523)	
20	Cleco Power - Pricing Zone 32	FNO	9	11,620,529	
21	Cleco Power - Pricing Zone 32	LFP	7	1,256,071	
22	Cleco Power - Pricing Zone 32	NF	8	71,684	
23	Cleco Power - Pricing Zone 32	SFP	7	30,897	
24	Cleco Power - Pricing Zone 32				12,575,835
25	Cooperative Energy - Pricing Zone 33	AD	7	(14,745)	
26	Cooperative Energy - Pricing Zone 33	AD	8	(1,194)	
27	Cooperative Energy - Pricing Zone 33	AD	9	(1,156)	
28	Cooperative Energy - Pricing Zone 33	FNO	9	303,604	
29	Cooperative Energy - Pricing Zone 33	LFP	7	662,965	
30	Cooperative Energy - Pricing Zone 33	NF	8	31,939	
31	Cooperative Energy - Pricing Zone 33	SFP	7	14,916	
32	Cooperative Energy - Pricing Zone 33				996,329
33	Dairyland Power Coop - Pricing Zone 26	AD	7	(23,024)	
34	Dairyland Power Coop - Pricing Zone 26	AD	8	(2,295)	
35	Dairyland Power Coop - Pricing Zone 26	AD	9	175,493	
36	Dairyland Power Coop - Pricing Zone 26	FNO	9	16,038,190	
37	Dairyland Power Coop - Pricing Zone 26	LFP	7	789,712	
38	Dairyland Power Coop - Pricing Zone 26	NF	8	51,655	
39	Dairyland Power Coop - Pricing Zone 26	SFP	7	30,829	
40	TOTAL			2,137,323,697	2,137,323,697

TRANSMISSION OF ELECTRICITY BY ISO/RTOs

1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO.
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4. In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided.
5. In column (d) report the revenue amounts as shown on bills or vouchers.
6. Report in column (e) the total revenues distributed to the entity listed in column (a).

Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	Dairyland Power Coop - Pricing Zone 26				17,060,560
2	Entergy Arkansas - Pricing Zone 28	AD	7	(297,814)	
3	Entergy Arkansas - Pricing Zone 28	AD	8	(10,865)	
4	Entergy Arkansas - Pricing Zone 28	AD	9	(2,053,538)	
5	Entergy Arkansas - Pricing Zone 28	FNO	9	91,923,299	
6	Entergy Arkansas - Pricing Zone 28	LFP	7	10,304,398	
7	Entergy Arkansas - Pricing Zone 28	NF	8	360,756	
8	Entergy Arkansas - Pricing Zone 28	SFP	7	165,797	
9	Entergy Arkansas - Pricing Zone 28				100,392,033
10	Entergy Louisiana - Pricing Zone 29	AD	7	(184,040)	
11	Entergy Louisiana - Pricing Zone 29	AD	8	(12,926)	
12	Entergy Louisiana - Pricing Zone 29	AD	9	(682,584)	
13	Entergy Louisiana - Pricing Zone 29	FNO	9	68,958,169	
14	Entergy Louisiana - Pricing Zone 29	LFP	7	7,307,464	
15	Entergy Louisiana - Pricing Zone 29	NF	8	471,123	
16	Entergy Louisiana - Pricing Zone 29	SFP	7	209,592	
17	Entergy Louisiana - Pricing Zone 29				76,066,798
18	Entergy Mississippi - Pricing Zone 30	AD	7	(85,712)	
19	Entergy Mississippi - Pricing Zone 30	AD	8	(5,300)	
20	Entergy Mississippi - Pricing Zone 30	AD	9	438,181	
21	Entergy Mississippi - Pricing Zone 30	FNO	9	33,149,743	
22	Entergy Mississippi - Pricing Zone 30	LFP	7	3,937,369	
23	Entergy Mississippi - Pricing Zone 30	NF	8	187,412	
24	Entergy Mississippi - Pricing Zone 30	SFP	7	73,881	
25	Entergy Mississippi - Pricing Zone 30				37,695,574
26	Entergy New Orleans - Pricing Zone 35	AD	7	(1,946)	
27	Entergy New Orleans - Pricing Zone 35	AD	8	(135)	
28	Entergy New Orleans - Pricing Zone 35	AD	9	(8,930)	
29	Entergy New Orleans - Pricing Zone 35	FNO	9	129,841	
30	Entergy New Orleans - Pricing Zone 35	LFP	7	184,816	
31	Entergy New Orleans - Pricing Zone 35	NF	8	22,982	
32	Entergy New Orleans - Pricing Zone 35	SFP	7	32,080	
33	Entergy New Orleans - Pricing Zone 35				358,708
34	Entergy Texas - Pricing Zone 31	AD	7	(53,708)	
35	Entergy Texas - Pricing Zone 31	AD	8	(4,494)	
36	Entergy Texas - Pricing Zone 31	AD	9	149,445	
37	Entergy Texas - Pricing Zone 31	FNO	9	23,172,427	
38	Entergy Texas - Pricing Zone 31	LFP	7	2,487,823	
39	Entergy Texas - Pricing Zone 31	NF	8	162,355	
40	TOTAL			2,137,323,697	2,137,323,697

TRANSMISSION OF ELECTRICITY BY ISO/RTOs

1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO.
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6. Report in column (e) the total revenues distributed to the entity listed in column (a).

Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	Entergy Texas - Pricing Zone 31	SFP	7	80,493	
2	Entergy Texas - Pricing Zone 31				25,994,341
3	Great River Energy - Pricing Zone 8	AD	7	(22,647)	
4	Great River Energy - Pricing Zone 8	AD	8	(2,600)	
5	Great River Energy - Pricing Zone 8	AD	9	78,680	
6	Great River Energy - Pricing Zone 8	FNO	9	2,904,795	
7	Great River Energy - Pricing Zone 8	LFP	7	652,589	
8	Great River Energy - Pricing Zone 8	NF	8	44,873	
9	Great River Energy - Pricing Zone 8	SFP	7	26,434	
10	Great River Energy - Pricing Zone 8				3,682,124
11	Hoosier Energy - Pricing Zone 9	AD	7	(18,447)	
12	Hoosier Energy - Pricing Zone 9	AD	8	(1,133)	
13	Hoosier Energy - Pricing Zone 9	AD	9	(12,104)	
14	Hoosier Energy - Pricing Zone 9	FNO	9	4,056,425	
15	Hoosier Energy - Pricing Zone 9	LFP	7	1,109,016	
16	Hoosier Energy - Pricing Zone 9	NF	8	22,288	
17	Hoosier Energy - Pricing Zone 9	SFP	7	159,304	
18	Hoosier Energy - Pricing Zone 9				5,315,349
19	IPL - Pricing Zone 11	AD	7	(21,748)	
20	IPL - Pricing Zone 11	AD	8	(2,483)	
21	IPL - Pricing Zone 11	AD	9	(8,069)	
22	IPL - Pricing Zone 11	FNO	9	407,934	
23	IPL - Pricing Zone 11	LFP	7	853,195	
24	IPL - Pricing Zone 11	NF	8	40,975	
25	IPL - Pricing Zone 11	SFP	7	77,301	
26	IPL - Pricing Zone 11				1,347,105
27	ITC - Pricing Zone 10	AD	7	(82,364)	
28	ITC - Pricing Zone 10	AD	8	(15,420)	
29	ITC - Pricing Zone 10	AD	9	(2,000,048)	
30	ITC - Pricing Zone 10	FNO	9	256,940,986	
31	ITC - Pricing Zone 10	LFP	7	2,826,067	
32	ITC - Pricing Zone 10	NF	8	262,193	
33	ITC - Pricing Zone 10	SFP	7	178,824	
34	ITC - Pricing Zone 10				258,110,238
35	ITC Midwest LLC - Pricing Zone 1	AD	7	(134,697)	
36	ITC Midwest LLC - Pricing Zone 1	AD	8	(13,156)	
37	ITC Midwest LLC - Pricing Zone 1	AD	9	(15,043,763)	
38	ITC Midwest LLC - Pricing Zone 1	FNO	9	299,357,566	
39	ITC Midwest LLC - Pricing Zone 1	LFP	7	5,219,436	
40	TOTAL			2,137,323,697	2,137,323,697

TRANSMISSION OF ELECTRICITY BY ISO/RTOS

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6. Report in column (e) the total revenues distributed to the entity listed in column (a).

Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	ITC Midwest LLC - Pricing Zone 1	NF	8	413,750	
2	ITC Midwest LLC - Pricing Zone 1	SFP	7	158,264	
3	ITC Midwest LLC - Pricing Zone 1				289,957,400
4	Lafayette City - Pricing Zone 34	AD	7	(3,344)	
5	Lafayette City - Pricing Zone 34	AD	8	(238)	
6	Lafayette City - Pricing Zone 34	LFP	7	127,085	
7	Lafayette City - Pricing Zone 34	NF	8	5,267	
8	Lafayette City - Pricing Zone 34	SFP	7	3,268	
9	Lafayette City - Pricing Zone 34				132,038
10	MDU - Pricing Zone 15	AD	7	(20,617)	
11	MDU - Pricing Zone 15	AD	8	(17,023)	
12	MDU - Pricing Zone 15	AD	9	400,684	
13	MDU - Pricing Zone 15	FNO	9	2,976,520	
14	MDU - Pricing Zone 15	LFP	7	824,192	
15	MDU - Pricing Zone 15	NF	8	27,618	
16	MDU - Pricing Zone 15	SFP	7	15,959	
17	MDU - Pricing Zone 15				4,207,333
18	MHEB	LFP	7	4,815,416	
19	MHEB	NF	8	477,435	
20	MHEB	SFP	7	268,186	
21	MHEB	LFP	7	22,590,401	
22	MHEB	NF	8	3,519,862	
23	MHEB	SFP	7	597,144	
24	MHEB				32,268,444
25	Michigan Joint Zone - Pricing Zone 13	AD	7	(97,079)	
26	Michigan Joint Zone - Pricing Zone 13	AD	8	(106,673)	
27	Michigan Joint Zone - Pricing Zone 13	AD	9	(6,473,023)	
28	Michigan Joint Zone - Pricing Zone 13	FNO	9	302,054,735	
29	Michigan Joint Zone - Pricing Zone 13	LFP	7	3,419,948	
30	Michigan Joint Zone - Pricing Zone 13	NF	8	870,761	
31	Michigan Joint Zone - Pricing Zone 13	SFP	7	492,132	
32	Michigan Joint Zone - Pricing Zone 13				300,160,801
33	MidAmerican Energy - Pricing Zone 24	AD	7	(890,471)	
34	MidAmerican Energy - Pricing Zone 24	AD	8	(14,323)	
35	MidAmerican Energy - Pricing Zone 24	AD	9	(50,851)	
36	MidAmerican Energy - Pricing Zone 24	FNO	9	3,318,678	
37	MidAmerican Energy - Pricing Zone 24	LFP	7	27,121,875	
38	MidAmerican Energy - Pricing Zone 24	NF	8	1,134,025	
39	MidAmerican Energy - Pricing Zone 24	SFP	7	117,189	
40	TOTAL			2,137,323,697	2,137,323,697

Name of Respondent Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	(Mo, Da, Yr) 04/16/2021	End of 2020/Q4	
TRANSMISSION OF ELECTRICITY BY ISO/RTOs					
<p>1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO.</p> <p>2. Use a separate line of data for each distinct type of transmission service involving the entities listed in Column (a).</p> <p>3. In Column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO – Firm Network Service for Others, FNS – Firm Network Transmission Service for Self, LFP – Long-Term Firm Point-to-Point Transmission Service, OLF – Other Long-Term Firm Transmission Service, SFP – Short-Term Firm Point-to-Point Transmission Reservation, NF – Non-Firm Transmission Service, OS – Other Transmission Service and AD- Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.</p> <p>4. In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided.</p> <p>5. In column (d) report the revenue amounts as shown on bills or vouchers.</p> <p>6. Report in column (e) the total revenues distributed to the entity listed in column (a).</p>					
Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	MidAmerican Energy - Pricing Zone 24				30,736,122
2	Minnesota Power - Pricing Zone 14	AD	7	(641,891)	
3	Minnesota Power - Pricing Zone 14	AD	8	(7,821)	
4	Minnesota Power - Pricing Zone 14	AD	9	3,536	
5	Minnesota Power - Pricing Zone 14	FNO	9	1,933,671	
6	Minnesota Power - Pricing Zone 14	LFP	7	23,713,493	
7	Minnesota Power - Pricing Zone 14	NF	8	621,852	
8	Minnesota Power - Pricing Zone 14	SFP	7	34,672	
9	Minnesota Power - Pricing Zone 14				25,657,512
10	Muscatine Power - Pricing Zone 25	AD	7	(751)	
11	Muscatine Power - Pricing Zone 25	AD	8	(51)	
12	Muscatine Power - Pricing Zone 25	LFP	7	19,408	
13	Muscatine Power - Pricing Zone 25	NF	8	1,357	
14	Muscatine Power - Pricing Zone 25	SFP	7	841	
15	Muscatine Power - Pricing Zone 25				20,804
16	NIPS - Pricing Zone 17	AD	7	(38,283)	
17	NIPS - Pricing Zone 17	AD	8	(4,222)	
18	NIPS - Pricing Zone 17	AD	9	(59,139)	
19	NIPS - Pricing Zone 17	FNO	9	2,481,676	
20	NIPS - Pricing Zone 17	LFP	7	1,439,904	
21	NIPS - Pricing Zone 17	NF	8	103,099	
22	NIPS - Pricing Zone 17	SFP	7	61,153	
23	NIPS - Pricing Zone 17				3,984,188
24	Northern States - Pricing Zone 16	AD	7	(234,534)	
25	Northern States - Pricing Zone 16	AD	8	(19,474)	
26	Northern States - Pricing Zone 16	AD	9	(518,426)	
27	Northern States - Pricing Zone 16	FNO	9	29,958,367	
28	Northern States - Pricing Zone 16	LFP	7	7,057,249	
29	Northern States - Pricing Zone 16	NF	8	477,985	
30	Northern States - Pricing Zone 16	SFP	7	202,528	
31	Northern States - Pricing Zone 16				36,923,695
32	Otter Tail - Pricing Zone 18	AD	7	(41,105)	
33	Otter Tail - Pricing Zone 18	AD	8	(7,441)	
34	Otter Tail - Pricing Zone 18	AD	9	(196,779)	
35	Otter Tail - Pricing Zone 18	FNO	9	2,112,225	
36	Otter Tail - Pricing Zone 18	LFP	7	1,067,047	
37	Otter Tail - Pricing Zone 18	NF	8	68,257	
38	Otter Tail - Pricing Zone 18	SFP	7	23,048	
39	Otter Tail - Pricing Zone 18				3,025,252
40	TOTAL			2,137,323,697	2,137,323,697

TRANSMISSION OF ELECTRICITY BY ISO/RTOs

1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in Column (a).
3. In Column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO – Firm Network Service for Others, FNS – Firm Network Transmission Service for Self, LFP – Long-Term Firm Point-to-Point Transmission Service, OLF – Other Long-Term Firm Transmission Service, SFP – Short-Term Firm Point-to-Point Transmission Reservation, NF – Non-Firm Transmission Service, OS – Other Transmission Service and AD- Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.
4. In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided.
5. In column (d) report the revenue amounts as shown on bills or vouchers.
6. Report in column (e) the total revenues distributed to the entity listed in column (a).

Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	SMMPA - Pricing Zone 20	AD	7	(1,915)	
2	SMMPA - Pricing Zone 20	AD	8	(195)	
3	SMMPA - Pricing Zone 20	AD	9	5,424	
4	SMMPA - Pricing Zone 20	FNO	9	498,493	
5	SMMPA - Pricing Zone 20	LFP	7	81,648	
6	SMMPA - Pricing Zone 20	NF	8	5,697	
7	SMMPA - Pricing Zone 20	SFP	7	3,530	
8	SMMPA - Pricing Zone 20				592,662
9	Sthm III Power Coop - Pricing Zone 19	AD	7	(4,653)	
10	Sthm III Power Coop - Pricing Zone 19	AD	8	(418)	
11	Sthm III Power Coop - Pricing Zone 19	AD	9	(685)	
12	Sthm III Power Coop - Pricing Zone 19	FNO	9	279,949	
13	Sthm III Power Coop - Pricing Zone 19	LFP	7	151,914	
14	Sthm III Power Coop - Pricing Zone 19	NF	8	10,649	
15	Sthm III Power Coop - Pricing Zone 19	SFP	7	6,591	
16	Sthm III Power Coop - Pricing Zone 19				443,347
17	Vectren - Pricing Zone 23	AD	7	(29,859)	
18	Vectren - Pricing Zone 23	AD	8	(1,803)	
19	Vectren - Pricing Zone 23	AD	9	(143,690)	
20	Vectren - Pricing Zone 23	FNO	9	3,779,870	
21	Vectren - Pricing Zone 23	LFP	7	1,565,221	
22	Vectren - Pricing Zone 23	NF	8	34,878	
23	Vectren - Pricing Zone 23	SFP	7	210,187	
24	Vectren - Pricing Zone 23				5,414,804
25					
26					
27					
28					
29					
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32					
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39					
40	TOTAL			2,137,323,697	2,137,323,697

MISCELLANEOUS GENERAL EXPENSES (Account 930.2) (ELECTRIC)

Line No.	Description (a)	Amount (b)
1	Industry Association Dues	
2	Nuclear Power Research Expenses	
3	Other Experimental and General Research Expenses	
4	Pub & Dist Info to Stkhldrs...expn servicing outstanding Securities	
5	Oth Expn >=5,000 show purpose, recipient, amount. Group if < \$5,000	
6	Directors & Officer Liability	1,478,681
7	Director Fees & Expenses	1,678,916
8		
9		
10		
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46	TOTAL	3,157,597

DEPRECIATION AND AMORTIZATION OF ELECTRIC PLANT (Account 403, 404, 405)
(Except amortization of acquisition adjustments)

1. Report in section A for the year the amounts for : (b) Depreciation Expense (Account 403); (c) Depreciation Expense for Asset Retirement Costs (Account 403.1); (d) Amortization of Limited-Term Electric Plant (Account 404); and (e) Amortization of Other Electric Plant (Account 405).
2. Report in Section 8 the rates used to compute amortization charges for electric plant (Accounts 404 and 405). State the basis used to compute charges and whether any changes have been made in the basis or rates used from the preceding report year.
3. Report all available information called for in Section C every fifth year beginning with report year 1971, reporting annually only changes to columns (c) through (g) from the complete report of the preceding year.
- Unless composite depreciation accounting for total depreciable plant is followed, list numerically in column (a) each plant subaccount, account or functional classification, as appropriate, to which a rate is applied. Identify at the bottom of Section C the type of plant included in any sub-account used.
- In column (b) report all depreciable plant balances to which rates are applied showing subtotals by functional Classifications and showing composite total. Indicate at the bottom of section C the manner in which column balances are obtained. If average balances, state the method of averaging used.
- For columns (c), (d), and (e) report available information for each plant subaccount, account or functional classification Listed in column (a). If plant mortality studies are prepared to assist in estimating average service lives, show in column (f) the type mortality curve selected as most appropriate for the account and in column (g), if available, the weighted average remaining life of surviving plant. If composite depreciation accounting is used, report available information called for in columns (b) through (g) on this basis.
4. If provisions for depreciation were made during the year in addition to depreciation provided by application of reported rates, state at the bottom of section C the amounts and nature of the provisions and the plant items to which related.

A. Summary of Depreciation and Amortization Charges

Line No.	Functional Classification (a)	Depreciation Expense (Account 403) (b)	Depreciation Expense for Asset Retirement Costs (Account 403.1) (c)	Amortization of Limited Term Electric Plant (Account 404) (d)	Amortization of Other Electric Plant (Acc 405) (e)	Total (f)
1	Intangible Plant					
2	Steam Production Plant					
3	Nuclear Production Plant					
4	Hydraulic Production Plant-Conventional					
5	Hydraulic Production Plant-Pumped Storage					
6	Other Production Plant					
7	Transmission Plant	12,040,201			-30,568	12,009,633
8	Distribution Plant					
9	Regional Transmission and Market Operation	22,547,762				22,547,762
10	General Plant					
11	Common Plant-Electric					
12	TOTAL	34,587,963			-30,568	34,557,395

B. Basis for Amortization Charges

DEPRECIATION AND AMORTIZATION OF ELECTRIC PLANT (Continued)

C. Factors Used in Estimating Depreciation Charges

Line No.	Account No. (a)	Depreciable Plant Base (In Thousands) (b)	Estimated Avg. Service Life (c)	Net Salvage (Percent) (d)	Applied Depr. rates (Percent) (e)	Mortality Curve Type (f)	Average Remaining Life (g)
12	RTO Plant						
13	#382	17,246	5.00		20.00		2.00
14	Customer Owned (382)	14,363	5.00				0.50
15	#383	314,283	5.00		20.00		2.50
16	#384		7.00		15.00		
17							
18	General Plant						
19	#389	4,383					3.00
20							
21	Leasehold Imprv (390)	31,689	4.00		25.00		2.00
22							
23	Building (390)	76,734	20.00		5.00		10.00
24	#391	13,526	7.00		15.00		3.00
25	#397	5,328	7.00		15.00		1.00
26							
27	Hardware (398)	37,884	3.00		35.00		2.00
28	Software (398)	50,601	3.00		35.00		2.50
29	Network Equip (398)	1,464	3.00		15.00		2.00
30							
31							
32							
33							
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REGULATORY COMMISSION EXPENSES

1. Report particulars (details) of regulatory commission expenses incurred during the current year (or incurred in previous years, if being amortized) relating to format cases before a regulatory body, or cases in which such a body was a party.
2. Report in columns (b) and (c), only the current year's expenses that are not deferred and the current year's amortization of amounts deferred in previous years.

Line No.	Description (Furnish name of regulatory commission or body the docket or case number and a description of the case) (a)	Assessed by Regulatory Commission (b)	Expenses of Utility (c)	Total Expense for Current Year (b) + (c) (d)	Deferred in Account 182.3 at Beginning of Year (e)
1	Federal Energy Regulatory Commission	53,047,829		53,047,829	
2					
3					
4					
5					
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46	TOTAL	53,047,829		53,047,829	

REGULATORY COMMISSION EXPENSES (Continued)

3. Show in column (k) any expenses incurred in prior years which are being amortized. List in column (a) the period of amortization.
4. List in column (f), (g), and (h) expenses incurred during year which were charged currently to income, plant, or other accounts.
5. Minor items (less than \$25,000) may be grouped.

EXPENSES INCURRED DURING YEAR				AMORTIZED DURING YEAR			
CURRENTLY CHARGED TO			Deferred to Account 182.3 (i)	Contra Account (j)	Amount (k)	Deferred In Account 182.3 End of Year (l)	Line No.
Department (f)	Account No. (g)	Amount (h)					
							1
							2
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DISTRIBUTION OF SALARIES AND WAGES

Report below the distribution of total salaries and wages for the year. Segregate amounts originally charged to clearing accounts to Utility Departments, Construction, Plant Removals, and Other Accounts, and enter such amounts in the appropriate lines and columns provided. In determining this segregation of salaries and wages originally charged to clearing accounts, a method of approximation giving substantially correct results may be used.

Line No.	Classification (a)	Direct Payroll Distribution (b)	Allocation of Payroll charged for Clearing Accounts (c)	Total (d)
1	Electric			
2	Operation			
3	Production			
4	Transmission	99,284,959		
5	Regional Market			
6	Distribution			
7	Customer Accounts			
8	Customer Service and Informational			
9	Sales			
10	Administrative and General	32,337,019		
11	TOTAL Operation (Enter Total of lines 3 thru 10)	131,621,978		
12	Maintenance			
13	Production			
14	Transmission	11,835,994		
15	Regional Market			
16	Distribution			
17	Administrative and General			
18	TOTAL Maintenance (Total of lines 13 thru 17)	11,835,994		
19	Total Operation and Maintenance			
20	Production (Enter Total of lines 3 and 13)			
21	Transmission (Enter Total of lines 4 and 14)	111,120,953		
22	Regional Market (Enter Total of Lines 5 and 15)			
23	Distribution (Enter Total of lines 6 and 16)			
24	Customer Accounts (Transcribe from line 7)			
25	Customer Service and Informational (Transcribe from line 8)			
26	Sales (Transcribe from line 9)			
27	Administrative and General (Enter Total of lines 10 and 17)	32,337,019		
28	TOTAL Oper. and Maint. (Total of lines 20 thru 27)	143,457,972		143,457,972
29	Gas			
30	Operation			
31	Production-Manufactured Gas			
32	Production-Nat. Gas (Including Expl. and Dev.)			
33	Other Gas Supply			
34	Storage, LNG Terminating and Processing			
35	Transmission			
36	Distribution			
37	Customer Accounts			
38	Customer Service and Informational			
39	Sales			
40	Administrative and General			
41	TOTAL Operation (Enter Total of lines 31 thru 40)			
42	Maintenance			
43	Production-Manufactured Gas			
44	Production-Natural Gas (Including Exploration and Development)			
45	Other Gas Supply			
46	Storage, LNG Terminating and Processing			
47	Transmission			

Midcontinent Independent System Operator, Inc		(1) <input checked="" type="checkbox"/> An Original	(MO, Da, Yr)	End of
		(2) <input type="checkbox"/> A Resubmission	04/16/2021	2020/Q4
DISTRIBUTION OF SALARIES AND WAGES (Continued)				
Line No.	Classification (a)	Direct Payroll Distribution (b)	Allocation of Payroll charged for Clearing Accounts (c)	Total (d)
48	Distribution			
49	Administrative and General			
50	TOTAL Maint. (Enter Total of lines 43 thru 49)			
51	Total Operation and Maintenance			
52	Production-Manufactured Gas (Enter Total of lines 31 and 43)			
53	Production-Natural Gas (Including Expl. and Dev.) (Total lines 32,			
54	Other Gas Supply (Enter Total of lines 33 and 45)			
55	Storage, LNG Terminating and Processing (Total of lines 31 thru			
56	Transmission (Lines 35 and 47)			
57	Distribution (Lines 36 and 48)			
58	Customer Accounts (Line 37)			
59	Customer Service and Informational (Line 38)			
60	Sales (Line 39)			
61	Administrative and General (Lines 40 and 49)			
62	TOTAL Operation and Maint. (Total of lines 52 thru 61)			
63	Other Utility Departments			
64	Operation and Maintenance			
65	TOTAL All Utility Dept. (Total of lines 28, 62, and 64)	143,457,972		143,457,972
66	Utility Plant			
67	Construction (By Utility Departments)			
68	Electric Plant			
69	Gas Plant			
70	Other (provide details in footnote):			
71	TOTAL Construction (Total of lines 68 thru 70)			
72	Plant Removal (By Utility Departments)			
73	Electric Plant			
74	Gas Plant			
75	Other (provide details in footnote):			
76	TOTAL Plant Removal (Total of lines 73 thru 75)			
77	Other Accounts (Specify, provide details in footnote):			
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93				
94				
95	TOTAL Other Accounts			
96	TOTAL SALARIES AND WAGES	143,457,972		143,457,972

MONTHLY ISO/RTO TRANSMISSION SYSTEM PEAK LOAD

- (1) Report the monthly peak load on the respondent's transmission system. If the Respondent has two or more power systems which are not physically integrated, furnish the required information for each non-integrated system.
- (2) Report on Column (b) by month the transmission system's peak load.
- (3) Report on Column (c) and (d) the specified information for each monthly transmission - system peak load reported on Column (b).
- (4) Report on Columns (e) through (i) by month the system's transmission usage by classification. Amounts reported as Through and Out Service in Column (g) are to be excluded from those amounts reported in Columns (e) and (f).
- (5) Amounts reported in Column (j) for Total Usage Is the sum of Columns (h) and (i).

NAME OF SYSTEM:

Line No.	Month	Monthly Peak MW - Total	Day of Monthly Peak	Hour of Monthly Peak	Imports into ISO/RTO	Exports from ISO/RTO	Through and Out Service	Network Service Usage	Point-to-Point Service Usage	Total Usage
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
1	January	94,425	21	8	4,918,640	525,701	383,745	50,950,192	279,516	51,229,708
2	February	96,095	14	8	4,851,451	438,214	302,009	47,018,790	309,116	47,327,906
3	March	80,026	6	8	5,952,982	450,538	288,299	44,507,243	304,311	44,811,554
4	Total for Quarter 1				15,723,073	1,414,453	974,053	142,476,225	892,943	143,369,168
5	April	73,456	8	17	5,691,686	369,058	263,861	38,300,469	301,151	38,601,620
6	May	91,541	26	15	6,584,519	508,982	229,493	40,438,668	287,713	40,726,381
7	June	107,231	30	15	6,311,615	560,737	295,747	49,954,499	279,962	50,234,461
8	Total for Quarter 2				18,587,820	1,438,777	789,101	128,693,636	868,826	129,562,462
9	July				6,216,072	546,478	226,010	59,361,444	154,891	59,516,335
10	August	117,127	24	16	5,641,107	528,174	208,305	55,922,000	252,545	56,174,545
11	September				5,310,497	563,127	187,600	45,373,725	304,153	45,677,878
12	Total for Quarter 3				17,167,676	1,637,779	621,815	160,657,169	711,589	161,368,758
13	October	80,346	22	16	4,916,314	571,223	244,784	44,529,916	293,251	44,823,167
14	November				4,981,632	568,693	364,567	43,523,249	323,142	43,846,391
15	December	90,599	16	18	4,376,942	616,754	390,528	50,896,721	269,630	51,166,351
16	Total for Quarter 4				14,274,888	1,756,670	999,879	138,949,886	866,023	139,835,909
17	Total Year to Date/Year				65,753,457	6,247,679	3,384,848	570,776,916	3,359,381	574,136,297